JOHANNES GUTENBERG UNIVERSITÄT MAINZ



Epigenetic remodeling through a mitochondrial redox signal in an experimental model of Parkinson's disease

Epigenetische Restrukturierungen durch ein Redox-Signal mitochondriellen Ursprungs in einem experimentellen Modell der Parkinson'schen Krankheit

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"Natürlicher Verstand kann fast jeden Grad von Bildung ersetzen, aber keine Bildung den natürlichen Verstand."

~Arthur Schopenhauer

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II. Table of abbreviations	
PD	Parkinson's disease
ROS	Reactive oxygen species
MPP ⁺	1-Methyl-4-phenylpyridinium
MPTP	1-Methyl-4-phenyl-1,2,3,6-tetrahydropyridin
LUHMES	Lund human mesencephalic
ATP	Adenosine triphosphate
NADH/NAD ⁺	Nicotinamide adenine dinucleotide
РНТ	Phenothiazine
DNA	Deoxyribonucleic acid
SIRT	Sirtuin
DNMT	DNA-methyltransferase
SN	Substantia nigra
GABA	Gamma-aminobutyric acid
REM	Rapid eye movement
Fig	Figure
SNCA	Alpha-synuclein
UBQ	Ubiquitin
CRYAB	Alpha B crystalline
NF	Neurofilament
ATP13A2	Cation-transporting ATPase 13A2
LRRK2	Leucine-rich repeat serine-threonine protein kinase-2
ATG9	Autophagy related 9
VEGF	Vascular endothelial growth factor
CoQ10	Ubiquinone
FMN	Flavin mononucleotide
Fe-S	Iron sulfur
TCA	Tricarboxylixc acid
FAD	Flavin adenine dinucleotide
CoQ10H•	Ubisemiquinone
V	

ADP	Adenosine diphosphate
Pi	Inorganic phosphate
BBB	Blood brain barrier
NADPH	Nicotinamide adenine dinucleotide phosphate
NGB	Neuroglobin
•O2 ⁻	Superoxide anion
HO ₂ •	Hydroperoxyl radical
H_2O_2	Hydrogen peroxide
•OH	Hydroxyl radical
HOC1	Hypochlorous acid
ONOO-	Peroxynitrite
NO ₂ -	Nitrite
SOD	Superoxide dismutase
GSH	Glutathione
GSSG	Glutathione disulfide
РАН	Polycyclic aromatic hydrocarbon
TiO ₂	Titan oxide
6-ODHA	Oxidopamine
DAT	Dopamine transporter
MPPP	Desmethylprodine
MAO-B	Monoaminoxygenase B
PINK1	Phosphatase and tensin homolog induced putative kinase 1
PRKN	Parkin
PARK7	Parkinson disease protein 7
TPP	Triphenylphosphonium
MitoQ	Mitoquinone
MBD	Methyl binding domain
TET	Ten-eleven translocase
TDG	Thymine DNA glycolyase
НМТ	Histone methyltransferase
VI	

JDCD	Jumonji domain-containing demethylase
LSD	Lysine-specific histone demethylase
PRMT	Protein arginine N-methyltransferase
HAT	Histone acetyl transferase
HDAC	Histone deacetylase
DMR	Differentially methylated region
iPSC	Induced pluripotent stem cell
mtDNA	mitochondrial DNA
D-loop	Displacement loop
CYP2E1	Cytochrome P450 enzyme 2E1
cAMP	Cyclic adenosine monophosphate
CREBBP	cAMP response element-binding protein
TH	Tyrosine hydroxylase
Н3	Histone 3
H3K14	Lysine 14 of H3
ac	Acetylation
SLC6A3	Solute carrier family 6 member 3
TUBB	Tubulin beta
TUB	Tubulin alpha
TEFM	Transcription elongation factor, mitochondrial
GLUT3	Glucose transporter type 3
HRP	Horse radish peroxidase
MPHT	N-Methylphenothiazine
APHT	2-Acetylphenothiazine
6-TG	6-Thioguanine
BafA1	Bafilomycin A1
TSA	Trichostatin A
PLO	Poly-L-ornithine
FGF	Fibroblast growth factor
GDNF	Glial cell-derived neurotrophic factor
VII	

SDS	Sodium dodecyl sulfate
EDTA	Ethylenediaminetetraacetic acid
EGTA	Ethylene glycol-bis(β-aminoethyl ether)-N,N,N',N'-tetraacetic acid
HC1	Hydrochloric acid
NaOH	Sodium hydroxide
NaCl	Sodium chloride
KC1	Potassium chloride
Na ₂ HPO ₄	Disodium phosphate
KH ₂ PO ₄	Monopotassium phosphate
BSA	Bovine serum albumin
TRIS	Tris(hydroxymethyl)aminoethane
NFDMP	Non fat dried milk powder
PFA	Paraformaldehyde
DMSO	Dimethyl sulfoxide
TEMED	Tetramethylethylenediamine
APS	Ammonium persulfate
NaN ₃	Sodium azide
PBS	Phosphate buffered saline
TBS	TRIS buffered saline
FCS	Fetal calf serum
AB-AM	Antibiotic antimycotic
DMEM	Dulbecco's modified eagle's medium
BCA	Bicinchoninic acid
ICC	Immunocytochemistry
bw	bodyweight
ip	Intraperitoneal
ICH	Immunohistochemistry
LSM	Laser scanning microscope
ВН	Benjamini-Hochberg
ANOVA	Analysis of variance
VIII	

PTM	Posttranslational modification
TP53	Tumor protein 53
MAP1LC3B	Microtubule-associated proteins 1A/1B light chain 3B
MPP	Mitochondrial processing peptidase
L-DOPA	L-dihydroxyphenylalanine
VTA	Ventral tegmental area
SSBP	Single strand binding protein
POLRMT	Mitochondrial RNA polymerase
POLG	Polymerase gamma
TOP1MT	Mitochondrial topoisomerase 1
TWNK	Twinkle helicase
DNA2	DNA replication helicase/nuclease 2
SUPV3L1	Suppressor of Var1, 3-like 1
PIF1	Petite integration frequency 1
KAT	Lysine acetyltransferase
ATF2	Activating transcription factor 2
TAF1	TATA box binding protein associated factor 1
CLOCK	Circadian locomotor output cycles kaput protein
EP300	E1A binding protein p300
CREB	cAMP responsive element binding
NCOA	Nuclear receptor coactivator
SLC7A5	Solute carrier family 7 member 5
AADC	Aromatic L-amino acid decarboxylase
COMT	Catechol-O-methyltransferase
TF	Transcription factor
sir2	Silent mating type information regulation 2
PPARGC1A	Peroxisome proliferator-activated receptor gamma coactivator 1-alpha
AMPK	5' Adenosine monophosphate-activated protein kinase
RELA	V-rel avian reticuloendotheliosis viral oncogene homolog A
mTORC1	Mammalian target of rapamycin complex 1
IX	

CPS1	Carbamoyl phosphate synthase 1
NFE2L2	Nuclear factor, erythroid 2 like 2
HSPA1	Heat-shock 70 kDa protein 1
SAM	S-adenosyl methionine
BER	Base excision repair
ATP5S	ATP synthase subunit S
НК	Hexokinase
PFK	Phosphofructokinase
SUCL	Succinate-CoA ligase
NDPK	Nucleoside-diphosphate kinase
LDH	Lactate dehydrogenase
DLD	Dihydrolipoamide dehydrogenase
DLAT	Dihydrolipoamide S-acetyltransferase
PDHX	Pyruvate dehydrogenase complex component X
PDH	Pyruvate dehydrogenase E1
ME	Malic enzyme
GLUD1	Glutamate dehydrogena

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V. Danksagung

1.1 Abstract

Together with the prospect of an ever-older growing society, so will the prevalence of ageassociated neurodegenerative disorders like Parkinson's disease (PD) rise. Thus, it becomes ever more important to possess adequate means to treat and manage such malignancies. Unlike its onset, the pathophysiology of motoric deficits in PD is quite well understood these days. A precise and profound loss of dopaminergic neurons in the *substantia nigra pars compacta*, a mesencephalic structure, develops the well known and described symptoms of PD.

One major hypothesis for the onset of PD revolves around oxidative stress created by a dysbalance in the generation and/or deetoxification of reactive oxygen species (ROS). These are usually conceived through a leakage of electrons from the respiratory complexes to molecular oxygen, which is turned into superoxide, yet rapidly transformed into non-hazardous forms by the cellular antioxidant defence system. Many models of PD, which do not follow a genetic paradigm, rely on an excessive production of ROS.

One of these models, if not the most popular one, the 1-methyl-4-phenylpyridinium (MPTP/MPP ⁺) model, was used in this work to establish the nature of epigenetic changes in dopaminergically differentiated LUHMES cells and mice. The MPTP/MPP ⁺ model relies on electron transfer disruption in the complex I of the respiratory chain, thus causing increased amounts of ROS, as well as ATP and NAD⁺ depletion. The strong antioxidant phenothiazine (PHT) was administered as well to survey protective effects and sever ROS mediated from metabolic stress effects. Metabolic changes this profound require the cells to adapt, which is often accompanied by epigenetic changes. This work aims to further solidify and expand the understanding of energetic, epigenetic, biochemical and molecular pathologies of PD, while also offering new treatment possibilities through the antioxidant PHT. In the PD models system, the cell's epigenome changes and is entirely turned around, while heterochromatin in form of DNA methylation appears to disappear and euchromatin markers in form of histone acetylation accumulate. Through this work all of these effects can be traced back to a loss of function of the ROS sensitive sirtuin 1 (SIRT1) and the *de novo* DNA methyltransferase 3B (DNMT3B). If protected by PHT, these enzymes could possibly allow the cell's chromatin to rearrange and stabilize.

The epigenetic changes are accompanied by their consequential changes of transcription, especially in regard to energy acquisition by turning on the transcription of nuclear encoded mitochondrial genes. Restored chromatin may be beneficial to the process of adaptation and the cells may be able to adjust more properly to their new environment with a disabled complex I.

1.2 Deutsche Zusammenfassung

Einhergehend mit einer alternden Gesellschaft wird auch die Frequenz altersbedingter neurodegenerativer Erkrankung, wie dem Morbus Parkinson (Parkinson's disease, PD), steigen. Somit wird es immer wichtiger geeignete Mittel zur Behandlung solcher Krankheiten zu finden. Obwohl die Pathophysiologie der motorischen Defizite der PD heutzutage sehr wohl verstanden ist, bleibt seine Genese doch unbekannt. PD rührt von einem präzisen und schweren Verlust dopaminerger Neurone in der *Substantia nigra pars compacta*, einer Struktur des Mesencephalons, her.

Eine der Haupttheorien über die Entstehung von PD fußt auf oxidativem Stress verursacht durch ein Ungleichgewicht in der Erzeugung und/oder Entsorgung von reaktiven Sauerstoffspezies (reactive oxygen species, ROS). Diese entstehen i.d.R. durch einen ungeordneten Ausfluss der Elektronen in der Atmungskette zu molekularem Sauerstoff, welcher in Superoxid umgewandelt, doch rasch vom antioxidativem Verteidigungssystem der Zelle zu ungefährlichen Sauerstoff Spezies transformiert wird. Viele Modelle des PD, welche keinem genetischen Paradigma folgen, fußen auf einer exzessiven Produktion von ROS.

Das wahrscheinlich populärste dieser Modelle ist das 1-Methyl-4-phenylpyridinium (MPTP/MPP ⁺) Modell, welches in dieser Arbeit verwendet wurde, um die Art der metabolischen und epigenetischen Veränderungen dopaminerg differenzierter LUHMES Zellen und Mäusen zu ergründen. Das MPTP/MPP⁺ Modell verursacht eine Störung der Elektronenleitung im Komplex I der Atmungskette und führt somit zu erhöhter ROS Produktion, wie auch zu ATP und NAD⁺ Mangel. Parallel wurde das überaus starke Antioxidans Phenothiazin (PHT) verwendet, um protektive Effekte zu bewerten und RSS abhängige von Metabolismus abhängigen Effekten zu trennen. Metabolische Veränderungen dieses Ausmaßes verlangen von der Zelle sich anzupassen, was oft von epigenetischen Veränderungen begleitet wird.

Diese Arbeit setzt sich zum Ziel das Verständnis für energetische, epigenetische, biochemische und molekulare Pathologien des PD zu festigen und zu erweitern und bietet neue Behandlungsmöglichkeiten durch das Antioxidans PHT. Das Epigenom der Zelle wird geprägt durch das Verschwinden von Heterochromatin Markern wie Methylierung der DNA, während Marker des Euchromatin, wie Acetylierung von Histonen, akkumulieren. Durch diese Arbeit können jene Effekte auf das ROS sensitive Sirtuin 1 und die *de novo* DNA Methyltransferase 3B zurückverfolgt werden.

Die epigenetischen Veränderungen werden begleitet von Veränderungen auf der Ebene der Transkription, insbesondere in Bezug auf die Energieproduktion durch das Antreiben der Transkription nuklear kodierter mitochondrialer Gene. Wiederhergestelltes Chromatin könnte dem Prozess der Adaptation begünstigen und die Zellen könnten somit in der Lage sein sich besser an ihre neue Umwelt und einem dysfunktionalen Komplex I anpassen.

2 Introduction

2.1 Parkinson's disease

2.1.1 The nigrostriatal system

The nigrostriatal dopaminergic system describes the facilitation of information between the substantia nigra pars compacta and the striatum through dopaminergic synapses originating in the substantia nigra (SN) and projecting to the striatum (Fig. 2.1). The SN is a mesencephalic basal ganglia structure that appears in darker colouring than the surrounding brain tissue. Thus, it was named substantia nigra or "black substance", when it was first described in 1784 by the French physician Félix Vicq-d'Azyr (Tubbs et al., 2011). The dark colour emanates from the pigment neuromelanin, which accumulates as the organism ages (Rabey et al., 1990; Herrero et al., 1993). Interestingly, human nigral neurons harbor a lot more neuromelanin than those of other primates (Fedorow et al., 2005). Neuromelanin is biosynthesized from dopamine, but its biological properties are still subjected to disparate discussion (Zecca et al., 2001). Some believe it protects neurons from iron-induced oxidative stress, while others claim it to be the origin of that stress (Zucca et al., 2017). The substantia nigra can be divided into two functionally and sterically different parts, the pars compacta and the pars reticulate (Fig. 2.1). The former is involved in motor control, temporal processing and learning. The influence on motor control, albeit the most prominent one, is rather indirect since electrical stimulation of the pars compacta does not immediately result in movement per se. Through excitatory stimulation of the striatum by dopamine, the striatum releases gammaaminobutyric acid (GABA) onto the globus pallidus, which in turn inhibits the thalamic nucleus. This causes excitation of thalamocortical pathways and thus initiation of movement through the prefrontal cortex (Fig. 2.1) (Haber, 2016). Additionally, the pars compacta also plays a role in temporal processing through time reproduction (Jahanshahi et al., 2006). Deficits have been linked to insomnia and rapid eye movement (REM) sleep disturbances (Gerashchenko et al., 2006). In contrast to the neurons of the pars compacta, the neurons of the pars reticulata are GABAergic

and inhibitoric, not dopaminergic and excitatoric (Fig. 2.1). Their synapses extend to the basal ganglia of the *thalamus* and the *superior colliculus* (Zhou et al., 2011). Through so-called axon collaterals – smaller side branches of the main axon – the *pars reticulata* may also partly inhibit the *pars compacta* (Mailly et al., 2003). The neurons of the *pars reticulata* generate spontaneous action potentials whose frequency can be increased by excitation from the *subthalamic nucleus* and decreased by active movement of the organism (Sato et al., 2002). The generation of the action potentials, however, is considered to be of autonomous nature (Atherton et al., 2005).

Neurons of the *pars compacta* have been in the focus of research for centuries, especially since their disappearance is directly connected to the pathology of a neurodegenerative disorder called Parkinson's disease (PD). It is noteworthy, however, that also the *pars reticulata* is not unaffected in this disease. Neuronal hypertrophy for example has been shown to occur in the *pars reticulata* of PD patients (Neal et al., 1991).



Figure 2.1: The nigrostriatal pathway. The *substantia nigra pars compacta* excites the *striatum* through dopamine, which then inhibits the *globus pallidus* via GABA, which in turn inhibits the *thalamic nucleus* through GABA, which finally excites the motorcortex through glutamate. The *pars compacta* is inhibited through GABA of the *pars reticulata*, which is excited by the *nucleus subthalamicus* through glutamate.

2.1.2 Pathophysiology of Parkinson's disease

The most prominent derangement of the nigrostriatal pathway is the neurodegenerative disorder Parkinson's disease, named after its avant-garde James Parkinson, who winnowed the disease he referred to as "the shaking palsy" from other neurodegenerative disorders in 1817 (Parkinson, 2002). In fact, PD has already been observed and described in ancient times, yet rise of modern technology allowed more precise and thorough cataloguing of pathologies. Hoary Ayruvedic or Egyptian texts describe symptoms strongly resembling those of PD and Galenus of Pergamon recorded cases of PD in Roman times (García Ruiz 2004; Galenus et al., 1976). It is worth to note that the recommended remedies in those days derived from the *mucuna* family, a group of plants rich in L-DOPA, a still common drug to treat the symptoms of PD (Birkmayer et al., 1962; Chattopadhyay et al., 1994). Nowadays the pathology and devolution of PD have become clearer, yet the origin remains elusive, except for special cases, where the afflicted person was exposed to a specific toxin, e.g. the pesticides paraquat and rotenone, for a certain amount of time (Tanner et al., 2011). Indeed, PD caused in farmers after chronic exposure to these substances, which they used as pesticides, has been recognized as a work-related disease (Semchuk et al., 1992).

However well the pathology is understood, every case of PD presents itself in a different manner

due to factors yet not perceived. A number of four disparate, so called cardinal symptoms exist of which two have to be present to warrant a PD diagnosis. The first and most important symptom is bradykinesia, which needs to be displayed by the afflicted for a positive PD diagnosis and causes movements to be executed at a much slower pace leading the afflicted to scuffle (Parkinson 2002; Brumlik et al., 1966). The three remaining cardinal symptoms include rigor, resting tremor and postural instability. Rigor develops through the simultaneous excitation of muscle agonists and antagonists, which is caused by dopamine deprivation in the extrapyrimidal system, a motor system network governing involuntary actions and – among others – including the nigrostriatal pathway (Jellinger 2012; Charcot et al., 1886). Resting tremor describes a rhythmic, repetitive twitching of the body extremities through contraction of antagonistic groups of muscles. These tremors also occur in healthy organisms, but their intensity and frequency is increased in afflicted organisms (McLeod 1971; Charcot et al., 1886). Postural instability portrays as observable posture correction while sitting and standing of the afflicted, since their subconscious and subtle means to correct their posture have been lost. Through the loss of nigrostriatal neurons, the information supply for the muscles to uphold the righting reflex ceases and the afflicted have to correct their posture consciously and visibly (Parkinson 2002; Traub et al., 1980).

The cardinal symptoms are often times accompanied by a number of facultative symptoms, which may not be present or develop during the progression of the disease. They can be divided into vegetative, sensory and psychological disturbances. Affliction of the vegetative system usually occurs in later stages of the disease. They involve increased tallow production (Burton et al., 1973), especially in facial areas, orthostatic hypotension (Vanderhaeghen et al., 1970), bladder (Murnaghan, 1961) and sexual dysfunction (Bowers et al., 1971), digestive disturbances (Edwards et al., 1992) and deranged thermoregulation (De Marinis et al., 1991). Sensory symptoms include hyposmia (Murofushi et al., 1991) as well as joint and muscle pain and can manifest in earlier stages of the disease (Roos et al., 1989). Psychological changes contain depression (Kearney, 1964) and bradyphrenia, a deceleration of thought processes (Rogers, 1988). All these symptoms can in some way be traced back to the loss of dopaminergic neurons in the SN *pars compacta*.

2.1.3 The genesis of Parkinson's disease

While the above-mentioned symptoms and their origin are well studied and clarified, the root of the actual disease, the question why the dopaminergic neurons die remains unanswered. To treat and fight the disease it is imperative to find the answer to that question. Especially since the first symptoms start to appear after already 31% of the dopaminergic nigral cells – age adjusted – are gone (Fearnley et al., 1991). A method to diagnose the disease in much earlier stages is important as

well and can also be addressed if the cause of the neuronal cell death is discovered. Around 75% of all PD cases are considered idiopathic; meaning the actual cause of the onset is unknown. The remaining 25% are hereditary and passed down on specific genes (Klein et al., 2012).

The past years, many scientists have channelled their resources to create different hypotheses and accumulated data that may confirm these. The first observation at the cellular level in human dopaminergic neurons of the SN was made by Lewy in 1912. He noticed some odd aggregated proteins, which he described as "serpentine or elongated eosinophilic intracytoplasmic balls", found in the brains of patients had made them act and think differently (Lewy et al., 1912). These aggregated proteins came to be known as Lewy-bodies named after their discoverer.

Lewy did not have the means of more modern times to further study the nature and composition of these protein bodies. Now it is known fairly well that Lewy-bodies mostly comprise of the protein alpha-synuclein (SNCA), as well as ubiquitin (UBQ) (Engelender, 2008), alpha B crytsalline (CRYAB) (Rekas et al., 2004), neurofilaments (NFs) (Goldman et al., 1983) and, occasionally, Tau proteins (Ishizawa et al., 2003). SNCA is expressed mostly in neurons and localizes to presynaptic terminals, that release neurotransmitters into the synaptic cleft between the SN *pars compacta* and the *striatum*. There, SNCA interacts with other proteins and phospholipids in SNARE complexes and is believed to cluster synaptic vesicles to maximize release of the neurotransmitter (Burré et al., 2010). Thus, its over-representation in PD patients might point to a compensatory effect to increase dopamine levels in the synaptic cleft.

The other three common components of Lewy-bodies (UBQ, CRYAB, NFs) normally work as partners to ensure SNCA degradation. Damaged SNCA is marked with UBQ to prepare it for degradation (Engelender, 2008), while CRYAB, as a heat-shock protein, prevents aggregation of the damaged proteins (Cox et al., 2017) and NF plays a part in the transport between cell body and synapse (Hoffman et al., 1975). It is interesting to note, that Lewy-bodies first occur in the *medulla oblongata*, the *bulbus olfactorius* and the *pontine tegmentum* without causing any symptoms (Braak et al., 2006).

The *medulla oblongata* is part of the brain stem and responsible for autonomic functions like breathing (Breckenridge et al., 1950), heart rate (Rosen, 1961) and blood pressure (Gutman et al., 1962), while the *bulbus olfactorius* is a prosencephalic structure linking to the nasal cavity that transmits olfactory information to the *hippocampus* (Cragg, 1960) or the *amygdala* (Fujita et al., 1964). Further, it is linked to the cortex via the *rhinencephalon* and the *thalamus* or to the *hypothalamus* through the *septum pellucidum* and the *tuberculum olfactorium* (Courtiol et al., 2017). The *pontine tegmentum* is also part of the brain stem close to the *medulla oblongata* and also governs autonomic functions like sleep (Zolovick et al., 1973), arousal (Chu et al., 1974) and vigilance (Satoh et al., 1979). Non-pathological Lewy-bodies at these sites may point to a higher

vulnerability to stress in the neurons of the SN pars compacta.

2.2 Three major theories of the onset of Parkinson's disease

2.2.1 Dopaminergic neurons in Parkinson's disease suffer disruption of their protein homoeostasis

Lewy bodies are a central point in the first hypothesis that aims to explain the cause of the dopaminergic cell death. For the protein to aggregate to an amount that the cellular prevention system cannot process anymore, the SNCA production, its degradation or both have to be gravely altered. In cells, two waste disposal systems exist to get rid of bad proteins. One of them is called autophagy, a process during which the bad proteins are wrapped inside a membrane called phagophore to build autophagosomes and later degraded in acidic conditions after fusion of the autophagosome with a lysosome to efficiently recycle the resources that were used to build the proteins (Mizushima et al., 2011). The other one is the proteasome, a big protein complex build from two subunits that destroys the peptide bond of threonines through a nucleophilic attack of the hydroxyl group of the amino acid (Bochtler et al., 1999).

The theory of autophagic system breakdown as a cause for PD is supported by different studies, describing genes that are implicated in familial PD and related to autophagy. Loss of function mutations of the cation-transporting ATPase 13A2 (ATP13A2) have been shown to cause hereditary PD. The protein encoded by the gene ATP13A2 is important for lysosomal acidification through ATP dependent pumping of protons into the lysosome (Ramirez et al., 2006). Leucine-rich repeat serine-threonine protein kinase-2 (LRRK2) mutations can also cause familial PD. The protein itself is not immediately involved with autophagy, but its loss causes SNCA to be hyperphosphorylated at S129, thus preventing autophagic degradation of SNCA (Qing et al., 2009). In turn, SNCA itself inhibits autophagy by displacing the protein autophagy related 9 (ATG9), a key member for phagophore formation (Winslow et al., 2010).

2.2.2 Microglia activation eradicates dopaminergic neurons

The second theory of PD's onset revolves around microglia and the blood-brain-barrier. Brain tissue does not only feature neurons. There are also astroglia, oligodendrocytes and microglia. The former supply neurons with nutrients from the blood, clear neurotransmitters from synaptic clefts, regulate ion concentrations of the cerebral fluids and dispose of the neuronal waste (Bélanger et al., 2009). Oligodendrocytes support neurons by isolating the axons with myelin, thus increasing the speed the

electrical signal can travel across the axon (Simons et al., 2015). Microglia take care of pathogens and aberrant cells. They always survey their surroundings for possible hazards and, once found, they change into an active state, phagocytose the pathogens and send out a complex set of extracellular signaling molecules that promotes inflammation in infected or damaged tissue. Should the microglia phagocytose a certain amount of hazardous substances, they lose their ability to phagocytose and become so-called inactive glitter cells (Fu et al., 2014).

In PD *post mortem* brains it was shown, that the SN features a lot of activated microglia in response to Lewy-bodies. Chronic tissue inflammation like this has proven to be toxic to the surrounding neurons, due to the cytotoxic nature of the extracellular signaling molecules of the microglia (Vila et al., 2001). The simultaneous release of cytokines – a set of small extracellular signaling proteins that cause inflammatory responses – and the presence of protein aggregates damage the blood-brain-barrier by interacting with receptors of the endothelial cells (Zlokovic, 2008). To supply the brain capillaries with fresh endothelial cells the vascular endothelial growth factor (VEGF) together with its receptor keep the cell in a proliferative state. Over time, without replenishing the old capillaries break down, causing the overall tissue structure to weaken and a shortage of nutrients. On top of that, gap junctions, that normally allow only a certain set of molecules to enter the cerebral environment, also break down causing vascular leakiness allowing potentially harmful molecules to enter the brain (Sweeney et al., 2018).

2.2.3 Oxidative phosphorylation and Parkinson's disease

Finally, the third major hypothesis for the origins of PD revolves around the mitochondria. These are semi autonomous cell organelles that supply the cell with high amounts of adenosine triphosphate (ATP) via the respiratory chain, a set of five large complexes located inside the inner membrane of mitochondria (Fig. 2.2). The respiratory chain is an electron transport system with connected redox molecules that gradually reduces the energy level of the electrons (Sousa et al., 2018).

The first and largest enzyme of this system is the NADH:ubiquinone oxidoreductase, also referred to as complex I, that catalyzes the transfer of electrons from the reduced form of nicotinamide adenine dinucleotide (NADH) to ubiquinone (CoQ10) and also transfers four protons per NADH oxidation from the matrix across the inner mitochondrial membrane into the intermembrane space. The equation of the reaction is as follows:

$$NADH + H^+ + CoQ10 + 4H^+in \rightarrow NAD^+ + CoQ10H_2 + 4H^+out$$

The complex I can be divided into two distinct parts. A hydrophobic intermembrane arm that catalyzes the proton transport and a hydrophilic arm inside the mitochondrial matrix. All redox reactions occur in the latter. In the first step, bound NADH transfers two electrons to the complex I integrated flavin mononucleotide (FMN) to produce FMNH₂. Through seven different iron sulfur (Fe-S) clusters the electrons are then transferred to CoQ10. This transfer also causes conformational changes in the enzyme allowing four protons to traverse the inner membrane (Sousa et al., 2018). The succinate dehydrogenase – or complex II – is the smallest subunit of the respiratory chain and also a source of CoQ10H₂ independent of complex I. The equation of the reaction is as follows:

$$(CH_2)_2(CO_2H)_2 + CoQ10 \rightarrow (CH)_2(CO_2H)_2 + CoQ10H_2$$

This reaction is also part of the tricarboxylixc acid (TCA) cycle, a separate source of energy, and the only interface between the respiratory chain and the TCA cycle. Succinate, derived from the TCA cycle, may bind to the complex II and become oxidized to fumarate through an elimination reaction with flavin adenine dinucleotide (FAD) as electron acceptor, thus producing FADH ₂. The electrons are then again transferred to three different Fe-S clusters that finally deliver them onto the CoQ10 to yield CoQ10H₂ (Sousa et al., 2018).

Third in row is the coenzyme Q – cytochrome c reductase, or complex III, that receives the CoQ10H₂ from the complexes I and II for further processing, facilitating the reaction of the so called "Q cycle". The equation of the reaction is as follows:

$$CoQ10 + 2CoQ10H_2 + 2H^+in + 2Fe^{3+}cytochrome c \rightarrow 1CoQ10H_2 + 2CoQ10 + 4H^+out + 2Fe^{2+}cytochrome c$$

One molecule of CoQ10H₂ and CoQ10 simultaneously bind the complex III, two electrons of the CoQ10H₂ are taken up by a Fe-S cluster and a B_L heme, thus causing two protons to be released into the intermembrane space. The electron bound to the B_L heme is transferred to a B_H heme and subsequently moved to the CoQ10 finally yielding an ubisemiquinone (CoQ10H •). The electron bound to the Fe-S cluster is first moved to cytochrome c₁, a subunit of the complex III, and finally to a cytochrome c molecule, reducing the bound Fe³⁺ to Fe²⁺. The newly oxidized CoQ10 is released and replaced by another molecule of CoQ10H₂ while the CoQ10H^{*} remains bound. The new bound CoQ10H₂ repeats the previously described process, causing the CoQ10H^{*} to be reduced to CoQ10H₂ and released (Sousa et al., 2018).

The freshly reduced cytochrome c is transferred to the cytochrome c oxidase, or complex IV. Here the reduced cytochrome c is, through a complex series of reactions, used to reduce oxygen to water.

The equation of the reaction is as follows:

$$4Fe^{2+}$$
-cytochrome c + $8H^+$ in + O₂ $\rightarrow 4Fe^{3+}$ -cytochrome c + $2H_2O$ + $4H^+$ out

The electrons delivered by the cytochrome c are transferred to one of the two copper centers, Cu _A, inside the enzyme and subsequently delivered to a cytochrome a, which then moves an electron into the binuclear cytochrome a_3 -Cu_B center. Here O₂ and four protons from the mitochondrial matrix together react to H₂O. This reaction allows four additional protons to be moved from the matrix towards the intermembrane space (Sousa et al., 2018).

The last unit of the respiratory chain, the ATP synthase, or complex V, uses the electrochemical proton gradient established by the combined activity of the other four complexes to synthesize ATP from adenosine diphosphate (ADP) and inorganic phosphate (P_i). The equation of the reaction is as follows:

$$ADP + P_i + H^+ out \rightarrow ATP + H_2O + H^+ in$$

The complex V can be divided into two parts, a hydrophobic part (F₀) anchored in the membrane that constitutes a tunnel for proton movement along the electrochemical gradient and a hydrophilic part (F₁) in the mitochondrial matrix that hydrolyzes the ADP to ATP through a rotational motor mechanism. Passing protons cause a subunit of F₁ through conformational changes of the F₀ to rotate and force the P_i and the ADP to unify (Sousa et al., 2018).



Intermembrane Space

Figure 2.2: The respiratory chain. Complexes I and II shuttle electrons through CoQ10 to the complex III, while regenerating NAD⁺ or participating in the TCA. The complex I translocates four H^+ to the intermembrane space.

Complex III transfers the electrons from CoQ10 to cytochrome C and also translocates four H $^+$. Complex IV transfers the electrons from cytochrome C to O₂, generating water, and transferring two protons per cytochrome C. The complex V uses the established H⁺ gradient to generate ATP.

Cases of PD have reportedly shown disturbances in their mitochondrial metabolism and homeostasis. The electron transport system across the mitochondrial complexes is prone to the production of reactive oxygen species (ROS), especially when CoQ10H •, an unstable radical, is produced as an intermediate (Turrens et al., 1985). Electrons from these radicals or from the Fe-S clusters may leak to oxygen molecules to yield ROS, which may damage other parts of the mitochondria or the cell. Usually mitophagic processes get rid of dysfunctional mitochondria. However, disturbances in either of these two processes surrounding mitochondria may lead an accumulation of ROS and thus cell death (Radogna et al., 2016).

Excessive ROS production might also account for the formerly explained two theories of PD's onset. SNCA oligomers have been shown to induce ROS (Deas et al., 2016). Active microglia also produce a lot of ROS and use them to signal to peripheral immune cells, which might cause damage to the blood brain barrier (BBB) (da Fonseca et al., 2014). On the other hand, excessive cellular ROS production leads to increased amounts of damaged cell compartments that need to be repaired or replaced, thus pushing the cellular waste disposal systems to their limits (Liu et al., 2007). Postmortem brain tissue from sporadic PD patients consistently show high levels of oxidation in the SN (Jenner et al., 1996).

2.3 Oxidative stress

2.3.1 Endogenous ROS sources

Upheaval of the balance between ROS production and detoxification, be it an increase of the former or a decrease of the latter, results in oxidative stress. ROS are mostly, but not exclusively, formed at the mitochondria during the course of oxidative phosphorylation. Cells that lack mitochondrial activity through genetic manipulation still show basal levels of ROS, indicating that mitochondria are not the sole source of these damaging agents (Seaver et al., 2004). Indeed, certain sets of enzymes that include xanthine oxidases, nicotinamide adenine dinucleotide phosphate (NADPH) oxidases and cytochrome P450 enzymes also produce ROS. The former is part of the catabolism of purines (Aitken et al., 1993), while NADPH oxidases cause ROS to be imported into the cell (Miesel et al., 1995). Cytochrome P450 enzymes belong to a super-family of proteins that usually detoxify possible pathogens, which often generate ROS as a side product (Bondy et al., 1994).

2.3.2 ROS classification and mechanism of oxidation

Not every ROS is the same. They differ in their chemical properties, their composition and their reactivity, but carry at least one atom of oxygen (Table 2.1).

ROS	Formula	Half life
Superoxide anion	•O ₂ -	10 ⁻⁵ s (Reth, 2002)
Hydrogen peroxide	H_2O_2	1 ms (Reth, 2002)
Hydroperoxyl radical	HO ₂ •	10 s (Cutler et al., 2003)
Hydroxyl ion	OH-	Stable
Hydroxyl radical	•OH	10 ⁻⁹ s (Halliwell et al., 1999)
Organic hydroperoxide	ROOH	Stable
Alkoxy radical	•RO	10 ⁻⁵ s (Fuchs, 1992)
Peroxyl radical	•ROO	10 s (Cutler et al., 2003)
Hypochlorous acid	HOC1	<1 min (Mütze et al., 2003)
Peroxynitrite	ONOO-	10 ⁻³ s (Pacher et al., 2007)

Table 2.1: Reactive oxygen species. Overview of ROS species with full name, chemical formula and half time.

Due to its high reaction potential, is O_2 not allowed to freely float through the cell. In neurons, O_2 is bound to neuroglobin (NGB), a globin protein, to directly deliver it to the mitochondria, where it is used in the complex IV (Burmester et al., 2000, 2009). Other cellular processes might also require O_2 , but about 95% of the oxygen is consumed in the mitochondria (Wilson et al., 2012). High concentrations of O_2 in the mitochondria, however, can give rise to ROS through electron leakage (Turrens et al., 1980).

The most common result of electron leakage is a superoxide anion ($\cdot O_2^{-1}$) (Turrens et al., 1980). By itself, $\cdot O_2^{-1}$ is not very reactive, but it can remove Fe²⁺ from the Fe-S clusters inside the complexes, thus interrupting the electron chain, possibly producing more $\cdot O_2^{-1}$ (Popović-Bijelić et al., 2016; Halliwell et al., 1976). In aqueous solution, $\cdot O_2^{-1}$ reacts with water to hydroperoxyl radicals (HO₂•) in an equilibrium (Halliwell et al., 1999). Unlike $\cdot O_2^{-1}$, HO₂• is more reactive and can remove hydrogen atoms from polyunsaturated fatty acids possibly initiating lipid peroxidation by forming lipid radicals that propagate throughout and destabilize the membrane from one carbon to the next (Bieri, 1959). Lipid radicals can react with molecular oxygen leading to lipid peroxyl radical (Wolfson et al., 1956). Especially unsaturated fatty acids are prone for peroxidation (Bunyan et al., 1968), which increases membrane rigidity (Dobretsov et al., 1977) and alters their permeability (Smolen et al., 1974).

Hydrogen peroxide (H₂O₂) is a thermodynamically unstable metabolite of \bullet O₂⁻ and may decompose to O₂ and H₂O (Davey, 1925). However, if Fe²⁺ is present, it undergoes a different reaction, called Fenton reaction that auto-catalytically produces highly reactive radicals (Fenton, 1894).

$$Fe^{2+} + H_2O_2 \rightarrow Fe^{3+} + \bullet OH + OH^-$$
$$Fe^{3+} + H_2O_2 \rightarrow Fe^{2+} + HO_2 \bullet + H^+$$

The two radicals produced by the Fenton reaction, •OH and HO₂•, are both capable of initiating lipid peroxidation (Gutteridge, 1984). The hydroxyl radical (•OH), however, is far more dangerous than the HO₂•, since it is even less stable, with a half-life of 10^{-9} s *in vivo*, and will react with any macromolecule in its path, be it carbohydrates, nucleic acids, lipids or proteins (Cutler et al., 2003). On the other hand, ROS do not only damage compartments of the cells. They are also utilized by

macrophages and micorglia to get rid of infectious organisms like bacteria (Ano et al., 2010) or in signaling pathways (Rhee, 2006).

Hypochlorous acid (HOCl), for example, is produced through a myeloperoxidase that combines a molecule of H_2O_2 with a chloride ion and also belongs to the group of ROS (Harrison et al., 1976). It can easily oxidize thiol groups and amino groups of proteins (Pereira et al., 1973). Usually, protein oxidation leads to a swift degradation of the damaged protein, but sometimes this damage is intentional. Through the oxidation of methionine – a process the cell can reverse (Sharov et al., 2000) – subsequent phosphorylation of nearby serine, threonine or tyrosine sites is inhibited and thus the protein's function is heavily influenced (Veredas et al., 2017). Due to these features HOCl is also used to defend the cell against intruders (Thomas, 1979) similar to $\cdot O_2^{-}$ (Ano et al., 2010) as well as peroxynitrite (ONOO⁻) (Augusto et al., 1996), which is produced from a reaction of H ₂O₂ and nitrite (NO₂⁻) (Saha et al., 1998).

2.3.3 Endogenous removal of ROS

Superoxide dismutase (SOD) enzymes detoxify the ${}^{\circ}O_2{}^{\circ}$, generating O_2 and H_2O_2 (McCord et al., 1969). Two different kinds of SODs exist. The cytosolic CuZn-SOD, SOD1 and the mitochondrial Mn-SOD, SOD2. The catalyzed reaction, however, is very similar. In a first step the Cu²⁺ or the Mn³⁺ is reduced to oxidize the ${}^{\circ}O_2{}^{\circ}$ to O_2 . Cu and Mn are regenerated through reduction of another ${}^{\circ}O_2{}^{\circ}$ with 2H⁺ to H₂O₂. (Tainer et al., 1983; Borgstahl et al., 1992) This, however, leaves mitochondrial produced H₂O₂ in close proximity to iron, which may initiate Fenton chemistry and severely damage mitochondrial compartments.

Damage to the mitochondrial genome through ROS, for example, can lead to critical mutations that

will slowly dysfunctionalize the mitochondria (Tanaka et al., 1996). Since repair systems are not as efficient as inside the nucleus (Larsen et al., 2005), H_2O_2 must be taken care of before it can react with the iron ions. Cells use the enzyme catalase to remove H_2O_2 molecules and turn them into H_2O and O_2 (Loew, 1900).

Vitamin E and C as well as glutathione (GSH) can intercept the lipid peroxidation cycle at different points. The vitamins can substitute for a lipid in the reaction with the lipid peroxy radical, resulting in stable vitamin radicals and lipid hydroperoxide. However, since lipid hydroperoxide itself is still quite unstable, GSH can detoxify it to stable lipid alcohols. GSH is dimerised to glutathione disulfide (GSSG) that is reconstituted by a GSH reductase. On top of that, GSH might also intercept lipid radicals to return them to their previous state (Ayala et al., 2014; Benzie, 1996).

2.3.4 Increased ROS vulnerability of SN pars compacta neurons

Dopaminergic neurons of the SN *pars compacta* have been shown to be highly susceptible to oxidative stress that was often traced back to strained mitochondria (Henchcliffe et al., 2008). Those neurons have an intrinsic pacemaker driven by Ca²⁺ channels that works without afference to maintain basal dopamine levels in *striatum* (Sanghera et al., 1984). Constant use of this channel leads to high intracellular Ca²⁺ levels that need to be removed through ATP dependent carrier proteins (Ivannikov et al., 2010). Yet, mitochondrial mass in dopaminergic neurons of the SN *pars compacta* is low compared to other dopaminergic neurons (Liang et al., 2007). Increased ATP demand paired with lower amounts of mitochondria likely overburdens the organelles making them more prone for ROS production.

Dopamine itself also makes the cells more vulnerable to oxidative stress. Usually the neurotransmitter is mostly stored in vesicles (Mosharov et al., 2003), but should it accumulate in the cytosol, it is rapidly degraded either by a monamino oxidase (Rosengren, 1960) bound to the outer mitochondrial membrane (Greenwalt et al., 1970) or through autoxidation. Enzymatic degradation of dopamine yields 3,4-dihydroxyphenylacetaldehyde and 3,4-dihydroxyphenylacetic acid and H_2O_2 (Hornykiewicz et al., 1987), which may react according to Fenton chemistry, due to high levels of iron in these neurons (Francois et al., 1986). Autoxidative dopamine degradation, on the other hand, leads to leukoaminochrome that in a cyclic reaction may reduce O₂ to $\bullet O_2^-$ and become aminochrome. Through a DT-diaphorase, the aminochrome reacts to leukaminochrome. Another $\bullet O_2^-$ may also demerge from aminochrome yielding indolequinone which is transformed into neuromelanin (Graham, 1986). Thus, high levels of cytosolic dopamine leads to high levels of ROS and cell death.

2.3.5 Exogenous ROS sources

Air and water pollutants have high potential to damage nigral neurons through ROS. Prior to the appearance of clinical symptoms, classical PD pathology reportedly occurs in the *bulbus olfactorius*, which suggests a possible role of inhaled toxins (Braak et al., 2006). Deceased residents of highly polluted areas like Mexico City show high levels of neuroinflammation and accumulation of encapsulated SNCA (Calderón-Garcidueñas et al., 2008). High exposure to particulate matter (PM) has been linked to an increased risk of PD (Liu, et al. 2016), but this is currently still under discussion. In animal models, however, PMs were shown to reach the brain and act as neurotoxins (Calderón-Garcidueñas et al., 2008).

The composition of these PMs can vary depending on the surrounding environment and is important in regard to their ability to produce ROS (Perrino et al., 2015; Mateus et al., 2013). PMs in rural areas consist of pollen and crustal materials, in urban areas of exhaust and combustion, side products of anthropogenic origin (Kundu et al., 2014). Generally, in urban areas PMs have high amounts of metals (Cr, Co, Ni, Mn, Zn, V, Cu and mostly Fe) and polycyclic aromatic hydrocarbons (PAHs) (Harrison et al., 1995). Especially Fe and Cu can accelerate ROS generation through Fenton chemistry (Gutteridge, 1983). In human endothelial lung cells, ultrafine (20-80 nm diameter) titan oxide (TiO₂) particles have been shown to elicit release of free radicals (Singh et al., 2007). Another study found increased lipid peroxidation as well as SOD and catalase levels after PM exposure *in vivo* (Gurgueira et al., 2002). Through the *bulbus olfactorius* possible pathogens like TiO₂ may bypass the BBB (Hanson et al., 2008).

2.4 Models of PD

2.4.1 Oxidopamine

Over the course of time, a few chemical models of PD have been established deriving from different herbicides, most of which have become banned from using. The first model, oxidopamine (6-ODHA) (Fig. 2.3), established in the 1960s, however, was never used as a pesticide. 6-ODHA is structural analogous to dopamine, that has one additional hydroxyl-group and demonstrates similar autoxidative properties to dopamine (Soto-Otero et al., 2000). Since it has been shown to appear in brain (Curtius et al., 1974) and urine (Andrew et al., 1993) of PD patients, 6-ODHA is considered an endogenous neurotoxic factor in the pathogenesis of PD.

It is used as an *in vivo* model to generate lesions in the dopaminergic neurons of the SN. The specificity of the chemical is given through its dependance on dopamine transporters (DATs) to

enter the cell (Ungerstedt, 1968). A big downside of this model is its technical limitations. Since the 6-ODHA cannot transverse the BBB, it has to be injected directly into the SN to take its effect (Blandini et al., 2008). Over a short period of time (12 hours to 2-3 days), the cells of the *pars compacta* die (Ungerstedt, 1968). Often the VTA, which is dopaminergic, yet only implicated in late stage PD, is used as a control to judge the toxicity of the model (Przedborski et al., 1995). Unlike the disease, this model does not feature an accumulation of Lewy-bodies (Ungerstedt, 1968).

2.4.2 1-Methyl-4-phenylpyridinium

In the 1970s, the chloride salt of 1-methyl-4-phenylpyridinium (MPP⁺) (Fig. 2.3) was used as a herbicide under the term cyperquat, until in 1976 a young man named Barry Kidston showed up with PD like symptoms (Vinken et al., 1994; Langston et al., 2014). He had ingested home-brewed desmethylprodine (MPPP), that was polluted with 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) (Langston et al., 1983). He died 18 months later from an overdose of cocaine. During his autopsy, forensics discovered a loss of dopaminergic neurons in the SN and an accumulation of Lewy-bodies (Davis et al., 1979). In subsequent studies in rodents and non-human primates, MPTP was verified as the origin of Kidston's Parkinsonism (Langston et al., 1984). However, scientists were unable to reliably reproduce the Lewy-body pathology in the MPTP model, except for some primate studies (Kowall et al., 2000). Regardless, ever since its discovery, MPTP has been the most common *in vivo* model system for PD, since it is much more easy to incorporate than 6-OHDA. The mechanism behind the MPTP mediated pathology has, of course, also been studied extensively. Once MPTP enters the brain area, it is metabolized in astrocytes by the monoaminoxygenase B (MAO-B) to MPP⁺ (Ransom et al., 1987). Due to its structural similarity to dopamine, MPP⁺ can specifically enter dopaminergic neurons through DATs (Kitayama et al., 1993). There, it interferes with the complex I of the mitochondria and uncouples the electron chain, causing massive amounts of ROS to be released and thus cell death of dopaminergic neurons in the SN (Nicklas et al., 1985). Where exactly the MPP⁺ interferes with the complex I remains in the open. MPP⁺ itself cannot cross the BBB, thus MPTP has to be administered if the model is to be used in vivo (Riachi et al., 1989).

2.4.3 Rotenone

Another common chronic model of PD, called rotenone (Fig. 2.3), follows a similar mechanism. Rotenone is an isoflavone that, like MPP⁺, was also used as a pesticide (Ebeling, 1945) and reportedly causes cell death of the dopaminergic neurons in the SN (Betarbet et al., 2000). Unlike the previous models, the rotenone model features Lewy-body pathology (Sherer et al., 2003) and the toxic mechanism is similar to that of MPP⁺ (Oberg, 1961). Rotenone inserts itself into the complex I and disrupts the electron transfer at the CoQ10 binding site (Horgan et al., 1967). Overflowing electrons are then transferred to O_2 and thus produce ROS (Li et al., 2003). Rotenone is lipophilic and can cross the BBB like MPTP (Caboni et al., 2004). However, rotenone lacks the specificity of 6-OHDA or MPTP. It can enter any cerebral cell and is not limited to dopaminergic neurons. Thus, concentrations have to be adjusted very carefully. Due to this, the model often encounters problems with high mortality rates (Zhang et al., 2017). While rotenone and MPTP strongly increase ROS output, they also cause ATP depletion in affected cells, since the complex I can no longer help to sustain the proton gradient used by the complex V (Giordano et al., 2012). Especially, rotenone and MPP⁺ are nowadays very often used as model systems, because complex I inhibition has also been shown to be a possible pathology of PD (Schapira et al., 1990).

2.4.4 Paraquat

The most recent model, paraquat (Fig. 2.3), was also used as a herbicide, but got banned in the EU in 2007 when it became evident that paraquat exposure associated with a higher risk of PD affliction (Court of first instance of the european union, 2007). Paraquat has a similar structure to MPP⁺ and also uses DATs to enter specifically dopaminergic neurons, but does not interfere with the complex I (Rappold et al., 2011). It rather works as a redox cycler by taking electrons from donors like NADPH and transferring it to O_2 yielding $\bullet O_2^-$ (Bus et al., 1984). Paraquat treatment in mice has shown impairment of motor activity and a loss of dopaminergic cell mass in the SN (Brooks et al., 1999) with the presence of Lewy-bodies (Manning-Bog et al., 2002). At the moment, there is still a discussion whether or not paraquat is a sufficient model system (Berry et al., 2010).

2.4.5 Other models

Of course, not only these described chemicals can induce PD like symptoms. Chronic exposure to the highly controversial glyphosate (Fig. 2.3), for example, has been reported to induce mitochondrial dysfunction and increased ROS generation *in vitro* (Kašuba et al., 2017), in *C. elegans* (Bailey et al., 2018) and in rats (Astiz et al., 2012). The mechanism behind this, however, remains elusive. So far, glyphosate may not be used as a model of PD, but in the future, it might become one. These environmental toxins have been shown to cause PD like symptoms, but these are not the only models used to study PD. Molecular structures of some molecul

Hereditary models of mutations, that actually account for ~25% of PD cases worldwide, exist.
These genes include SNCA, LRRK2, phosphatase and tensin homolog induced putative kinase 1 (PINK1), Parkin (PRKN) and Parkinson disease protein 7 (PARK7). It is interesting to note that all of these corresponding proteins have been implicated in clearance of ROS or removal of damaged mitochondria, also supporting the ROS theory (Deng et al., 2018).



Figure 2.3: **PD linked toxins.** Formula of small molecules causing PD like pathologies. MPTP is a protoxin that is metabolized by MAO-B to yield the toxin MPP⁺. Structers were created using ChemSketch V5, Advanced Chemistry Development, Inc., Toronto, On, Canada, www.acdlabs.com, 2019.

2.5 Antioxidants as possible therapeutics

2.5.1 Vitamin E, C and CoQ10

As already mentioned, cells have many ways to deal with ROS. However, a lot of different compounds can be used by the cell to dispose of ROS independent of enzymes. Vitamin E (α -tocopherol) (Fig. 2.4) is highly lipophilic and distributed abundantly throughout a cells membrane (Wang et al., 1999). It is a scavenger for ROS and breaks the lipidperoxidation chain (Traber et al., 2007). Vitamin C (Fig. 2.4), on the other hand, is water soluble and reacts with ROS in the cytosol, while also regenerating vitamin E from its radical (Guaiquil et al., 2001). CoQ10 (Fig. 2.4), the final electron acceptor in complexes I and II, can protect lipids, proteins and DNA by directly quenching ROS and taking two electrons to form CoQ10H₂ (Frei et al., 1990).

Since vitamin E and C are used by the cell itself to fight ROS, many studies have investigated whether additional vitamins might prove beneficial for PD patients. Unfortunately, a lot of those studies contradict each other. An investigation whether vitamin E administration was able to protect

from 6-OHDA induced cell death proved successful *in vivo* (Roghani et al., 2001). While a combined treatment with vitamin E and C in humans at first showed promising results (Fahn, 1992), double blind follow up trials completely belie those data, showing no benefits in PD (Parkinson Study Group, 1993). On the other hand, a study more recently suggested that high dietary uptake of vitamin E reduced the risk of PD (Zhang et al., 2004). For vitamin C, the results are even more disappointing. Some studies report a positive (Zhang et al., 2004), some none (Etminan et al., 2005) and some even a negative response (Heikkila et al., 1987).

Other postmortem studies have shown an accumulation of CoQ10H₂ in the blood plasma and platelets of PD patients (Buhmann et al., 2004). This might point to an overload of the CoQ10 dependent redox system and administration of additional CoQ10 might prove beneficial. Indeed, CoQ10 was able to avert rotenone and paraquat induced mitochondrial dysfunction and neurodegeneration in mesencephalic primary neurons. This extended even to a protection of the mitochondrial membrane potential and caused the organelles to produce less ROS (Moon et al., 2005). This was verified *in vivo* in mice exposed to MPTP (Beal, 1998). Oral treatment in primates also showed protective effects of CoQ10 (Horvath et al., 2003). However, in clinical trials only high amounts of oral administration of CoQ10 showed a protective trend, but no significances (NINDS NET-PD Investigators, 2007).

2.5.2 MitoQ and MitoVitE

Since usually a lot of these antioxidants have to be administered in clinical studies to observe beneficial effects, antioxidants have been developed that are immediately localized to the mitochondria. This is achieved by merging the antioxidant to a lipohilic cation such as triphenylphosphonium (TPP). Through its hydrophobic surface TPP has the ability to swiftly cross membranes (Ross et al., 2005). The positively charged phosphorous atom ensures accumulation inside the mitochondria driven by the membrane potential generated by the proton gradient (Liberman et al., 1969).

Mitoquinone (MitoQ) is such a merged antioxidant. It predominantly locates to the matrix-facing surface of the inner mitochondrial membrane with the quinone part of the compound integrating into the interior of the membrane. There, the MitoQ confidentially scavenges ROS and protects the membrane from lipid peroxidation (Kelso et al., 2001). After detoxification complex II recycles the MitoQ. Complex I, on the other hand, only reduces oxidized MitoQ poorly (James et al., 2005). However, MitoQ redox cycling can also, under certain circumstances, generate ROS like its parent CoQ10 (Doughan et al., 2007). In PD model studies *in vitro* as well as *in vivo*, MitoQ has shown very promising effects. It was able to be neuroprotective against MPP ⁺ and MPTP, protecting the

dopaminergic neurons of the SN (Ghosh et al., 2010). Clinical trials of MitoQ so far, however, have been unsuccessful (Snow et al., 2010).

Vitamin E was chronologically the first antioxidant conjugated to TPP. The result of this reaction was referred to as MitoVitE, which accumulated in high amounts inside the mitochondria and efficiently scavenged ROS (Smith et al., 1999). When given to mice, MitoVitE is rapidly distributed to tissue that shows the highest oxidative stress, suggesting that the organism's vitamin E transportation is readily used for MitoVitE as well (Smith et al., 2003). High levels of MitoVitE, however, proved to be cytotoxic (Covey et al., 2006). As of now, clinical data regarding the potential of MitoVitE are still lacking.

2.5.3 Phenothiazine

So far, however, all of the other antioxidants have been lackluster in clinical trials (Fahn, 1992; Parkinson Study Group, 1993; Etminan et al., 2005; NINDS NET-PD Investigators, 2007; Snow et al., 2010). They are either not strong enough in their antioxidative properties, unable to pass the BBB or can cause secondary negative effects in the patient. A few years ago, a promising antioxidant taking the name of phenothiazine (PHT) (Fig. 2.4) has emerged (Moosmann et al., 2001). It has shown very strong neuroprotective effects in primary neuronal cell cultures in the MPP⁺ model (Hajieva et al., 2009), as well as in *C. elegans* even in very low doses (Mocko et al., 2010). How it fares in *in vivo* studies in mammals or humans still remains to be seen.

PHT is likely to travel through the BBB since many of its derivatives are commonly used antipsychotics. Before it was superseded by better drugs, PHT was used as antihelmintic in humans. Thus, possible side effects are known and shown to be quite scarce (Ohlow et al., 2011). PHT might have what it takes to become a possible treatment option for PD, but more studies have to be done at first. Since the available PD treatment so far only revolves around symptom management through L-DOPA and acetylcholinesterase inhibitors in late stage PD, a dependable drug that could halt the progression of the disease would be very welcome indeed.



Figure 2.4: **Antioxidants.** Formula of antioxidants, which have shown protective properties in models of PD. Structers were created using ChemSketch V5, Advanced Chemistry Development, Inc., Toronto, On, Canada, www.acdlabs.com, 2019.

2.6 Epigenetics and Parkinson's disease

2.6.1 Epigenetics

Genetic information is known to be stored on the DNA, the information is then processed and delivered via RNA and finally turned into a functional protein. How and when the information from the DNA is transcribed into RNA without changing the genetic code itself, is regulated by epigenetics which may alter phenotype without altering genotype.

Adrian Bird, a pioneer in the field of epigenetics, once defined epigenetics as the structural adaptation chromosomal regions to code, signal and conserve changed states of activation. These changes in state of activation extends from the development of a cell from a totipotent stemcell to a differentiated somatic cell towards adaptational processes in response to environmental stimuli (Bird, 2007). Nutrient availability in the parent generation has reportedly affected the epigenetic status of their offspring (Geraghty et al., 2016). In turn, oxidative conditions would require the cells to adapt, to either increase assembly of the defense system or shut down the ROS generation sources. Whether epigenetic factors are relevant for neurodegenerative disorders like PD remains to

be seen, but epigenetic adaptations to excessive amounts of ROS have been shown (Franco et al., 2008).

Epigenetic modifications stretch from direct DNA modifications to post translational modifications of DNA adjacent proteins as well as silencing RNA effects (Bird, 2007). Through changes to the nucleosome the DNA is packaged more tightly or opened up resulting in higher or lower transcription levels. These two states are referred to as euchromatin (open) and heterochromatin (closed) (Hsu, 1962). The latter can further be classified as facultative or constitutive heterochromatin. Constitutive heterochromatin is almost always present and required for chromosomal stability for example at the centromere (Saksouk et al., 2015), while facultative heterochromatin is more dynamic and depends on tissue and cell type (Gilbert et al., 2003).

2.6.2 DNA-metyhlation

Immediate DNA modification in eukaryotes is mostly restricted to methylation of the 5 th carbon (C) atom of cytosine in the carbon ring (Hotchkiss, 1948). Cytosine methylation represses transcription of the associated gene most of the times, either through sterical effects or by recruiting with a methyl binding domain (MBD) that blocks the RNA polymerase and causes dissociation of the transcription complex (Rösl et al., 1993).

In mammals, most cytosine methylation is found adjacent to another guanine, termed CpGmethylation (Sinsheimer, 1955). Especially in neurons, however, many non CpG-methylation sites can be observed (Lister et al., 2013). In somatic cells of vertebrates, about 80% of the existing CpG sites are methylated (Ehrlich et al., 1982). The remaining 20%, which are not methylated, are called CpG-islands of which about 50% are located in gene promoter regions (Saxonov et al., 2006). In somatic cells 10% of the CpG islands are indeed metyhlated, silencing the associated gene's transcription (Jeziorska et al., 2017). Interestingly, CpG islands do not occur as often as expected in the human genome (Lander et al., 2001). This is testimony to a high susceptibility of 5metyhlcytosine to mutate. Desamination turns 5-methylcytosine into a thymine, causing a guanine thymine mismatch. Sometimes the DNA repair system is unable to return the base pair to its former state, instead removing the guanine and replacing it with an adenine (Duncan et al., 1980).

Cytosine methylation in humans is established through a family of three enzymes called DNA methyltransferases (DNMTs). This family can be divided into two different kinds of DNMTs, DNMT1 on one side and DNMT3A and DNMT3B on the other side. DNMT1 is a maintenance methyltransferase that is active during replication and requires a hemi-methylated DNA strand as a blueprint (Pradhan et al., 1999; Leonhardt et al., 1992). DNMT3A and DNMT3B on the other hand can establish DNA methylation patterns *de novo* (Okano et al., 1999). DNMT3A and DNMT3B

both can to some degree compensate for a loss of DNMT1 (Rhee et al., 2000), but DNMT1 cannot compensate for a loss of either DNMT3A or DNMT3B (Chen et al., 2003). The *de novo* DNMTs are required for genomic imprinting (Hata et al., 2002), X chromosome inactivation (Nesterova et al., 2008), development (Okano et al., 1999) and epigenetic adaptation (Watson et al., 2014). The former is an epigenetic regulation by which in a parent-of-origin kind of matter one allele is silenced through cytosine methlyation (Monk, 1987). For humans about 75 imprinted genes are described (Peters, 2014). X chromosome inactivation, on the other hand, is an example of facultative heterochromatin that almost completely silences randomly one of the two X chromosomes of a female, known as Barr body (Ohno et al., 1959; Lyon, 1961).

Removal of cytosine methylation is catalyzed by enzymes of the ten-eleven translocase (TET) family through stepwise oxidation of the methyl group (Tahiliani et al., 2009). The first intermediate is 5-hydroxymethylcytosine (Tahiliani et al., 2009), which was found to be quite abundant in neuronal cells (Szwagierczak et al., 2010). The second intermediate is 5-formylcytosine and the last 5-carboxycytosine (Ito et al., 2011). These two last intermediates can be targeted by thymine DNA glycolyase (TDG) to excise the base (Maiti et al., 2010; He et al., 2011). The thusly-produced abasic site is then replaced by a cytosine by a DNA polymerase (Weber et al., 2016).



Figure 2.5: **DNA methylation and demetyhlation.** Methylation is established by DNMTs and removed through stepwise oxidation by TETs. 5-fC and 5-caC can be removed via TDG BER. Structers were created using ChemSketch V5, Advanced Chemistry Development, Inc., Toronto, On, Canada, www.acdlabs.com, 2019.

2.6.3 Histone modifications

Histones enable another layer of epigenetic regulation through post translational modification that either change the histones chemistry or allow a certain set of interaction partners (Allfrey et al., 1964; Bannister et al., 2004). Dimers of four different of these small proteins (H2A, H2B, H3 and H4) build an octamer the DNA is wrapped around. This octamer together with the DNA is called a nulceosome (Kornberg, 1974). A fifth histone, H1, also sometimes called histone 5, officiates as a linker between the histone cores (Zhou et al., 1998). Organized packaging of the DNA greatly stabilizes the stored information and may protect it from hazards like oxidative stress (Ljungman et al., 1992).



Figure 2.6: **Posttranslational modifications of core histones (H2A, H2B, H3 and H4).** Modified amino acids are highlighted in red, methlyation in green, acetylation in blue, phosphorylation in magenta and citrullination in teal.

Lysines of histones may become mono-, di- or even trimetyhlated by different sets of enzymes called histone methyltransferases (HMTs) (Rice et al., 2003). These side groups leave the charge of the amino acid intact and only contribute little sterical effect. They rather regulate gene expression by interacting with different secondary enzymes that allow or repress transcription, depending on which lysine is metyhlated and how (Trojer et al., 2007; Nielsen et al., 2001). The methylation can be removed again by jumonji domain-containing demethylases (JDCDs) (Tsukada et al., 2006) or lysine-specific histone demethylases (LSDs) (Shi et al., 2004).

Arginines can also become metyhlated in a similar way to lysines. They can be mono- or dimetyhlated (Allfrey et al., 1964) and interact with proteins harboring a Tudor domain that may affect transcription in either way (Gayatri et al., 2014). This modification is established by protein arginine N-methyltransferases (PRMTs) (Chen et al., 1999). Arginines can also be citrullinated (Hagiwara et al., 2002). This modification removes a positive charge from the amino acid causing the binding between the histone and the DNA backbone to weaken to increase accessibility of the

transcription machinery to the DNA (Christophorou et al., 2014).

As for many other proteins, the serines, tyrosines and threonines of histones can be phosphorylated. Histone phosphorylation can have many different effects. It can regulate transcription factors (Lau et al., 2011), but it can also loosen the bound DNA, especially allowing the DNA repair system to easily access the DNA (Downs et al., 2000). Links between histone phosphorylation and lysine acetylation have been reported (Lo et al., 2000).

Much like arginine citrullination, lysine acetylation removes a positive charge from the amino acid and weakens the binding between histone and DNA. Thus, high amounts of lysine acetylation correlate with high transcriptional activity (Allfrey et al., 1964; Marushige, 1976). Furthermore, acetylated lysines may recruit transcription factors with bromodomains that further activate transcription (Dhalluin et al., 1999). Lysine acetylation is established by histone acetyl transferases (HATs) (Racey et al., 1971) and removed by histone deacetylases (HDACs) (Kaneta et al., 1974).

2.6.4 Epigenetic changes in Parkinson's disease

Based on the accumulation of SNCA in PD, initial studies focused on epigenetic changes at this gene's site. Indeed, when intron 1 of the gene's body was hypomethylated, expression of SNCA increased (Matsumoto et al., 2010). This methylation status was confirmed in the SN of PD patients (Jowaed et al., 2010). Interestingly, methylation levels of the SNCA promoter positively correlated with the amount of administered L-DOPA (Schmitt et al., 2015). Nevertheless, the reduction in 5-methylcytosine levels is not restricted to the SNCA gene's body. A genome wide analysis of the frontal cortex of PD patients found 80% of the differentially methylated regions (DMRs) to be hypomethylated (Masliah et al., 2013).

When high levels of SNCA are present, DNMT1 appears to be sequestered into the cytosol and blocked from entering the nucleus (Desplats et al., 2011). This could explain the lowered levels of 5-methylcytosine in dopaminergic SN neurons. Dynamic changes to the DNA methylation levels might also account for the high vulnerability of those specific neurons, since dopaminergic cells derived from induced pluripotent stem cells (iPSCs) of PD patients showed different methylation patterns compared to parent cells or fibroblast derived from them (Fernández-Santiago et al., 2015). On top of that, demethylation processes are not restricted to the nucleus. The mitochondrial DNA (mtDNA) displacement loop (D-loop) showed also lesser levels of 5-methylcytosine in SN neurons of PD patients (Blanch et al., 2015). Additionally, the transcription of cytochrome P450 enzyme 2E1 (CYP2E1) is increased in the SN of PD patients, while the gene locus becomes demethylated (Kaut et al., 2012). CYP2E1 metabolizes xenobiotics and benzene – a side product of incomplete combustion (Rothman et al., 1997) – among others, and produces ROS in the process (Nieto et al.,

2002).

At the SNCA gene locus an H3K27ac dependent enhancer sequence has been identified (Vermunt et al., 2014). Another study specified the general histone acetylation status looking at specific lysine sites *in vitro* and *in vivo* using the MPTP model and postmortem PD patient brains. Some, but not all, of them were hyperacetylated, but never hypoacetylated in MPTP treated mice and cells (Park et al., 2016). The same study found HDAC1 and HDAC2 levels decreased and accumulated in autophagosomes. The observed hyperacetylation, combined with the decreased HDAC activity was confirmed in the paraquat model as well (Song et al., 2010). Mice treated with the proteasome inhibitor dieldrin showed increased histone acetylation in the SN, that was dependent on cyclic adenosine monophosphate (cAMP) response element-binding protein (CREBBP) and could be averted through treatment with anacardic acid, a HAT inhibitor (Song et al., 2010).

Since epigenetics have not been in the focus of PD, literature and studies are still quite scarce. However, the data that is already established, points to relevant processes of PD's pathology to be constituted through more or less a breakdown of epigenetic systems. Considering that epigenetics are heavily influenced by ageing and environmental toxins, two of the main risk factors of PD, this seems very likely.

2.7. Aim of this thesis

At this point, it can be summarized that PD's pathology is quite well known, while knowledge surrounding its pathogenescal origin remains far from being complete. Since PD onset can sometimes be related to exposure of environmental toxins, an involvement of environmental factors in general seems likely, which in turn would point towards epigenetics that is heavily influenced by the former. So far, some epigenetic factors have been investigated in the context of PD, but insight in the underlying mechanisms or the consequences of these epigenetic alterations remains lackluster at the best.

This thesis now aims to shed a more focused light on the nature of the epigenetic changes by addressing different factors and their behavior in the MPP⁺ model of PD. Differentiated human dopaminergic neurons (Lund human mesencephalic, LUHMES) cells, and an *in vivo* study using mice will help understanding the nature of these alterations as closely to the actual disease as possible. Since MPP⁺ causes energetic instability and excessive ROS generation, the additional treatment with the strong antioxidant PHT, will allow linking the observed changes either to energy deprivation or increased ROS levels.

Once the nature of the changes is established, a thorough investigation of the writers and erasers of epigenetic modifications is in order. It is required to understand whether alterations to the DNA

methylome, for example, are dependent on DNMT or TET disturbances. This will hopefully generate new possible treatment targets.

Finally, an adequate analysis of the implications and consequences of the alterations, most likely in the form of transcriptomics, will allow to put the observations into a bigger picture, especially in the context of mitochondrial involvement, as they are the primary target of most PD models.

Together these data will provide possible opportunities to further understand the pathogenesis of PD in regard of epigenetics, mitochondrial constitution and general energetic mechanisms, while also allowing a thorough analysis of PHT as a possible drug to prevent PD outbreak.

3 Materials and methods

3.1 Materials

3.1.1 Antibodies

3.1.1.1 Primary antibodies

Target	Host species	Dilution WB/ SB	Dilution ICC/ IHC	Supplier	Catalogue
TH	Rabbit	-	1:1000	Abcam	ab112
H3K14ac	Rabbit	1:1000	1:250	Cell Signalling	7627
Total acetyllysine	Rabbit	1:1000	1:200	Cell Signalling	9441
5-methylcytosine	Rabbit	1:1000	1:500	Cell Signalling	28692
SLC6A3	Mouse	-	1:100	Abcam	ab128848
DNMT1	Mouse	1:1000	1:200	Abcam	ab13537
DNMT3A	Rabbit	1:1000	1:200	Cell Signalling	2160
DNMT3B	Rabbit	1:100	1:100	Cell Signalling	67259
TUBB	Mouse	-	1:500	Millipore	MAB1637
SIRT1	Mouse	1:1000	1:200	Cell Signalling	8469
SIRT3	Rabbit	1:1000	1:200	Cell Signalling	2627
SIRT4	Rabbit	1:1000	-	Abcam	ab90485
Н3	Mouse	1:1000	-	Cell Signalling	14269
TUB	Mouse	1:5000	-	Sigma	T9026
TEFM	Rabbit	1:1000	-	Novus	NBP1-82109
GLUT3	Rabbit	1:1000	-	Abcam	ab191071

Table 3.1: Primary antibodies. Overview of used primary antibodies, their supplier and host and used dilutions.

3.1.1.2 Secondary antibodies

Fluorophore/ Target	Host species	Dilution WB/ SB	Dilution ICC/ IHC	Supplier	Catalogue
Cy3-Anti-rabbit	Donkey	-	1:500	Dianova	711-165-152
Cy2-Anti-mouse	Donkey	-	1:400	Dianova	715-225-151
Alexa Fluor 647-anti- rabbit	Donkey	-	1:500	Dianova	711-605-152
Horse radish peroxidase	Donkey	1:10000	-	Dianova	715-035-151

(HRP)-Anti-mouse					
HRP-Anti-rabbit	Donkey	1:10000	-	Dianova	711-035-152

Table 3.2: Secondary antibodies. Overview of used secondary antibodies, their supplier and host and used dilutions.

3.1.2 Chemicals

Chemical	Supplier	Catalogue
1-Methyl-4-phenylpyridinium (MPP ⁺)	Sigma Aldrich	D048
Phenothiazine (PHT)	Sigma Aldrich	88580
N-Methylphenothiazine (MPHT)	Sigma Aldrich	425346
2-Acetylphenothiazine (APHT)	Sigma Aldrich	175226
6-Thioguanine (6-TG)	Tocris	4061
Bafilomycin A1 (BafA1)	Toronto research chemicald	B110000
EX-527	Sigma Aldrich	E7034
Trichostatin A (TSA)	Sigma Aldrich	T8552
CellROX deep red	Thermo Fisher	C10422
Fibronectin	Sigma Aldrich	F1141
Poly-L-ornithine (PLO) hydrobromide	Sigma Aldrich	P3655
Fibroblast growth factor (FGF)	Sigma Aldrich	F0291
Glial cell-derived neurotrophic factor (GDNF)	R&D biosystems	212-GD
Tetracycline	Fluka	87128
сАМР	Sigma Aldrich	A165
Chloroform	Carl Roth	Y015.1
Isopropanol	Carl Roth	6752.2
Ethanol	Carl Roth	9065.2
Trypan blue	Sigma Aldrich	T8154
Sucrose	Carl Roth	4661.2
Sodium dodecyl sulfate (SDS)	BioRad	161-0302
Ethylenediaminetetraacetic acid (EDTA)	AppliChem	A2937
Ethylene glycol-bis(β-aminoethyl ether)- N,N,N',N'-tetraacetic acid (EGTA)	Carl Roth	3054
Protease inhibitor cocktail	Sigma Aldrich	11836145001
Phosphatase inhibitor cocktail 3	Sigma Aldrich	P0044
Hydrochloric acid (HCl) 37%	Carl Roth	4625.1
Sodium hydroxide (NaOH)	Carl Roth	6771.1
Sodium chloride (NaCl)	Carl Roth	3957.5

Potassium chloride (KCl)	Carl Roth	6781.3
Disodium phosphate (Na ₂ HPO ₄)	Carl Roth	4984.1
Monopotassium phosphate (KH ₂ PO ₄)	Carl Roth	3904.1
Tween 20	Carl Roth	9127
Triton X-100	Sigma Aldrich	T8787
Bovine serum albumin (BSA)	Sigma Aldrich	A7906
Tris(hydroxymethyl)aminoethane (TRIS) HCl	Carl Roth	9090.3
TRIS	Carl Roth	4855.2
2-Mercaptoethanol	Carl Roth	4227.3
Glycerol	VWR	1.04092.1000
Bromophenol blue	BioRad	161-0404
Non fat dried milk powder (NFDMP)	AppliChem	A0830
Sodium citrate	Sigma Aldrich	S4641
Paraformaldehyde (PFA)	Merck	818715
Dimethyl sufoxide (DMSO)	Carl Roth	A994.1
Tetramethylethylenediamine (TEMED)	BioRad	1610801
Methanol	Carl Roth	8388,1
Bisbenzimide H 33258 Fluorochrome, Trihydrochloride	Calbiochem	382061
Ammonium persulfate (APS)	Sigma	A3678
PageRuler Prestained Protein Ladder	Thermo Scientific	26617
Sodium azide (NaN ₃)	Sigma Aldrich	S8032
Polyvinyl alcohol	Sigma Aldrich	P8136
Luminol	Sigma Aldrich	123072
p-Hydroxcoumaric acid	Sigma Aldrich	C9008
30% H ₂ O ₂	Sigma Aldrich	H1009
Glycine	Carl Roth	3908.3

Table 3.3: Chemicals. Tabular overview of used chemicals and their supplier.

3.1.3 Solutions

3.1.3.1 Crafted solutions

10x Phosphate buffered salin	ne (PBS)
NaCl	80 g
KC1	2 g
Na ₂ HPO ₄	14.2 g
KH ₂ PO ₄	2.4 g
H ₂ O	11
pH	7.4 adjusted with HCl and NaOH
<u>1x PBS</u>	
10x PBS	100 ml
H ₂ O	900 ml
pH	7.4 adjusted with HCl and NaOH
<u>1x PBS-T</u>	
10x PBS	100 ml
H ₂ O	900 ml
Tween 20	0.5%
pH	7.4 adjusted with HCl and NaOH
<u>3x Lysis buffer</u>	
Sucrose	30%
TRIS HCl	150 mM
EDTA	1.5 mM
EGTA	1.5 mM
pH	6.8 adjusted with HCl and NaOH
20% SDS solution	
SDS	10 g
H ₂ O	50 ml

<u>10x PhosphoStop</u>	
Phosphatase inhibitor cocktail 3	1 tablet
H_2O	1 ml
<u>50x PIC</u>	
Protease inhibitor cocktail	1 tablet
H ₂ O	1 ml
<u>1x Lysis buffer</u>	
3x Lysis buffer	333 µl
20% SDS	100 µl
10x PhosphoStop	100 µl
50x PIC	20 µl
H ₂ O	447 µl
рН	7.4 adjusted with HCl and NaOH
10x TRIS buffered saline (TBS)	
NaCl	1.5 M
TRIS HCl	500 mM
H ₂ O	11
pН	7.6 adjusted with HCl and NaOH
<u>1x TBS-T</u>	
10x TBS	100 ml
H ₂ O	900 ml
Tween 20	0.5%
pH	7.6 adjusted with HCl and NaOH

<u>20x SSC</u>

NaCl	3 M
Sodium citrate	300 mM
H ₂ O	11
pH	7 adjusted with HCl and NaOH

<u>10x SSC</u>

20x SSC	50 ml
H ₂ O	50 ml

5x Loading buffer

TRIS HCl	750 mM
SDS	15%
Bromophenol blue	0.1%
H ₂ O	3.85 ml
Glycerol	3.85 ml
2-Mercaptoethanol	2.5 ml
pH	6.8 adjusted with HCl and NaOH

10x Running buffer

Glycine	1.92 M
TRIS	152.7 mM
TRIS HCl	97.3 mM
SDS	1%
H ₂ O	41
pН	8.3 adjusted with HCl and NaOH

1x Running buffer

10x Running buffer	100 ml
H ₂ O	900 ml

Transfer buffer

5x Transfer buffer	200 ml
Ethanol	200 ml
H ₂ O	600 ml

<u>4x Collection gel buffer</u>

TRIS HCl	0.6 M
SDS	0.4%
H_2O	11
pН	6.8 adjusted with HCl and NaOH
33	

Separation gel buffer	
TRIS	1.5 M
SDS	0.4%
H ₂ O	11
pН	8.8 adjusted with HCl and NaOH
<u>10% APS</u>	
APS	1 g
H ₂ O	10 ml
<u>10% BSA</u>	
BSA	1 g
H ₂ O	10 ml
Blocking solution	
NFDMP	4 g
PBS-T	100 ml
<u>10% NaN3</u>	
NaN ₃	1 g
H_2O	10 ml
Primary antibody solution	
PBS-T	10 ml
10% NaN ₃	100 µl
Primary antibody	See table 3.1 (Dilution WB/SB)
Secondary antibody solution	
PBS-T	10 ml
Secondary antibody	See table 3.2 (Dilution WB/SB)
2x DNA denaturation buffer	
NaOH	200 mM
EDTA	20 mM

<u>4% PFA</u>

PFA	2 g
H ₂ O	50 ml

Immuno blocking solution	
10% BSA	300 µl
Triton X-100	1 µl
1x PBS	699 µl

Primary antibody immuno solution		
10% BSA	100 µl	
1x PBS	900 µl	
Primary antibody	See table 2 (Dilution ICC/IHC)	

Secondary antibody immuno solution		
10% BSA	100 µl	
1x PBS	900 µl	
Seconday antibody	See table 3 (Dilution ICC/IHC)	
DAPI stock		
Bisbenzimide H33258	5 mg	
Methanol	100 ml	

DAPI solution

DAPI stock	1 µl
PBS	999 µl

Mounting media

1x PBS	7 ml	
Elvanol	1 g \longrightarrow solved at 60 °C in 1x PBS	
Glycerol	3 ml	
p-Phenylendiamine	10 mg	
pН	8 adjusted with HCl and NaOH	
Solution was transferred to 1ml syringes and stored at -80 °C.		

ECL solution A	
0.1M TRIS-HCl	100 ml
Luminol	25 mg
рН	7 adjusted with HCl and NaOH
ECL solution B	
DMSO	10 ml
p-Coumaric acid	11 mg
Blot developer solution	
ECL solution A	1 ml
ECL solution B	100 µl
$30\% H_2O_2$	1 µl

3.1.3.2 Purchased solutions

Solution	Supplier	Catalogue
DEPC treated H ₂ O	Ambion	AM9916
TissueTek O.C.T. Compound	Science Services	4583
OmniPur Acrylamide: Bis Solution 29:1 (Acrylamide)	Merck	1690-OP
Trypsin-EDTA	Gibco	15400054
Fetal calf serum (FCS) inactive	Life technologies	10270106
Antibiotic antimycotic (AB-AM) solution	Gibco	15240062
TRI-Reagent	Sigma	T9424
Dulbecco's modified eagle's medium (DMEM)/ F12	Lonza	BE 12-719F/12M
N-2 Supplement	Gibco	17502048
5x Transfer buffer	BioRad	10026938

Table 3.4: Purchased solutions. Tabular overview of purchased solutions and their supplier.

3.1.4 Kits

Kit	Supplier	Catalogue
Pierce BCA protein assay kit	Thermo Fisher	23225
DNeasy blood & tissue kit	Qiagen	69504

FLUOR DE LYS SIRT1 fluorometric drug discovery assay kit	Enzo Lifesciences	BML-AK555-0001	
TruSeq stranded mRNA LT Sample Prep Kit	Illumina	RS-122-2101	
Qubit dsDNA HS Assay Kit	Thermo Fisher	Q32854	

Table 3.5: Kits. Tabular overview of used kits and their supplier.

3.1.5 Cell lines

Cell line	Source	Туре	Supplier
Lund human mesencephailc (LUHMES) cells	Human	Neural stemcells	Laboratory of Jochen Klucken

Table 3.6: Cells. Tabular overview of used cell lines and their supplier.

3.1.6 Animals

Mouse line	Provider	Age at start	Sex
C57BI/6J	Charles River Laboratories	10 +/- 2 weeks	Male

Table 3.7: Animals. Tabular overview of used mouse lines, their provider, age and sex.

3.1.7 Equipment

Item	Supplier
Trans-Blot Turbo Transfer System	BioRad
BIO-LINK BLX-254	Peqlab
CKX31	Olympus
Heraeus Multifuge 3 S-R	Thermo Scientific
BL 6100	Sartorius
Neubauer counting chamber	OptikLabor
NanoDrop 1000	Peqlab
AF 80	Scotsman
1000µl Blue, Graduated Tip	TipOne
100µl Yellow, Graduated Tip	TipOne
10µl White, Graduated Tip	TipOne
E 26	Heidolph
Water bath 18L 462-0558 VWR	
Duomax 1030 Heidolph	
Axiovert 200	Zeiss

CELLSTAR serological pipette (10 ml)	Greiner bio-one		
Cell spatula	ТРР		
CELLSTAR TUBES (15 ml)	Greiner bio-one		
CELLSTAR TUBES (50 ml)	Greiner bio-one		
Reaction tube 0.5 ml	A.Hartenstein		
Reaction tube 1.5 ml	A.Hartenstein		
Reaction tube 2 ml	A.Hartenstein		
Multiskan SC	Thermo Labsystems		
UP50H	Hielscher		
Amersham imager 600	GE-Healthcare Life Sciences		
Milli-Q reference A+	Millipore		
Thermomixer comfort	Eppendorf		
ROCKER 2D basic	IKA		
Research plus 0.5-10 µl	Eppendorf		
Gilson PIPETMAN Classic 20-200 µl	Fisher Scientific		
Gilson PIPETMAN Classic 100-1000 µl	Fisher Scientific		
Heraeus Fresco 17 centrifuge	Thermo Scientific		
PowerPac Basic	BioRad		
Tissue Culture Dish (22.1 cm ²)	TPP		
Tissue Culture Dish (60.1 cm ²)	TPP		
Tissue Culture Test Plate (96-well)	TPP		
Tissue Culture Test Plate (24-well)	TPP		
Microscope Coer Glasses 12 mm	VWR		
100 Deckgläser 24x50 mm	Carl Roth		
SuperFrost Plus Objektträger	Hartenstein		
Mini-PROTEAN Tetra Cell Casting clamp	BioRad		
Mini-PROTEAN Tetra Cell Casting stand	BioRad		
Short plate	BioRad		
Spacer plate (1.0 mm)	BioRad		
Gel comb (10 lanes)	BioRad		
Gel comb (15 lanes)	BioRad		
Nitrocellulose	BioRad		
Blotting paper	BioRad		
Mini-PROTEAN Tetra Vertical Electrophoresis Cell	BioRad		
HERAcell 240i	Thermo Fisher		
1420 Multilabel Counter VICTOR ³ V	Perkin Elmer		

BD Microlance 3	BD
RCT basic	IKA Labortechnik
Nylonmembrane	Boehringer Mannheim
CM 1900	Leica
MAS20	MAS Medical & Scientific
Multiskan	Thermo Laboratories
Minifold Vakuumfiltrationssystem SRC 96-D	Schleicher&Schuell
Bioanalyzer 2100	Agilent Technologies
Qubit 2.0 Fluorometer	Life Technologies
TCS SP5 Confocal microscope	Leica
NextSeq 500 High Output Flowcell	Illumina

Table 3.8: Equipment. Tabular overview of used equipment and their supplier.

3.2 Methods

3.2.1 Cell culture

3.2.1.1 Coating

TPP plastics and glass cover slips were coated with PLO and fibronectin in H $_2O$ (Table 3.9) over night at 37 °C with 20% O₂ and 5% CO₂. Coating media was removed the next day and coated surfaces washed three times with H $_2O$ with the same volume as administered coating media.

Surface	Coating media	Culture media	Trypsin
Cover slip in 24 well plate	500 µl	500 µl	100 µl
6cm dish	1 ml	3 ml	1 ml
10cm dish	4 ml	7 ml	2 ml

Table 3.9: Media volumes. Overview media volumes used in cell culture.

3.2.1.2 LUHMES cells

3.2.1.2.1 Stem cells

Lund human mesencephailc (LUHMES) stem cells were incubated in 37 °C pre-warmed F12/DMEM media supplemented with 1x N-2 supplement, 40 ng/ml FGF, 0.5% FCS and 1x AB-

AM on PLO and fibronectin coated TPP plastics at 37 °C with 20% O₂ and 5% CO₂. Medium was changed every other day and cells were moved once they had reached a confluency of 80%. Cells were dissociated with 1x trypsin-EDTA (Table 3.9) over 3min at 37°C with 20% O₂ and 5% CO₂ and removed from the plastic with supplement free media and transferred to a 15 ml tube. The suspended cells were centrifuged at 500 g for 4 min at room temperature. The old media was removed and the cells were reconstituted in supplemented media (Table 3.9) and transferred at ratio 1:5 to newly coated plastics.

3.2.1.2.2 Differentiation

LUHMES stem cells were dissociated from the plastic with 1x trypsin-EDTA (Table 3.9) over 3 min at 37 °C with 20% O_2 and 5% CO_2 , removed from the plastic with supplement free, 37 °C prewarmed media and transferred to a 15 ml tube. 50 µl of the cell suspension was moved to a 96-well plate and mixed with 50 µl 1x trypan blue. While the suspension cells were centrifuged at 500 g for 4min at room temperature, the cells in the trypan blue mix were counted using a Neubauer counting chamber under the CKX31 microscope from Olympus. The result was divided by two and multiplied by the volume of the cell suspension media.

After centrifugation the old media was removed and replaced by 1 ml 37 °C pre-warmed media without supplements. $7x10^4$ cells/cm² were seeded on 22.1 cm² dishes or glass cover slips in a 24well Tissue Culture Test Plate in 37 °C pre-warmed F12/DMEM media supplemented with 1x N2, tetracycline, 1 mM cAMP, 2 ng/ml GDNF and 1x AB-AM (Table 3.9). Cells were incubated at 37 °C with 20% O₂ and 5% CO₂. Media was changed after two days and again after five days. After five days, cells were treated with different compounds (Table 3.10) in fresh media.

Compound	Final concentration	Duration
1-Methyl-4-phenylpyridinium	10 µM	48 h
Phenothiazine	20 nM	48 h
N-Methylphenothiazine	20 nM	48 h
2-Acetylphenothiazine	20 nM	48 h
6-Thioguanine	1 µM	48 h
Bafilomycin A	500 nM	4 h
EX-527	100 nM	48 h
Trichostatin A	50 nM	48 h
CellROX deep red	5 μΜ	30 min
Vehicle	0.001%	-

 Table 3.10: LUHMES cell treatments. Overview of treatments with final concentration and duration. Duration of vehicle treatment depended on duration of the treatment.

3.2.2 Biochemical protocols

3.2.2.1 Western Blot

3.2.2.1.1 Protein isolation

After treatment, media was removed and 200 μ l 1x lysis buffer were added. Cells were dissociated with a cell spatula and collected in a 1.5 ml reaction tube. To disrupt cellular membranes and compartments, the suspension was sonicated at cycle 1, amplitude 70, two times for 10 s with the UP50H.

3.2.2.1.2 Protein concentration determination

Protein concentration were measured using the bicinchoninic acid (BCA) approach that is based on the concept of two reactions. First, present Cu²⁺ ions are reduced by the peptide bonds of proteins to Cu⁺ ions which is chelated by two BCA molecules in the second reaction building a purple complex whose density can be measured at the wavelength of 562 nm (Smith, et al. 1985).

BSA standard was prepared according to protocol (2, 1, 0.5, 0.25, 0.125, 0.0625, 0.03125 mg/ml). Samples were measured in duplicates. 2 μ l of standard or samples were put into a well of a 96 well plate. BCA developer solution was prepared according to manufacturer's instructions from the Pierce BCA protein assay kit. To each used well, 200 μ l of BCA developer solution were added and the plate was incubated for 30 min at 60 °C to increase complex formation. Afterwards, protein concentration was measured using the Multiskan SC with a 562 nm filter. Using the standard the software would calculate a curve that correlates signal intensity with protein amount, which would allow to cross-read the protein amount in the samples from the signal intensity.

3.2.2.1.3 SDS Laemmli gel manufacture

SDS Laemmli gels were prepared according to the following tables:

Separation gel:

Percentage	Acrylamide	Separation gel buffer	H ₂ O	10% APS	TEMED	Total volume
6%	1.5 ml	2.5 ml	6 ml	100 µl	10 µl	10 ml
10%	2.5 ml	2.5 ml	5 ml	100 µl	10 µl	10 ml
15%	3.75 ml	2.5 ml	3.75 ml	100 µl	10 µl	10 ml

Table 3.11: Separation gel. Components, that were used to produce a gel at indicated percentage

Collection gel:

Percentage	Acrylamide	Collection gel buffer	H ₂ O	10% APS	TEMED	Total volume
3%	0.75 ml	2.5 ml	6.5 ml	100 µl	10 µl	10 ml

 Table 3.12: Collection gel. Components, that were used to produce a collection gel.

A gel cassette was assembled from a short plate and with a spacer plate featuring 1.0mm integrated spacers. The glassware was then put into a Mini-PROTEAN Tetra Cell Casting clamp that was moved to a Mini-PROTEAN Tetra Cell Casting stand with the included thick rubber band at the bottom of the glass to seal the system.

The separation gel was the first to be prepared with 10% APS being the last component to be added because it initiates the polymerisation reaction (Brewer, 1967). The still fluid separation gel was cast inside the glass cassette and a layer of isopropanol was immediately added to guarantee a smooth and straight border at the gel's head. After polymerisation the isopropanol was washed out with H₂O and residual fluids were discarded.

Finally, the collection gel was prepared also with 10% APS being the last component to be added and put on top of the separation gel. A comb with 10 or 15 lanes was put inside the collection gel at the top of the glassware. After polymerisation the comb was removed and the gels were used immediately or stored at 4 °C.

3.2.2.1.4 SDS PAGE

Sufficient amounts of sample and 1x lysis buffer were put together to obtain 10 μ g of protein in 20 μ l of buffer. Samples were always kept on ice. Subsequently, 4 μ l of 5x loading buffer were added and the proteins were denatured at 95 °C for 5 min on the Thermomixer comfort with 400 rpm.* Samples were centrifuged for 1 min at 7000 g and left on ice for 1 min. Gels were installed into the Mini-PROTEAN Tetra Vertical Electrophoresis Cell and the chamber was flooded with 1 1 1x

running buffer. Each sample was transferred to one lane, with at least one lane being reserved for the PageRuler Prestained Protein Ladder. Electrophoresis was conducted at 80 V until the running front reached the threshold between collection and separation gel. From that point the voltage was increased to 120 V. Shortly before the running front would leak out of the gel, the electrophoresis was terminated and the gels put into protein transfer through semi-dry Western blotting.

3.2.2.1.5 Protein transfer

Proteins were transferred to a nitrocellulose membrane using the Trans-Blot Turbo system from BioRad. Blotting paper and membranes were also supplied by BioRad. Paper and membrane were briefly bathed in 1x blotting buffer. One layer of paper was placed on the bottom of the drawer, followed by the membrane. The gel from the SDS PAGE was freed from glass and put on top of the membrane. Air bubbles were removed and the second layer of paper was added on top op the gel. Gentle pressure was applied to the sandwich to remove excessive buffer. Free fluids were removed from the drawer and the lid was closed. The drawer was put into the machine and the gels were blotted for 30 min at 1.5 A and 25 V.

3.2.2.1.6 Blocking and primary antibody

After blotting, unspecific epitopes on the membrane were blocked with 25 ml blocking solution for 45 min at room temperature shaking gently on the ROCKER 2D basic from IKA at 40 rpm. The blocking solution was removed and the membrane washed three times with 15 ml PBS-T for 10 min each at room temperature shaking gently on the ROCKER 2D basic from IKA at 40 rpm. After washing the membrane was incubated with 10ml primary antibody solution over night at 4 °C shaking gently at 20 rpm on the DUOMAX 1030 from Heidolph.

3.2.2.1.7 Secondary antibody and development

Primary antibody solution was removed and the membrane washed three times with 15 ml PBS-T for 10 min each at room temperature shaking gently on the ROCKER 2D basic from IKA at 40 rpm. After washing, the membrane was incubated with secondary antibody solution for 2h at room temperature shaking gently on the ROCKER 2D basic from IKA at 40 rpm. The secondary antibody solution was removed and the membrane washed again three times for 10min each with 15 ml PBS-T shaking gently on the ROCKER 2D basic from IKA at 40 rpm. Finally, the membrane was placed inside the Amersham imager 600 developer with 1 ml blot developer solution. After development,

the membrane was washed again three times with 15 ml PBS-T for 10 min each at room temperature shaking gently on the ROCKER 2D basic from IKA at 40 rpm. From this point, the development could be repeated using different primary antibodies, if they would not cross-react with previous antibodies and the background noise remained low enough.

3.2.2.2 DNA dot blot

3.2.2.1 DNA isolation

Media was removed and LUHMES cells were harvested in 200 μ l 1x PBS with a cell spatula and collected in a 1.5 ml reaction tube. DNA was extracted using the DNeasy blood and tissue kit from Qiagen according to the manufacturer's protocol. Extracted DNA was fragmented by sonification at cycle 1, amplitude 50, two times for 10 s with the UP50H. DNA concentration was measured by NanoDrop 1000 blanked to the kit's elution buffer.

3.2.2.2 DNA dot-blot

DNA was diluted to 10 ng/µl in 10 µl H₂O and denatured by adding 10 µl 2x DNA denaturation buffer and incubation at 95 °C for 10 min on the Thermomixer comfort with 200 rpm. After denaturation, 20 µl 20x SSC buffer were added and the samples left on ice for 5 min. The wells of the dot blot apparatus were rinsed three times with 100 µl 10x SSC. A nylon membrane was soaked in 20 ml 10x SSC. The membrane was placed inside the dot blot apparatus and dried through application of vacuum. Finally, 10 µl H₂O were added to the samples to a final volume of 50 µl per sample. Samples were then transferred to the membrane inside the dot blot apparatus and applied vacuum sucked the fluid through the membrane. Afterwards, the DNA was UV cross-linked to the nylon membrane at 1200 J/m² using the BIO-LINK BLX-254 from Peqplab.

3.2.2.3 Antibodies and development

The membrane was blocked using 25 ml DNA blocking solution for 1 h at room temperature shaking gently. From this point onwards, the same protocol as described in 3.2.2.1.6 and 3.2.2.1.7 was applied with the exception of TBS-T replacing PBS-T as washing agent.

3.2.2.3 Transcriptomics

3.2.2.3.1 RNA isolation

Media was removed and LUHMES cells were harvested in 500 μ l TRI-Reagent with a cell spatula and collected in a 1.5 ml reaction tube. Samples were vortexed vigorously and centrifuged at 12000 g for 10 min at 4 °C in the Heraeus Fresco 17 centrifuge, which was used for all subsequent centrifugation steps as well. Samples were transferred to fresh 1.5 ml microcentrifuge vials without the insoluble pellet. Afterwards, 100 μ l chloroform were added to the samples and vortexed. Samples were left at room temperature for 10 min and centrifuged for 15 min at 12000 g and 4 °C. Centrifugation separated the sample into three phases, a lower phase containing proteins, an intermediate phase containing DNA and an upper phase containing RNA.

The upper, aqueous phase was transferred into a fresh 1.5 ml microcentrifuge vial and 250 μ l isopropanol were added to rinse the RNA. Samples were mixed gently and remained at room temperature for 7 min. RNA was precipitated under centrifugation at 12000 g for 10 min at 4 °C. The supernatant was discarded and replaced by 500 μ l 75% ethanol. Samples were centrifuged again at 7500 g for 5 min at 4 °C. The supernatant was discarded and the RNA pellet reconstituted in 20 μ l DEPC treated H₂O.

Residual DNA was removed by addition of 2 μ l Dnase and incubation at 37 °C for 1 h. Afterwards, 500 μ l 75% ethanol were added and the samples washed again through centrifugation at 7500 g for 5 min at 4 °C. The supernatant was discarded and the RNA pellet reconstituted in 50 μ l DEPC treated H₂O. RNA concentration was measured by NanoDrop 1000 blanked on DEPC treated H₂O.

3.2.2.3.2 RNA-Seq

RNA-seq library prep was performed with Illumina's TruSeq stranded mRNA LT Sample Prep Kit following Illumina's standard protocol (Part # 15031047 Rev. E). Libraries were prepared with a starting amount of 1000ng and amplified in 10 PCR cycles. Libraries were profiled in a High Sensitivity DNA on a 2100 Bioanalyzer and quantified using the Qubit dsDNA HS Assay Kit, in a Qubit 2.0 Fluorometer. All samples were pooled in equimolar ratio and sequenced on 1 NextSeq 500 High Output Flowcell, SR for 1x 84 cycles plus 7 cycles for the index read. These steps were commissioned to the genomics core facility of the IMB Mainz. The assembly and mapping of the libraries were performed by the bioinformatics core facility of the IMB Mainz.

3.2.2.4 Immunocytochemistry (ICC)

Old media was removed from LUHMES cells grown on cover slips inside a 24-well plate and replaced by 500 µl 4% PFA to cross-link cellular compartments over 20 min at room temperature. PFA was removed and the well washed three times with 500 µl 1x PBS for 5 min each.* After washing, 200 µl immuno blocking solution were added to block and permeabilize the samples for 5 min at 4 °C. Samples were washed again three times with 200 µl 1x PBS at room temperature for 5 min each. 150 µl Primary antibody immuno solution were added and incubated over night at 4 °C. On the next day, antibody solution was removed and samples were washed three times with 200 µl 1x PBS at room temperature for 5 min each. After washing, 150 µl secondary antibody immuno solution were added and samples incubated for 2 h at room temperature. From this step onward, the samples were protected from light. Afterwards, samples were washed once with 200 µl 1x PBS at room temperature for 5 min and treated with 200 µl DAPI solution for 20 min at room temperature. Samples were washed two times with 200 µl 1xPBS for 5 min. One drop of mounting media was applied to a SuperFrost Plus Objektträger microscope slide. Coverslips were removed from the 24well plate, residual fluids removed and cell side down transferred onto the mounting media drop. Residual mounting media was carefully removed and the coverslips fixated to the slide with nail polish.

*For 5-methylcytosine immunocytochemistry the samples were treated with 1.5 M HCl for 30 min at room temperature to denature DNA after PFA fixation. Samples were washed two times 1x PBS for 5 min at room temperature before blocking and permeabilization.

3.2.2.5 SIRT1 activity assay

Materials required for the performed SIRT1 activity assay were obtained from the FLUOR DE LYS SIRT1 fluorometric drug discovery assay kit from Enzo Lifesciences. Media was removed and LUHMES cells were harvested in 200 μ l SIRT1 assay buffer with a cell spatula and collected in a 1.5 ml microcentrifuge vial. Samples were sonicated at cycle 1, amplitude 70, two times for 10 s. Protein concentration was measured by NanoDrop 1000 blanked on SIRT1 assay buffer.

The assay was prepared in a white 96-well plate included in the kit. The highest possible amount of protein, 181 μ g, was diluted in SIRT1 assay buffer to a final volume of 35 μ l. Four wells per sample were loaded with protein lysate and kept on ice for the remaining procedure. 64 μ M of the SIRT1 substrate, FLUOR DE LYS SIRT1, and 500 μ M of NAD⁺ were diluted in 15 μ l SIRT1 assay buffer and added to three of the four sample wells. The fourth sample received only 15 μ l SIRT1 assay buffer to allow quantification of lysate background, while one well only received 50 μ l SIRT1 assay

buffer and one well received sample buffer with NAD⁺ and FLUOR DE LYS SIRT1 to allow quantification of component's background. The plate was incubated at 37 °C for 1 h.

The developer solution was prepared with SIRT1 assay buffer, 2 mM nicotinamide to stop additional reactions, and 1x FLUOR DE LYS Developer II. 50 μ l of the developer solution were added to each well, except the background control for the NAD⁺ and FLUOR DE LYS SIRT1 and the SIRT1 assay buffer wells. Instead, those wells received only 50 μ l SIRT1 assay buffer. Two additional wells were prepared, one that only received 50 μ l SIRT1 assay buffer and 50 μ l developer solution and one received 64 μ M FLUOR DE LYS Deacetylated Standard in 50 μ l SIRT1 assay buffer and 50 μ l developer solution, to allow quantification of developer solution's background as well as a potential signal maximum. The plate was then incubated at 37 °C for 45 min. Afterwards, fluorescent signal was quantified using the Multilabel Counter VICTOR ³V.

3.2.3 In vivo mouse system

3.2.3.1 Establishing the model

Thirty male mice were allocated to three different treatment groups (A to C). The animal handling was done by QPS, Parkring 12, 8074 Grambach, Austria. MPTP (20 mg/kg bodyweight (bw) four times, 2 h inter-treatment interval) was injected intraperitoneal (ip) on day 4 (groups B and C). One group of animals (group A) was sham lesioned by ip administration of the MPTP vehicle (0.9% saline). The application volume was 10 μ l/g bw.

PHT (10 mg/kg bw per application) was administered per oral (po) twice a day (4 h inter-treatment interval) for five days (group C). Groups A and B received the vehicle (DMSO in Corn oil (1:50 dilution)). The applied volume was 2.5 μ l/g bw.



¹ 4 injections MPTP 2 hours interval

² 2 oral administrations PHT 4 hours interval

³ 4 injections vehicle 2 hours interval

Figure 3.1: **MPTP Mouse model.** Schematic overview of the different treatment groups. Group A only received vehicles, while group B received MPTP with the PHT vehicle. Group C received MPTP and PHT. PHT treatment began day 0, MPTP treatment day 4. Animals were sacrificed on day 6.

3.2.3.2 Rota Rod

The Rota Rod test was used to assess motor coordination of the animals by placing them on a rotating rod that runs at an accelerating speed. If a mouse lost its balance and fell onto an underlying platform, the rod did automatically stop and record a measure of the latency to fall. Prior to the first test session, the mice were habituated to the testing system, until they were able to stay on the rod at a constant speed of 2 rpm for approximately one minute. During testing, a single animal was exposed to the apparatus three times for a 180 s trial. The initial speed increased from 2 rpm to 20 rpm over an accelerating time of 180 s. If the mice fell, the session was over.

3.2.3.3 Tissue sampling

On day 6, after finishing Rota Rod testing, the mice were sacrificed and their brains were collected. Therefore, mice were deeply anaesthetized by pentobarbital injection (600 mg/kg bw). Then, the animals were transcardially perfused with 0.9% saline and brains were removed and hemisected. The left hemispheres were subdivided into striatal tissue, mibrain (including SN) as well as residual brain, immediately frozen and stored at -80 °C.

Right brain hemispheres were fixed by immersion in freshly prepared 4%PFA in 1x PBS (pH=7.4) for one hour at room temperature. Thereafter, right hemispheres were transferred to a 15% sucrose 1x PBS solution until sunk to ensure cryo-protection. On the next day, fixed hemispheres were frozen embedded in O.C.T media within cryo-molds in dry-ice cooled liquid isopentane and stored at -80 °C.

3.2.3.4 Western blot

Midbrains of the left hemispheres were transferred into 1x lysis buffer in a 1.5 ml reaction tube and mashed through mechanical force. The formed debris was further minced by sonification at cycle 1, amplitude 100, three times for 10 s with the UP50H.

The Western Blot protocol described under 3.2.2.1.2 - 3.2.2.1.7 was also applied for the blotting of the *in vivo* material with the exception of 20 µg protein loading mass instead of 10 µg.

3.2.3.5 Cryoscetions

Perfused and PFA fixated, frozen right hemispheres were cut into 10 μ m small slices in the CM 1900 at -20 °C. The slice was transferred to a SuperFrost Plus Objektträger microscope slide. A drop of H₂O was applied to the slice to remove remaining TissueTek O.C.T. Compound. The slides were kept warm at 28 °C to accelerate H₂O evaporation. The slides were kept at -80 °C until further use.

3.2.3.6 Immunohistochemistry (IHC)

Brain slices were defrosted and small rectangles were cut into the glass surrounding the tissue with a diamond pen to prevent fluids from diffusing. The tissue was rinsed once in 50 μ l 1x PBS for 5 min at room temperature. Excessive fluids were carefully removed through adhesion with a piece of paper. Tissue was blocked and permeabilized with 50 μ l immuno blocking solution and incubated

for 1 h at room temperature. Tissue was washed three times with 50 μ l 1x PBS at room temperature for 5 min each. 50 μ l Primary antibody immuno solution were added and incubated over night at 4 °C.

On the next day, antibody solution was removed and samples were washed three times with 50 μ l 1x PBS at room temperature for 5min each. After washing, 50 μ l secondary antibody immuno solution were added and samples incubated for 2 h at room temperature. From this step onward, the samples were protected from light. Afterwards, samples were washed once with 50 μ l 1x PBS at room temperature for 5min and treated with 50 μ l DAPI solution for 20 min at room temperature. Samples were washed two times with 50 μ l 1xPBS for 5 min. One drop of mounting media was added on top of the tissue and a coverslip was sealed to the microscope slide by nail polish.

3.2.4 Evaluation

3.2.4.1 Microscopy

Slides generated through immunohistochemistry were recorded with the Axiovert 200 fluorescent microscope from Zeiss using blue, green and red filters and objectives for 4x and 10x magnifications. Pictures were evaluated using the ImageJ software. Tyrosine hydroxylase (TH) staining was measured after the signal was "watershed". This used algorithm calculates signal maxima and can thus confidently locate cell cell borders. Cells were quantified using the "analyse particles" function from ImageJ that counts each continuous signal as one particle. Through this approach cells can be evaluated regardless of the size of their on the slide represented body.

For H3K14ac staining, total nuclei on the slide were quantified with DAPI using again the "analyse particle" function of the ImageJ software. The same process was repeated for the H3K14ac staining and the quotient H4K14ac/DAPI was calculated.

Slides generated through immunocytochemistry were recorded with the Axiovert 200 fluorescent microscope from Zeiss using blue and green and an objective with 20x magnifications and the laser scanning microscope (LSM) TCS SP5 from Leica.

Total acetyllysine, DNMT3A, DNMT3B, and 5-methylcytosine were quantified by dividing the total grey value measured by ImageJ with the amount of cells present indicated by DAPI. Staining of DNMT1 was rather evaluated for its nuclear presence by counting the amount of DNMT1 positive nuclei and dividing it with the total amount of cells present indicated by DAPI.

3.2.4.2 Densitometry

Western blots were evaluated by densitometry. Blot development ideally gave one specific band whose signal intensity was quantified by ImageJ and normalized on the signal intensity of the loading control developed on the same membrane. *In vitro* Western blots were normalized on histone H3, while *in vivo* Western blots were normalized on alpha tubulin (TUB). H3K14ac signal *in vivo* was first normalized on H3 and then on TUB. TUB was not eligible as a loading control in vitro due to protein levels changing upon MPP⁺ treatment.

Autophagic accumulation was calculated by calculating the ratio between BafA1 untreated and treated samples after normalization on H3.

Southern blots were also evaluated by densitometry. The signal intensity was quantified by ImageJ and normalized on the total DNA input amount.

3.2.4.3 Statistics

Total lysine immunocytochemistry results were statistically evaluated by Benjamini-Hochberg (BH) adjusted two-way analysis of variance (ANOVA) using the GraphPad Prism version 7.00 for Windows, GraphPad Software, La Jolla California USA, www.graphpad.com. All remaining results were evaluated by BH adjusted one-way ANOVA. Significant changes compared to the control group are indicated by *, while significant changes from the MPTP/MPP + treated group are indicated by #. The number of * or # correlates with the p-value: * = p < 0.05, ** = p < 0.01, *** = p < 0.001.

Evaluations of the transcriptomics data do not indicate p-value strength and significant differences between the control and the PHT/MPP⁺ treated group are indicated by !.

4 Results

4.1 Adjusting the optimal working concentrations of MPP⁺ and PHT

Before any experiments to gather new data were carried out, the optimal working concentration of MPP⁺ in differentiated LUHMES cells had to be established. Optimal conditions for the subsequent experiments would represent the tipping point of cellular decline and feature minimal amount of cell death and little to none effect on cellular constitution. Toxic effects of MPP ⁺ were measured through qualitative examination of neuronal morphology.

Previous studies regarding the neurotoxicity of MPP⁺ in cultures of primary neurons have shown that treatment over a course of 48 h causes the most reliable manifestation of toxic effects. Cells treated over longer periods would already go into remission (Hajieva et al., 2009). Reference values from this study regarding the concentration of MPP⁺, however, could not be taken into accord, since primary cultures, unlike LUHMES cultures, are rarely 100% neuronal, but rather a mix of neurons and glial cells, which likely support the neurons and make the culture less vulnerable to toxic effects.

Thus, differentiated LUHMES cells were subjected to different concentrations (100 μ M, 75 μ M, 50 μ M, 25 μ M and 10 μ M) of MPP⁺ over 48 h (Fig. 4.1). Cells treated with 100 μ M and 75 μ M showed high levels of cell death with almost no surviving cells. Treatment with 50 μ M still caused a moderate amount of cell death, while axons of most surviving cells disappeared. Lower amounts of cell death could be observed at 25 μ M MPP⁺. However, the cells were void of axons and appeared to have moved closer together, thus constituting small spherical structures. Only cells treated with 10 μ M showed very low to no levels of cell death, while the cells retained their regular habitus. Thus, 10 μ M was chosen as the working concentration, since treated cells showed no major morphological impairment. Earlier studies in LUHMES cells also confirm these observations (Smirnova et al., 2016).

The optimal working concentration for PHT would be as little as possible and as much as necessary to protect from MPP⁺ mediated toxic effects. This was already determined in previous *in vitro* studies with primary neurons from *rattus norvegicus* (Hajieva et al., 2009). There, 20 nM of PHT have been shown to protect neurons from MPP⁺ mediated toxicity. Thus, 20 nM were chosen as the working concentration.

Finally, to visualize MPP⁺ induced ROS production and protective effects of PHT the CellROX dye from Thermo Fisher was used. The dye reacts with ROS and forms a deep red fluorescent signal that is PFA fixation compatible. Exposure to MPP⁺ caused ROS levels to increase by roughly 100%, while PHT successfully protected the cells (Fig. 4.2) and reduced ROS signal to control levels.

Interestingly, the distribution of ROS changed throughout the cellular body. Under control conditions ROS were focussed in a cloud in perinuclear regions. MPP ⁺ treatment disintegrated the cloud and caused the ROS to spread throughout the entire cell with perinuclear foci. Co-treatment with PHT caused the signal to be concentrated in perinuclearly distributed centres again. This experiment was performed in triplicate.

This confirms the viability of the chosen concentrations of MPP⁺ and PHT and time frame as a suitable setup for further experiments.



Figure 4.1: Morphological analysis of LUHMES cells treated with different concentrations of MPP ⁺. Shown are representative transmission light microscope pictures of differentiated LUHMES cells at 40x magnification treated with different the indicated amounts of MPP⁺ for 48 h. From top left to bottom right: 100 μ M, 75 μ M, 50 μ M, 25 μ M, 10 μ M and the untreated control. High levels of MPP⁺ caused severe amount of cell death and habitual changes.


Figure 4.2: Quantitative ROS analysis of LUHMES cells treated with MPP ⁺ and PHT. Shown are representative LSM pictures of differentiated LUHMES cells treated with 10 μ M MPP ⁺ and 20 nM PHT for 48 h with 63x magnification, zoom factor 3 and the CellROX quantification illustrated in a bar graph diagram. CellROX signal is shown in red, DAPI in blue. Signal intensity increases under MPP ⁺ and decreases again if PHT is present. '*' indicates significant differences compared to the control group, while '#' indicate significant differences compared to the MPP ⁺ treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3.

4.2 MPP⁺ causes protein hyperacetylation in differentiated LUHMES cells

Previous studies have shown that many, but not all, lysine acetylation sites of histone proteins are hyperacetylated *in vitro* and *in vivo* in the MPTP/MPP⁺ model of PD. There, H3K9ac has shown a non-significant tendency to be hyperacetylated in cells and animals treated with MPP⁺ (Park et al., 2016). However, the implications of H3K14ac, a posttranslational modification (PTM), that may act upstream of H3K9ac and thus be a possible treatment candidate, remain unknown (Karmodiya et al., 2012).

Indeed, densitometric analysis of Western blots of differentiated LUHMES cells showed a highly significant increase in H3K14ac levels normalized on total histone H3 protein upon MPP ⁺ treatment to over 750% (Fig. 4.3). In general, MPP⁺, through its complex I inhibitory properties, causes

increased ROS production as well as ATP depletion (Singer et al., 1988). To judge which of these two conditions may be responsible for the observed hyperacetylation at H3K14 and whether antioxidants may have protective effects on epigenetic regulations, the established antioxidant PHT, as well as its less potent derivative APHT and the inactive MPHT, have been applied in combination with MPP⁺. PHT and APHT, although to a much smaller degree, were significantly able to reduce MPP⁺ mediated H3K14 hyperacetylation to control levels or 200%, while MPHT showed no significant improvements whatsoever. To screen for possible artefacts, PHT was also applied to LUHMES cells by itself, where it showed no significant impact on H3K14ac levels. To compare protective effects of PHT with those of APHT and MPHT, the same concentrations of the derivatives were applied. This experiment was performed in triplicate.



Figure 4.3: Changes in acetylation levels in differentiated LUHMES cells. A: Representative Western Blots of lysates obtained from differentiated LUHMES cells with indicated treatments (MPP + 10 μ M, PHT 20 nM, MPHT 20 nM, APHT 20 nM) over 48 h showing H3K14ac, total lysine acetylation and H3. B. Densitometric quantification of H3K14ac illustrated in a bar graph diagram. C: Densitometric quantification of total lysine acetylation illustrated in a bar graph diagram. Acetylation levels increased upon MPP + treatment, and responded to PHT treatment. '*' indicates significant differences compared to the control group, while '#' indicate significant differences compared to the MPP + treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3.

Protein acetylation in general is regulated by two protein families. Histone acetyltransferases (HATs) establish acetylation (Racey et al., 1971), while HDACs remove them (Kaneta et al., 1974). Since many histone PTMs are reportedly affected by MPP⁺ at the same time, a screening of the acetylation status of all lysines might show whether the effects are restricted to histones. Densitometric analysis of Western blots of total acetylated lysines, coined "LysineAc", normalized on total histone H3 protein showed a significant increase by about 30% upon MPP⁺ treatment, that was significantly decreased to control levels by PHT, but not by APHT or MPHT (Fig. 4.3). Sole PHT treatment had no significant effects on general lysine acetylation status. This experiment was performed in triplicate.

Taken together these and the published data might rather point to a general disturbance of the protein acetylation system than to a specific regulatory process.

4.3 MPP⁺ induced hyperacetylation can be augmented by the HDAC inhibitor TSA, but not EX-527

Protein acetylation is governed by two kinds of enzymes. HATs write acetylations on proteins and HDACs erase them. Different HDAC inhibitors that can target a specific HDAC or a broad spectrum of HDACs allow for a more thorough investigation of their involvement in the so far observed hyperacetylation events. HAT inhibitors, on the other hand, are fewer in number and have smaller spectra than available HDAC inhibitors. Thus, two HDAC inhibitors, TSA and EX-527, were chosen to further narrow down the root of the observed hyperacetylation. TSA is a pan HDAC inhibitor that targets all class I, II and IV HDACs, but not class III HDACs, which are called sirtuins (SIRT) (Yoshida et al., 1990). EX-527, on the other hand, mainly targets sirtuin 1 (SIRT1), but can also inhibit sirtuin 2 (SIRT2) and sirtuin 3 (SIRT3) in higher concentrations (Napper et al., 2005). The remaining four sirtuins have not been reported to be affected by EX-527.

Inhibitory effects were examined through immunocytochemistry, because it would also allow assessment of cellular distribution of lysine acetylation. Differentiated LUHMES cells were treated with MPP⁺, PHT or both with and without TSA, EX-527 or both (Fig. 4.4). Under control conditions, lysine acetylation was mostly restricted to the nucleus, while MPP⁺ treated cells also showed cytosolic signal. This signal disappeared again, when PHT was also administered. When quantified, MPP⁺ treated cells compared to the control show a significant increase in lysine acetylation by almost 70% that is significantly decreased again by PHT by 50%. Exclusive PHT treatment had no significant effect on lysine acetylation levels (Fig. 4.4).

TSA treatment induced protein acetylation by roughly 50% compared to the control in a similar manner to MPP⁺, while the combination of both toxins caused even higher acetylation levels. This

increase amounted over 100% in total, was significant and demonstrated a ratio between MPP ⁺ treated and non-treated cells similar to the TSA free samples. PHT, again, was able to significantly suppress the MPP⁺ effect by about 50%, but not the induction caused by TSA (Fig. 4.4).

EX-527 also caused significant lysine hyperacetylation by over 50% comparable to that of TSA. In this case, however, MPP⁺ was unable to further significantly induce lysine acetylation, while PHT also showed no significant effect on lysine acetylation (Fig. 4.4).

Combined treatment of TSA and EX-527 showed significantly increased acetylation levels by over 100%. Treatment with MPP⁺ significantly induced the system even further by an additional 150%, while PHT was again only able to significantly revert the hyperacetylation induced by MPP⁺ by more than 100% (Fig. 4.4). This experiment was performed in triplicate.

The unresponsiveness of EX-527 treated cells to MPP⁺ point towards a dysfunction of SIRT rather than other HDACs. Thus, further investigations focussed on SIRT.



Figure 4.4: Lysine acetylation status in LUHMES cells treated with MPP⁺, TSA, EX-527 and PHT. Shown are representative LSM pictures at 63x magnification of differentiated LUHMES cells treated with the indicated compounds for 48 h (10 μ M MPP⁺, 20 nM PHT, 50 nM TSA and 100 nM Ex-527). Lysine acetylation is visualized with green colour. Quantification is illustrated in a bar graph diagram, showing increased acetylation levels upon MPP⁺, TSA and EX-527 treatment. PHT was only able to avert MPP⁺ induced hyperacetylation, while MPP⁺ was incapable of increasing the acetylation status of EX-527 treated cells. '*' indicates significant differences compared to the control group, while '#' indicate significant differences compared to the MPP⁺ treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3.

4.4 SIRT1 activity and protein level decrease in a PHT responsive manner under MPP +

Since EX-527 mainly antagonizes SIRT1 (Napper et al., 2005), activity and availability of said protein were assessed. SIRT1 is a HDAC not only governing histones, but also other proteins like tumor protein (TP53) (Vaziri et al., 2001) or microtubule-associated proteins 1A/1B light chain 3B (MAP1LC3B) (Huang et al., 2015), and involved in many different cellular processes ranging from metabolic regulation (Lan et al., 2008) to genetic regulation (Pruitt et al., 2006). Its cellular availability runs anti-proportional to ageing (Longo et al., 2006), a strong risk factor for PD (Koller et al., 1987).

The activity of SIRT1 was measured using the SIRT1 activity assay from Enzo. The kit contains a small peptide that was manufactured from well-understood SIRT1 targets and can only be deacetylated by SIRT1. In a second reaction the deacetylated peptide reacts with the developer, which produces a fluorescent signal. Thus, the measured signal is proportional to the amount of active SIRT1 protein available. Activity measurements revealed a significant decrease in SIRT1 activity by roughly 25% in lysates from MPP⁺ treated cells, which can be significantly reverted by co-treatment with PHT by almost 15%, but not with MPHT. However, the cells treated with MPP⁺ and PHT still showed a significant decrease in SIRT1 activity by 10%. Exclusive treatment with PHT caused no significant changes (Fig. 4.5). This experiment was performed in triplicate.

Western Blot analysis deriving from the exact same lysates also showed a significant decrease in SIRT1 protein levels by over 30% after MPP⁺ application that persisted through MPHT cotreatment. PHT, on the other hand, significantly reduced the MPP⁺ effect by almost 20%, while exclusive PHT treatment again showed no significant effects on SIRT1 protein levels (Fig. 4.5). This experiment was performed in triplicate.

To further elucidate the mechanism behind the decreased SIRT1 protein levels, differentiated LUHMES cells were treated with MPP⁺ and PHT/MPP⁺ as well as Bafilomycin A1 (BafA1). BafA1 is a V-ATPase inhibitor that suppresses lysosomal acidification (Yoshimori et al., 1991). Usually, lysosomes may fuse with autophagosomes, vesicular structures that envelope cellular substances that are to be degraded in the autophagolysosome, the fused structure of autophagosome and lysosome (Kimura et al., 2007). Through inhibition of lysosomal acidification, the autophagosomal cargo can no longer be degraded and thus accumulates (Yoshimori et al., 1991). Recently, mass spectrometry analysis of autophagosomal content revealed the possibility to also analyse accumulation of autophagosomal cargo through BafA1 (Le Guerroué F et al., 2017).

SIRT1 accumulation through BafA1 treatment alone was only minor over the course of 4 h. In the MPP⁺ treated cells, the accumulated autophagosomes, visualized through BafA1 treatment, showed increased amounts of SIRT1 than the BafA1 untreated MPP⁺. The ratio of accumulation increased

significantly by over 60%. The ratio of accumulation was reduced to control levels in cells treated with PHT/MPP⁺ compared to the MPP⁺ treated group (Fig. 4.5). This experiment was performed in triplicate.



Figure 4.5: SIRT1 activity, expression and degradation in LUHMES cells treated with MPP⁺. Shown are the results regarding SIRT1. A: Evaluation of the SIRT1 activity assay results from lysates of differentiated LUHMES cells treated with the indicated compounds (MPP⁺ 10 μ M, PHT 20 nM, MPHT 20 nM) for 48 h. The immediate signal intensity was compared between the indicated treatment groups. MPP⁺ treatment showed decreased SIRT1 activity, while PHT, but not MPHT, showed significant protective effects. B: Representative Western blots from lysates of LUHMES cells of SIRT1 and H3 for total protein comparison from lysates used in the activity assay. C: Representative Western blots from lysates of LUHMES cells treated with 10 μ M MPP⁺ with and without 20 nM PHT of SIRT1 and H3 after 4 hs of BafA1 treatment. D: Densitometric analysis of the Western blots shown in B illustrated in a bar graph diagram. E: Densitometric analysis of the Western blots shown in C illustrated in a bar graph diagram. SIRT1 protein level decreased under MPP⁺, while the protein accumulated, if cells were also treated with BafA1. PHT reverted both of these effects. '*' indicates significant differences compared to the control group, while '#' indicate significant differences compared to the MPP⁺ treated group. Symbol number indicates the grade of significance with *= p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3.

These experiments demonstrate that ROS originating from MPP⁺ inhibited mitochondria severely obstructs SIRT1s activity. Previous studies already revealed SIRT1's structure to be intendedly

vulnerable to ROS (Shao et al., 2014), which then apparently leads to degradation of SIRT1 through autophagic means.

4.5 SIRT3 protein levels and localization change in MPP + treated LUHMES cells

The inactivation of SITR1 may cause other SIRTs to be up-regulated in an effort to compensate for the loss of SIRT1. A likely candidate for this is SIRT3, which is mostly found in mitochondria, the primary target of MPP⁺ (Schwer et al., 2002), while it can occasionally be encountered in the nucleus as well (Scher et al., 2007). These previous observations make SIRT3 a possible agent to establish communication between mitochondria and the nucleus.

SIRT3 is the main mitochondrial HDAC, that governs many different processes inside the mitochondria, e.g. OXPHOS activity (Ahn et al., 2008), TCA cycle (Ozden et al., 2014) or mitochondrial transcription (Liu et al., 2014). SIRT3 may present itself in two different peptides. A smaller peptide of ~28 kDa and a larger one of ~40 kDa. The former is a product of the latter one's cleavage by the mitochondrial processing peptidase (MPP) (Schwer et al., 2002), a protein located on the mitochondrial outer membrane, that governs the passage of proteins into the mitochondria (Koutnikova et al., 1998). The larger, full-length peptide is located to the nucleus (Scher et al., 2007), where it may regulate histone acetylation (Vaquero et al., 2007), as well as nuclear transcription factors (Sundaresan et al., 2009). Especially the MPP dependant distribution of SIRT3 may constitute a valid reason to investigate it in experimental models of PD.

Interestingly, differentiated LUHMES cells usually only present the smaller mitochondrial peptide and are void of the longer one (Fig. 4.6). This changes upon MPP⁺ treatment. Here the smaller peptide levels qwew significantly reduced by over 30%, while levels of the longer peptide were significantly induced by over 100%. Co-treatment with MPHT showed no difference, while cotreatment with PHT only increased the protein level of the smaller isoform significantly to control levels. Protein levels of the longer peptide, however, remained elevated.

The overall SIRT3 protein amount did not change significantly in MPP⁺ treated cells (Fig. 4.6). Cotreatment with PHT, however, caused a significant increase of the total amount of SIRT3 protein by almost 40%. When looking at BafA1 treated cells, the smaller peptide would accumulate under MPP⁺ in a significant manner by over 50%, while the longer one would not. This increase was significantly quenched by PHT to control levels (Fig. 4.6). Both experiments were performed in triplicates.



Figure 4.6: Reallocation of SIRT3 in LUHMES cells treated with MPP ⁺ **and PHT.** Shown are the results regarding SIRT3. A: Representative Western blots of lysates from differentiated LUHMES cells treated with the indicated compounds (MPP⁺ 10 μ M, PHT 20 nM, MPHT 20 nM) for 48 h with SIRT3 and H3. B: Representative Western blots from lysates of LUHMES cells treated with 10 μ M MPP⁺ with and without 20 nM PHT of SIRT3 and H3 after 4 hs of BafA1 treatment. C: Densitometric analysis of the Western blots shown in A illustrated in a bar graph diagram. D: Densitometric analysis of the Western blots shown in B illustrated in a bar graph diagram. Under control conditions, SIRT3 only shows one isoform at ~28 kDa. When MPP⁺ is present, a second band at ~40 kDa appeared, which does not disappear upon PHT co-treatment. E: Densitometric analysis of the Western blots shown in B for total protein levels of

SIRT3, which increased in the MPP⁺/PHT treated group, illustrated in a bar graph diagram. '*' indicates significant differences compared to the control group, while '#' indicate significant differences compared to the MPP⁺ treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3.

These data demonstrate a sudden shift of SIRT3s cellular distribution upon MPP ⁺ mediated stress, which is irreversible by PHT. This may thus likely be a respond to the energetic impairments caused by OXPHOS malfunction. The nuclear translocation of SIRT3 may also compensate for the reduced activity of SIRT1, since they do have some overlapping targets (Scher et al., 2007, Imai et al., 2000).

4.6 Antioxidants protect dopaminergic neurons from MPTP induced cell death in vivo

To validate the relevance of the hitherto observed data an *in vivo* mouse model was established. Three groups of ten mice each were treated with either MPTP (20 mg/kg bodyweight), PHT (10 mg/kg bodyweight)/MPTP or the vehicles the chemicals (saline or corn oil) were administered in. MPTP was applied four times a day within 2 h intervals intraperitoneally, two days prior to sampling. PHT was administered orally starting four days prior to MPTP treatment until tissue sampling twice a day within 4 h interval (Fig. 3.1). Because MPP ⁺ is unable to pass the blood brain barrier, the animals received treatment of the pro-toxin MPTP, which is metabolised in astrocytes to MPP⁺ (Ransom, et al. 1987). Two animals total, one from the MPTP and one from the PHT/MPTP group, died during the course of the experiment and were excluded from all subsequent evaluations. Prior to any possible epigenetic involvements it was required to inspect the status of the substantia nigra (SN) to confirm toxic effects of MPTP and the estimated protective properties of PHT (Moosmann et al., 2001).

The left hemispheres of the mice were cut into 10 μ m thin slices that were subjected to immunohistochemnical assessment of the protein tyrosine hydroxylase (TH) to examine the integrity of dopaminergic SN neurons. TH is the bottleneck protein of dopamine production, that catalyses the first and rate limiting step that converts L-tyrosine to L-dihydroxyphenylalanine (L-DOPA) (Nagatsu et al., 1964) and is commonly used as a marker for dopaminergic cell loss in PD (Haavik et al., 1998). To bypass the increasing loss of TH in PD, L-DOPA is administered as treatment (Cotzias, 1968), which would allow the following steps of dopamine production to be performed.

The amount of surviving cells were thus quantified using TH immunohistochemistry. MPTP treatment caused severe and significant loss of dopaminergic neurons in the SN by 55.97%, leaving

the ventral tegmental area (VTA), another set of dopaminergic neurons, intact. Co-treatment with PHT significantly protected almost all of the present cells with 97.26% of the cells remaining (Fig. 4.7).

Furthermore, the animals were examined on the Rotarod prior to sacrifice. During the Rotarod performance test the animals are placed on a horizontal, rotating cylinder above a cage floor, high enough to induce avoidance of fall, but low enough to not injure the animals upon falling. Thus, the animals try to stay on top of the cylinder. This test is commonly used to investigate the motoric capabilities of mice in PD model systems (Rozas et al., 1997). MPTP treated animals were unable to remain on the Rotarod apparatus for as long as the vehicle treated group. The mean of the PHT/MPTP treated animals, on the other hand, were able to stay longer on the device compared to the MPTP group (Fig. 4.7).

These data confirm the viability of the established *in vitro* model when it is extended towards an *in vivo* paradigm at least on a cellular level. These effects also impact the motoric capabilities of the mice to some degree.



Figure 4.7: Effects of MPTP and PHT on cell survival and motoric capabilities *in vivo.* Shown are representative pictures from immunohistochemistry of mice brains that were captured using a fluorescence microscope. Three groups of ten mice each were treated with either MPTP (20 mg/kg bodyweight), PHT (10 mg/kg bodyweight)/MPTP or the vehicles the chemicals (saline or corn oil) were administered in. MPTP was applied four times a day within 2 h intervals intraperitoneally, two days prior to sampling. PHT was administered orally starting four days prior to MPTP treatment until tissue sampling twice a day within 4 h interval. The upper row shows an overview of the SN, the middle row a picture with 4x magnification and the lower row a picture with 20x magnification. TH is visualized in red, DAPI in blue. Quantification of TH signal and Rotarod performance are shown in two box-plot diagrams. In both cases, the MPTP group performed worse than the other two. '*' indicates significant differences compared to the vehicle treated group, while '#' indicate significant differences compared to the MPTP treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Error bars in 5%/95%. n=10 (vehicle), 9 (MPTP, PHT/MPTP).

4.7 MPTP causes protein hyperacetylation in vivo

The H3K14 acetylation status in the murine brains was used to asses possible hyperacetylation events *in vivo* that were previously observed *in vitro*. Immunohistochemistry revealed that the H3K14 locus was indeed significantly hyperacetylated by roughly 50% in the SN of the mice when they were treated with MPTP. Orally administered PHT, however, was still able to reduce the effects to control levels in a significant manner (Fig. 4.8). The dopamine transporter (DAT) was used to locate the SN.



Figure 4.8: Histone acetylation status of the SN after MPTP and PHT treatments. Shown are representative pictures from immunohistochemistry of mice brains that were captured using a fluorescence microscope. Three groups

of ten mice each were treated with either MPTP (20 mg/kg bodyweight), PHT (10 mg/kg bodyweight)/MPTP or the vehicles the chemicals (saline or corn oil) were administered in. MPTP was applied four times a day within 2 h intervals intraperitoneally, two days prior to sampling. PHT was administered orally starting four days prior to MPTP treatment until tissue sampling twice a day within 4 h interval. Left column shows pictures at 4x magnification, the right one at 20x magnification. H3K14ac is visualized in red, DAT in green and DAPI in blue. Signal intensity increases in the MPTP group and decreases again in the PHT/MPTP group. '*' indicates significant differences compared to the vehicle treated group, while '#' indicate significant differences compared to the MPTP treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Error bars in 5%/95%. n=10 (vehicle), 9 (MPTP, PHT/MPTP).

Western blot analysis of the midbrain of the right hemispheres confirmed these observations. MPTP significantly induced H3K14ac by over 150%, while PHT significantly reduced the acetylation to control levels. The same was true for general lysine acetylation. On Western blot level, MPTP, again, caused a significant hyperacetylation of total lysines by more than 50%, that was also significantly reduced to control levels by PHT (Fig. 4.9).

When looking at the so far investigated different SIRTs, SIRT1 was significantly up-regulated in both the MPTP and the PHT/MPTP group by almost 30% each, while SIRT3 was significantly down-regulated in the MPTP group by roughly 30%. The effect on SIRT3 was significantly blocked by PHT. Here, SIRT3 only showed one band at ~38 kDa.

Since SIRT3 here only showed one band, its localization cannot be properly investigated. Thus, SIRT4, another mitochondrial sirtuin, was also examined. Unlike SIRT1 and SIRT3, who have a very broad set of targets, SIRT4's set is quite narrow. SIRT4 regulates the acetyl-CoA supply of the cells by deactivating the producing enzymes through PTM (Mathias et al., 2014; Haigis et al., 2006). SIRT4 is also significantly down-regulated in the MPTP group by almost 25%. As was the case for SIRT3, PHT significantly blocked the MPTP effect on SIRT4 (Fig. 4.9).



Figure 4.9: Biochemical analysis of protein acetylation and SIRT protein levels after MPTP and PHT treatments.

Three groups of ten mice each were treated with either MPTP (20 mg/kg bodyweight), PHT (10 mg/kg bodyweight)/MPTP or the vehicles the chemicals (saline or corn oil) were administered in. MPTP was applied four times a day within 2 h intervals intraperitoneally, two days prior to sampling. PHT was administered orally starting four days prior to MPTP treatment until tissue sampling twice a day within 4 h interval. Shown are representative Western blots of H3K14ac, H3, LysineAc, TUB, SIRT1, SIRT3 and SIRT4 from mid-brain lysates of the mice. Densitometric quantification of the blots is shown in box-plot diagrams normalized on TUB. Acetylation levels were increased under MPTP, but were protected by PHT. SIRT3 and SIRT4 were both down-regulated under MPTP which was averted by PHT. SIRT1 was up-regulated in both groups. '*' indicates significant differences compared to the vehicle treated group, while '#' indicate significant differences compared to the MPTP treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Error bars in 5%/95%. n=10 (vehicle), 9 (MPTP, PHT/MPTP).

4.8 MPP⁺ treatment causes DNA hypometyhlation and disturbances in DNMT level and localization

Epigenetic regulatory functions of SIRT, especially of SIRT1, are not restricted to histone acetylation. SIRT1 has been shown to be an important partner of DNMTs by coordinating the deacetylation of histones and the methylation of DNA, thus forming silent heterochromatin (Peng et al., 2011; Wakeling et al., 2015). Previous studies have shown that the DNA of dopaminergic neurons in the SN from PD patients is hypomethylated (Desplats et al., 2011) and a generally hypomethylated DNA may constitute histone hyperacetylation (Jackson et al., 2004) and vice versa (Pikaart et al., 1998). Thus, the DNA methylation status in LUHMES cells was investigated next.

DNA methylation levels were measured via immunocytochemistry and Southern blot using an antibody against 5-methyl-cytosine. Immunocytochemistry showed that the amount of 5-methyl-cytosine was significantly decreased in cells treated with MPP ⁺ by more than 50%, which was significantly prevented by PHT. As a positive control, 6-thioguanine (6-TG) was used to validate the assay- 6-TG is a guanine analogous, that is integrated into the DNA and then prevents the methylation establishing enzymes of the DNA-methlytransferase (DNMT) family from methylating the corresponding cytosine (Hogarth et al., 2008). In this case, DNA was also significantly hypomethylated by over 60%, which would validate the viability of this analysis. This experiment was performed in triplicate.

These data were confirmed by DNA dot blot. Here, MPP⁺ treated cells also showed a decrease in 5methyl-cytosine level that was precluded by PHT (Fig. 4.10). This experiment was performed once to support the immunocytochemical data. Both experiments reveal severe hypomethylation of the DNA in cells treated with MPP⁺, which can be traced back to increased ROS production due to PHT being able to protect the DNA methylation status.



Figure 4.10: DNA hypomethylation in LUHMES cells after MPP⁺ and PHT treatments. Shown are results concerning DNA hypomethylation. A: Shown are representative LSM pictures of differentiated LUHMES cells treated with 10 μ M MPP⁺, 20 nM PHT or 1 μ M 6-TG for 48 h with 63x magnification. 5-methylcytosine is visualized in red. Observed signals are restricted to the nucleus. B: Quantification of A illustrated in a bar graph diagram. MPP⁺ and 6-TG treated cells showed a reduced 5-methylcytosine signal. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3. C: DNA dot blot of differentiated LUHMES cells treated with 10 μ M MPP⁺ and 20 nM PHT over 48 h. D: Densitometric analysis of C illustrated in a column graph diagram. '*' indicates significant differences compared to the vehicle treated group, while '#' indicate significant differences compared to the MPP⁺ treated group. n=1.

To gain a better understanding of the underlying mechanism, the cellular distribution of DNMTs was investigated through immunocytochemistry. The maintenance DNMT, DNMT1 is usually

located to the nucleus, where most DNA is stored, but can also in a lesser extent be found inside the cytosol (Ratnam et al., 2002). Treatment with MPP⁺, however, caused the DNMT1 to rather be localized to the cytosol rendering the nucleus completely void of the protein. This was not amendable through PHT treatment. Interestingly, 6-TG also caused a minor, but significant dislocalization of DNMT1. Counting of DNMT1 negative nuclei normalized on the total amount of nuclei revealed a significant decline of DNMT1 positive nuclei under MPP⁺, PHT/MPP⁺ and 6-TG by roughly 50% each in each group (Fig. 4.11). This experiment was performed in triplicate.



Figure 4.11: DNMT1 reallocation in LUHMES cells treated with MPP + **and PHT.** Shown are representative LSM pictures of differentiated LUHMES cells treated with 10μ M MPP +, 20nM PHT or 1μ M 6-TG for 48h with 63x magnification, zoom factor 3 and a bar graph diagram of their quantification. DNMT1 is visualized in green and DAPI in blue. Under control conditions DNMT1 was mostly located to the nucleus, while MPP + and PHT/MPP+ treated cells showed DNMT1 void nuclei. 6-TG treated cells also showed empty nuclei, but to a lesser extent. '*' indicates significant differences compared to the vehicle treated group, while '#' indicate significant differences compared to the MPP +

Results

treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3.

The DNMTs capable of establishing DNA methylation patterns *de novo*, DNMT3A and DNMT3B (Okano et al., 1999), gave a different picture. Control conditions showed DNMT3A to be distributed throughout the cell, organized in cytosolic and nuclear foci. The signal intensity significantly decreased upon MPP⁺ treatment by almost 50% that was not responsive to PHT. In both cases, nuclear and cytosolic signal were decreased, except for one big perinuclear focus. 6-TG also caused a smaller but still significant decline of DNMT3A signal by more than 30% (Fig. 4.12). This experiment was performed in triplicate.



Figure 4.12: Status of DNMT3A in LUHMES cells treated with MPP ⁺ and PHT. Shown are representative LSM pictures of differentiated LUHMES cells treated with 10 μ M MPP ⁺, 20 nM PHT or 1 μ M 6-TG for 48 h with 63x magnification, zoom factor 3 and a bar graph diagram of their quantification. DNMT3A is visualized in red and DAPI

in blue. DNMT3A signal was organized in foci and distributed throughout the entire cell with a slight bias for nuclear localization. The signal decreased when MPP⁺ was present, regardless of PHT. '*' indicates significant differences compared to the vehicle treated group, while '#' indicate significant differences compared to the MPP⁺ treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3.

DNMT3B, on the other hand, could mainly be found inside the nucleus with almost no signal inside the cytosol. Signal intensity significantly diminished in MPP ⁺ treated cells by more than 50%. Here, however, a significant protective effect by PHT could be observed. The signal was even stronger and significantly intense than in the untreated control group by over 50%. 6-TG showed no significant effect on DNMT3B signal intensity (Fig 4.13). This experiment was performed in triplicate.



Figure 4.13: Status of DNMT3B in LUHMES cells treated with MPP + and PHT. Shown are representative LSM

pictures of differentiated LUHMES cells treated with 10 μ M MPP⁺, 20 nM PHT or 1 μ M 6-TG for 48 h with 63x magnification, zoom factor 3 and a bar graph diagram of their quantification. DNMT3B is visualized in red and DAPI in blue. DNMT3B signal was almost exclusive to the nucleus and decreased under MPP⁺, but not under PHT/MPP⁺ or 6-TG. PHT/MPP⁺ treatment caused an increase in signal also compared to control. '*' indicates significant differences compared to the vehicle treated group, while '#' indicate significant differences compared to the MPP⁺ treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3.

Western blot analysis of DNMT3B revealed two major bands, one at ~72 kDa and one at ~100 kDa. Both bands showed a significant decrease in protein level under MPP⁺, by almost 30% (72 kDa) and more than 20% (100 kDa). PHT treatment always yielded significantly increased protein levels by roughly 50%, but only for the smaller band. PHT demonstrated no significant effects on protein levels of the long isoform. MPHT showed no significant protective effect on either form (Fig. 4.14). When looking at Western blots of the murine midbrain lysates from the established and previously described *in vivo* model treated with MPTP, PHT/MPTP or just the vehicle, changes in protein levels by almost 25% that was significantly attenuated by PHT. Here the blot only showed one band at ~100 kDa (Fig. 4.14).



Figure 4.14: *In vivo* and *in vitro* biochemical analysis of DNMT3B after treatments with MPTP/MPP⁺ and PHT. Shown are Western blot data regarding DNMT3B. A: Representative Western blots of differentiated LUHMES cells treated with indicated compounds (MPP⁺ 10 μ M, PHT 20 nM, MPHT 20 nM) for 48 h for DNMT3B and H3. The DNMT3B blot shows two bands at ~100 kDa and ~70 kDa. Three groups of ten mice each were treated with either MPTP (20 mg/kg bodyweight), PHT (10 mg/kg bodyweight)/MPTP or the vehicles the chemicals (saline or corn oil) were administered in. MPTP was applied four times a day within 2 h intervals intraperitoneally, two days prior to sampling. PHT was administered orally starting four days prior to MPTP treatment until tissue sampling twice a day within 4 h interval. Representative Western blots of murine midbrain lysates for DNMT3B and TUB. Here only one band appears at ~100 kDa. B: Bar graph diagrams of the densitometric quantifications of A normalized on H3. Both isoforms respond to MPP⁺ with a reduction in protein level. C: Box-plot diagrams of the densitometric quantifications of E normalized on TUB. *In vivo* data complement the *in vitro* results. '*' indicates significant differences compared to

the vehicle treated group, while '#' indicate significant differences compared to the MPP $^+$ treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3.

Taken together, these data indicate that a loss of DNMT3B protein is responsible for the DNA hypomethylation and neither DNMT1, nor DNMT3A, since only the DNA methylation and DNMT3B effects are responsive to PHT treatment.

4.9 MPP⁺ induces gene expression of nuclear encoded mitochondrial respiratory chain subunits and causes mitochondria to favour replication over transcription

So far, a loss of heterochromatin through DNA hypomethylation and histone hyperacetylation could be described. To assess the repercussions of this loss through evaluation of its immediate consequences in transcription, RNASeq of enriched mRNA from differentiated LUHMES cells treated with MPP⁺ or PHT/MPP⁺ or the vehicle was performed.

Yielded reads were mapped to the human genome and in depth analysis of the data was conducted by looking at the regulation of gathered transcripts that are associated with specific pathways to evaluate whether changes occur randomly or whether an enrichment of certain pathways or supercomplexes can be observed. Because MPP⁺ is first and foremost a mitochondrial respiratory poison (Nicklas et al., 1985), transcripts of nuclear encoded mitochondrial respiratory complexes were examined first.

Indeed, enrichment analysis revealed transcription of the complexes I, III, IV and V, but not the complex II, to be significantly up-regulated in MPP⁺ treated cells. Compared to that, the PHT/MPP⁺ group showed significant down-regulation of the complexes III, IV and V, but not of the complexes I and II (Fig. 4.15).



Figure 4.15: Transcriptional regulation of nuclear encoded mitochondrial subunits in MPP ⁺ and PHT treated cells. Transcriptional changes of nuclear encoded respiratory complex subunits in differentiated LUHMES cells treated with 10 μ M MPP⁺ and 20 nM PHT over 48 h. A: Bubble diagram visualizing the overall regulation of respiratory subunits transcripts under MPP⁺. Red represents complex I, blue II, green III, yellow IV and purple V. Bubble size indicates the fraction of significantly regulated transcripts divided by the total number of complex related transcripts. Bubble position on the Y-axis relates to \log_2 mean regulation, position on the X-axis relates to $-\log_{10}$ mean of the p-value. B: Bubble diagram comparing PHT/MPP⁺ to MPP⁺. C: Graphs showing regulation of nuclear encoded subunits. Regulation under MPP⁺ is indicated by graph size, regulation under PHT/MPP⁺ indicated by a lighter colour, if the MPP⁺ effect is reduced, or by a darker colour, if the MPP⁺ effect is amplified. '*' indicates if the MPP⁺ treatment is significantly different compared to the control, while '#' indicates if the MPP⁺/PHT treated group is significantly different compared to the MPP⁺ treated group. Data represented as mean. n=3.

Interestingly, these regulations did not extend to the mitochondrial encoded subunits of the respiratory complexes. They are, indeed, all significantly down-regulated under MPP⁺ when compared to the control. PHT/MPP⁺ revealed no regulation in one way or the other when compared to MPP⁺ (Fig. 4.16).



Figure 4.16: Transcriptional regulation of mitochondrial encoded mitochondrial subunits in MPP ⁺ and PHT treated cells. Bar graphs showing regulation of all mitochondrial encoded complex subunits in differentiated LUHMES cells treated with 10 μ M MPP⁺ and 20 nM PHT over 48 h.. Red represents complex I, blue complex II, green complex III, yellow complex IV and purple complex V. Regulation under MPP⁺ is indicated by graph size, regulation under PHT/MPP⁺ indicated by a lighter colour, if the MPP⁺ effect is reduced, or by a darker colour, if the MPP⁺ effect is amplified. '*' indicates if the MPP⁺ treatment is significantly different compared to the control. Data represented as mean. n=3.

Increased transcription of nuclear encoded OXPHOS components might be part of an increased mitochondrial turnover. Old or damaged mitochondria are usually removed by mitophagy and need to be replaced with fresh ones. To produce new mitochondria, the mitochondrial DNA needs to be replicated. Like the nuclear replication process, the mitochondrial one requires a DNA polymerase, a primase, a DNA topoisomerase, a single strand binding protein (SSBP) and a helicase.

Interestingly, mitochondrial replication and transcription are mutually exclusive, due to the transcription elongation factor mitochondrial (TEFM) protein. If present, TEFM clamps the mitochondrial RNA polymerase (POLRMT) to the mitochondrial DNA, thus enforcing transcription. When TEFM is missing, the RNA polymerase dissociates from the DNA after it has generated the primer necessary for replication. The primer is then elongated by the mitochondrial DNA polymerase consisting of two subunits, polymerase gamma (POLG) and POLG2 (Agaronyan et al., 2015). Also part of the canonical mitochondrial replication complex are the mitochondrial topoisomerase 1 (TOP1MT), SSBP1 and the twinkle helicase (TWNK). However, apart from SSBP1, SSBP2 has also been shown to be present in mitochondria (Kato et al., 2009). Likewise, other helicases have also been reported to be located to the mitochondria. Next to TWNK, there are

DNA replication helicase/nuclease 2 (DNA2) (Zheng et al., 2008), suppressor of Var1, 3-like 1 (SUPV3L1) (Minczuk et al., 2002) and petite integration frequency 1 (PIF1) (Futami et al., 2007). Interestingly, of all helicases only DNA2 was up-regulated in MPP ⁺ treated cells, albeit it in a non-significant way. Transcription of the other three helicases was significantly down-regulated. PHT was able to quench the down-regulation of TWNK and PIF1 in a significant way, but the transcription remained significantly down-regulated compared to the control group, while transcription of DNA2 was even further increased in a significant manner compared to the control (Fig. 4.17).

SSBP1 was also significantly down-regulated in cells treated with MPP⁺, as well as cells treated with PHT/MPP⁺. On the other hand, SSBP2 was significantly up-regulated in both cases. In MPP⁺ treated cells, TOP1MT was also significantly up-regulated and remained significantly up-regulated in PHT/MPP⁺ treated cells, albeit significantly down-regulated compared to the MPP⁺ treated group. Transcription of POLRMT showed no significant regulation under MPP⁺, but a significant up-regulated in PHT/MPP⁺ treated cells. POLG2, but not POLG, was significantly up-regulated in MPP⁺ treated cells, while both were significantly up-regulated under PHT/MPP⁺ compared to the control group. The transcriptional clamp, TEFM, was significantly down-regulated in both treatment groups. Western blots revealed a similar picture with TEFM protein levels decreasing in a significant manner in both treatment groups by about 35% or more than 40% (Fig. 4.17).



Figure 4.17: MPP⁺ and PHT alter replication of mitochondrial DNA. Results regarding mitochondrial DNA replication A: Bar graphs showing transcriptional regulation of mitochondrial DNA replication components in differentiated LUHMES cells treated with $10 \mu M MPP^+$ and 20 nM PHT over 48 h. Regulation under MPP⁺ is indicated by graph size, regulation under PHT/MPP⁺ indicated by a lighter colour, if the MPP⁺ effect is reduced, or by a darker colour, if the MPP⁺ effect is amplified. '*' indicates if the MPP⁺ treatment is significantly different compared to the control, while '#' indicates if the MPP⁺/PHT treated group is significantly different compared to the MPP⁺ treated group. '!' indicates if the MPP⁺/PHT treatment is significantly different compared to the MPP⁺ and 20 nM PHT over 48 h. C: Bar graph diagram visualizing the densitometric analysis of B normalized on H3. TEFM protein levels decrease in the MPP⁺ and MPP⁺/PHT groups. '*' indicates significant differences compared to the vehicle treated group, while '#' indicate significant differences compared to the MPP⁺ treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3.

This points to an overall process of mitochondrial replenishment in MPP⁺ treated cells that is reliant on an epigenetic mechanism. The possibly increased replication of mitochondrial DNA in cells treated with MPP⁺, which not only persists, but increases even further through PHT treatment may be a relevant factor to enable the discrepancy between transcription of complex subunits encoded in the nucleus or the mitochondria.

4.10 MPP⁺ promotes exploration of alternative energy sources

Of course, the cell does not only require complexes of the oxidative phosphorlyation to perpetuate their energy supply. There are also other pathways, like glycolysis. Through degradation of glucose, energy is directly produced in the form of ATP and indirectly in form of NADH, which is the substrate of the complex I (Sousa, et al. 2018). Glycolysis may thus produce energy autonomously, albeit not as effective as mitochondrial respiration. Through a couple of reactions that are catalysed by many different enzymes, glucose is degraded to pyruvate. It generates four ATP per glucose, but also consumes two molecules of ATP and two molecules of NAD⁺ (Meyerhof et al., 1947). Should glycolysis occur independent of the OXPHOS it is referred to as anaerobic glycolysis, which has been shown to be protective in the MPP⁺ model (Williams et al., 2007).

Pyruvate is then mostly turned into acetyl-CoA through pyruvate dehydrogenases to feed the TCA cycle (Coxon et al., 1949). It can, however, also be transformed into lactate by lactate dehydrogenases. This would regenerate one NAD⁺ per pyruvate (Baumberger et al., 1933), which would also be lacking in complex I inhibited cells, since NAD⁺ is mostly regenerated through said complex.

Another way intertwined with OXPHOS through complex II is the TCA cycle. Unlike glycolysis,

the TCA machinery is also located in the mitochondria. The TCA cycle relies on a supply with acetyl-CoA and oxaloacetate originating from other pathways like glycolysis and beta-oxidation. In the cycle acetyl-CoA and oxaloacetate are combined to citrate, which is then stepwise degraded to oxaloacetate. The formulation of acetyl-CoA from pyruvate requires one molecule of NAD ⁺. The cycle produces one molecule of GTP and one molecule of FADH₂ through consumption of three molecules of NAD⁺ (Krebs et al., 1937).

Through stepwise degradation of fatty acids to acetyl-CoA, the beta-oxidation delivers more possible substrates for the TCA cycle. Four steps are repeated in a cycle and break off one molecule of acetyl-CoA until only a molecule of acetyl-CoA remains. Per repetition of those steps one molecule of FADH₂ is generated, one molecule of NAD⁺ consumed (Knoop, 1904).

All three of those pathways are significantly up-regulated under MPP ⁺ and they remain so under PHT/MPP⁺ (Fig. 4.18).

Taken together these data indicate a transcriptional activation upon MPP ⁺ treatment, which is not restricted to the nuclear encoded subunits of mitochondrial complexes, but also extends to other pathways required for energetic supply. Unlike the transcriptional effects of PHT on the transcription of the nuclear encoded subunits of mitochondrial complexes, the overall regulation of neither gylcolysis, nor TCA cycle, nor pyruvate metabolism, nor beta-oxidation is significantly altered.



Figure 4.18: Transcriptional regulation of energy suppliers in MPP ⁺ and PHT treated cells. Graphs illustrating the changes in transcription of enzymes related to glycolysis, TCA cycle, pyruvate metabolism and beta oxidation in differentiated LUHMES cells treated with 10 μ M MPP ⁺ and 20 nM PHT over 48 h. A: Bubble diagram visualizing the overall regulation under MPP⁺. Red represents glycolysis, blue TCA cycle and green beta-oxidation. Bubble size indicates the fraction of significantly regulated transcripts divided by the total number of complex related transcripts. Bubble position on the Y-axis relates to log₂ mean regulation, position on the X-axis relates to -log₁₀ mean of the p-value. B: Bubble diagram comparing PHT/MPP⁺ to MPP⁺. C: Bar graphs showing regulation of all four pathways. Regulation under MPP⁺ is indicated by graph size, regulation under PHT/MPP⁺ indicated by a lighter colour, if the MPP⁺ effect is reduced, or by a darker colour, if the MPP⁺ effect is amplified. '*' indicates if the MPP⁺ treatment is significantly different compared to the control, while '#' indicates if the MPP ⁺/PHT treated group is significantly different compared to the MPP⁺ treated group. Data represented as mean. n=3.

Glucose is a fundamental source of energy in neuronal cells. It fuels the cellular energy supply through glycolysis and OXPHOS. To supply the glycolytic pathway with fresh substrate, a transporter named glucose transporter type 3 (GLUT3) is incorporated into the neuronal membrane (Maher et al., 1991).

LUHMES cells treated with MPP⁺, PHT/MPP⁺ and MPHT/MPP⁺ demonstrated a significant increase in protein level by about 150%, 160% and over 200%. Exclusive PHT treatment showed no significant effect on GLUT3 protein levels (Fig. 4.19). Higher levels of GLUT3 would indicate the cell's increased requirement of glucose to sustain its energy supply.



Figure 4.19: GLUT3 protein levels in MPP⁺ and PHT treated LUHMES cells. Shown are representative Western blots of GLUT3 and H3 of LUHMES cells treated with indicated compounds (MPP⁺ 10 μ M, PHT 20 nM, MPHT 20 nM) for 48 h and the densitometric quantification of GLUT3 protein levels normalized on H3 illustrated in a bar graph diagram. Presence of MPP⁺ dictates increased protein levels of GLUT3. '*' indicates significant differences compared to the vehicle treated group, while '#' indicate significant differences compared to the MPP⁺ treated group. Symbol number indicates the grade of significance with * = p < 0.05, ** = p < 0.01, and *** = p < 0.001. Data represented as mean and standard deviation. n=3.

4.11 MPP⁺ influences the transcription of genes involved in epigenetic regulation

When looking at the transcription levels of lysine acetylation modulators, a very complex picture is painted since regulations do not extend to a group of enzymes, but rather affect each gene in a unique way. SIRT1, for example, showed no significant regulation under neither MPP⁺ nor PHT/MPP⁺. On the other hand, SIRT2 was significantly up-regulated under MPP⁺, a circumstance not significantly averted by PHT. SIRT5 and SIRT3 were both significantly down-regulated in MPP⁺ treated cells, of which only SIRT3's transcription is protected by PHT. SIRT4, SIRT6 and SIRT7 showed no significant regulation by MPP⁺, but SIRT4 was significantly up-regulated under PHT/MPP⁺. Expression of SIRT6 and SIRT7 was also not affected by PHT/MPP⁺ (Fig. 4.20).



Figure 4.20: Transcriptional regulation of SIRTs in MPP⁺ and PHT treated cells. Bar graphs showing transcriptional regulation of all SIRTs in differentiated LUHMES cells treated with 10 μ M MPP⁺ and 20 nM PHT over 48 h. Regulation under MPP⁺ is indicated by graph size, regulation under PHT/MPP⁺ indicated by a lighter colour, if the MPP⁺ effect is reduced, or by a darker colour, if the MPP⁺ effect is amplified. '*' indicates if the MPP⁺ treatment is significantly different compared to the control, while '#' indicates if the MPP⁺/PHT treated group is significantly different compared to the MPP⁺ treated group. '!' indicates if the MPP⁺/PHT treatment is significantly different compared to the control group. Data represented as mean. n=3.

Of the remaining HDACs in class I, II and IV, HDAC6, HDAC1, HDAC5, HDAC11, HDAC3, HDAC10 and HDAC2 were significantly up-regulated in MPP⁺ treated cells, while HDAC7, HDAC8 and HDAC9 were not regulated and HDAC4 was significantly down-regulated. Significant protective effects by PHT could be observed for HDAC4, HDAC6, HDAC3 and HDAC2, but not for HDAC1, HDAC5, HDAC8, HDAC9, HDAC10 and HDAC11. Transcription of HDAC7 was significantly up-regulated in cells treated with PHT/MPP⁺ (Fig. 4.21).



Figure 4.21: Transcriptional regulation of HDACs in MPP⁺ and PHT treated cells. Bar graphs showing transcriptional regulation of all class I, II and IV HDACs in differentiated LUHMES cells treated with 10 μ M MPP⁺ and 20 nM PHT over 48 h. Regulation under MPP⁺ is indicated by graph size, regulation under PHT/MPP⁺ indicated by a lighter colour, if the MPP⁺ effect is reduced, or by a darker colour, if the MPP⁺ effect is amplified. '*' indicates if the MPP⁺ treatment is significantly different compared to the control, while '#' indicates if the MPP⁺/PHT treated group is significantly different compared to the MPP⁺ treated group. '!' indicates if the MPP⁺/PHT treatment is significantly different compared to the control as mean. n=3.

On the other side of the lysine acetylation machinery, the HATs, lysine acetyltransferase 2A (KAT2A), activating transcription factor 2 (ATF2), KAT5 and KAT7 were significantly upregulated in MPP⁺ treated cells, while TATA box binding protein associated factor 1 (TAF1) and circadian locomotor output cycles kaput protein (CLOCK) showed no regulation and KAT2B, KAT6A, KAT6B, E1A binding protein p300 (EP300), cAMP responsive element binding (CREB) binding protein (CREBBP), nuclear receptor coactivator 1 (NCOA1), NCOA3 and NCOA2 were all down-regulated in MPP⁺ treated cells. Significant protective effects by PHT could be observed for expression of KAT5, KAT6A, EP300, CREBBP and NCOA3, but nor for KAT2A, KAT2B, ATF2, KAT7, KAT6B, NCOA1 and NCOA2. TAF1 and CLOCK, on the other hand, were both significantly up-regulated in cells treated with PHT/MPP⁺ (Fig. 4.22).



Figure 4.22: Transcriptional regulation of HATs in MPP⁺ and PHT treated cells. Bar graphs showing transcriptional regulation of all HATs in differentiated LUHMES cells treated with 10 μ M MPP⁺ and 20 nM PHT over 48 h. Regulation under MPP⁺ is indicated by graph size, regulation under PHT/MPP⁺ indicated by a lighter colour, if the MPP⁺ effect is reduced, or by a darker colour, if the MPP⁺ effect is amplified. '*' indicates if the MPP⁺ treatment is significantly different compared to the control, while '#' indicates if the MPP⁺/PHT treated group is significantly different compared to the MPP⁺ treated group. Data represented as mean. n=3.

The enzymes governing DNA methylation, DNMTs and TETs, were also regulated in a rather diverse manner. DNMTs establish DNA methylation (Leonhardt et al., 1992), while TETs remove it again through oxidation (Tahiliani et al., 2009). DNMT1 and DNMT3B, but not DNMT3A, were significantly down-regulated in cells treated with MPP⁺. Significant protective effects by PHT on transcription level could not be observed. TET1 was significantly up-regulated in MPP⁺ treated cell, while TET3 was significantly down-regulated. TET2 showed no regulation at all. PHT showed significant protective effects on TET3, but not on TET1 expression levels. Cells treated with PHT/MPP⁺ showed a significant increase in TET2 transcription (Fig. 4.23).



Figure 4.23: Transcriptional regulation of DNMTs and TETs in MPP $^+$ **and PHT treated cells.** Bar graphs showing transcriptional regulation of all DNMTs and TETs in differentiated LUHMES cells treated with 10 µM MPP $^+$ and 20 nM PHT over 48 h. Regulation under MPP $^+$ is indicated by graph size, regulation under PHT/MPP $^+$ indicated by a lighter colour, if the MPP $^+$ effect is reduced, or by a darker colour, if the MPP $^+$ effect is amplified. '*' indicates if the MPP $^+$ treatment is significantly different compared to the control, while '#' indicates if the MPP $^+$ /PHT treated group is significantly different compared to the MPP $^+$ treated group. '!' indicates if the MPP $^+$ /PHT treatment is significantly different compared to the MPP $^+$ treated group. '!' indicates if the MPP $^+$ /PHT treatment is significantly different compared to the MPP $^+$ treated group. '!' indicates if the MPP $^+$ /PHT treatment is significantly different compared to the MPP $^+$ treated group. '!' indicates if the MPP $^+$ /PHT treatment is significantly different compared to the MPP $^+$ treated group. '!' indicates if the MPP $^+$ /PHT treatment is significantly different compared to the control group. Data represented as mean. n=3.

Regulation of this many epigenetic active enzymes is very likely related to the changes in DNA metyhlation and histone acetylation thus far. It is, however, of major interest, that gene expression of SIRT1 remains unaffected by either treatment, while SIRT3's shows a similar behaviour as the Western blot data presented earlier. SIRT1's reduced activity and protein levels are thus not a result of an epigenetic silencing of its transcription.

Interestingly, transcriptional regulation of epigenetic modulators was not restricted to catalytically active enzymes. In addition, transcription of histones was affected by MPP ⁺ treatment. Enrichment analysis revealed expression of H1, but not of the others, to be significantly up-regulated. PHT/MPP⁺ treatment caused a significant protective effect regarding H1 expression levels (Fig. 4.24).



Figure 4.24: Transcriptional regulation of histones in MPP ⁺ **and PHT treated cells.** Graphs illustrating the changes in transcription of histones in differentiated LUHMES cells treated with 10 μ M MPP ⁺ and 20 nM PHT over 48 h. A: Bubble diagram visualizing the overall regulation under MPP⁺. Purple represents H1, blue H2A, yellow H2B, green H3 and red H4. Bubble size indicates the fraction of significantly regulated transcripts divided by the total number of complex related transcripts. Bubble position on the Y-axis relates to log ₂ mean regulation, position on the X-axis relates to -log₁₀ mean of the p-value. B: Bubble diagram comparing PHT/MPP ⁺ to MPP⁺. C: Graphs showing transcriptional regulation of all histones. Regulation under MPP⁺ is indicated by graph size, regulation under PHT/MPP ⁺ indicated by a

lighter colour, if the MPP⁺ effect is reduced, or by a darker colour, if the MPP⁺ effect is amplified. '*' indicates if the MPP⁺ treatment is significantly different compared to the control, while '#' indicates if the MPP ⁺/PHT treated group is significantly different compared to the MPP⁺ treated group. Data represented as mean. n=3.

At this point is has become evident, that the cells alter their epigenetic status to supply the mitochondria not only with complex subunits, but also with enzymes, which supply the OXPHOS with substrates, as well as the means to amplify themselves. It is thus necessary to investigate whether these actions also result in altered mitochondrial constitution, respiration or health in future studies.

Overall, this work is able to provide ample data that are finally able to link the epigenetic phenomena observed in different models of PD, as well as in afflicted humans to ROS dependent mitochondrial distress. First, the nature and mechanism underlying the epigenetic changes could be traced back in *in vitro* studies to SIRT1 and DNMT3B malfunctions as their root, which could also be observed *in vivo*. These epigenetic changes seem to appear to rearrange the chromatin to increase transcription of nuclear encoded subunits of the mitochondrial complexes as well as adjacent pathways like glycolysis and TCA cycle. Also, mitochondrial replication through TEFM regulation and enhanced gene transcription of its machinery, appears induced, even more so, when PHT is present, thus also providing a fundamental tool in challenging these epigenetic disturbances in cells and tissue through its antioxidative properties.

To finally understand the impact and importance of these findings, a thorough discussion of these by comparing them with results from other studies and looking at the grander picture of PD and the implications of epigenetics on mitochondrial health.

4.12 Graphical abstract










5 Discussion

5.1 Epigenetic changes are part of PD's pathology

PD is not only the second most common neurodegenerative disorder among humans, but has also been proposedly linked to environmental factors (Dick et al., 2007). The pathology of the disease has been studied extensively, but the origin, the cellular alpha remains unbeknownst. Yet the omega, the destiny of the afflicted dopaminergic cell in the SN is explicitly precise. Over time, it withers, it wanes, it dies, leaving its work and duties to the remaining cells, who at one point will also succumb to the disease (Fearnley et al., 1991). Just as a worker who has to cover for a sick colleague exhausts faster, it seems plausible that the degeneration of those, that remain, would be accelerated. And just as a factory will cease to produce if enough workers are absent, so does the organism deteriorate from the cellular loss.

PD takes a very specific toll on the human body. Motoric capabilities of afflicted individuals are heavily impaired, while their mind remains conscious, aware and rational (Parkinson, 2002). These specific sets of deficits lead quondam scientists to the SN, a brain area that demonstrated morphological changes in PD afflicted individuals (Fearnley et al., 1991). The cell mass is reduced (Rudow et al., 2008), the cellular defence systems are engaged (Hunot et al., 2003) and protein aggregates amassed (Gundersen, 2010). These things have been described over a hundred years ago and progress has been made in spite of many setbacks. Nowadays the disease is manageable, granting afflicted individuals a more or less asymptomatic lifestyle through intake of different chemical compounds (Birkmayer et al., 1962; Gerstenbrand et al., 1965). A cure, however, has still not been found.

Modern PD treatments try to cover the loss of dopaminergic neurons by increasing the amount of available dopamine. This is achieved, for example, through administration of L-DOPA (Birkmayer et al., 1962), the metabolic precursor of dopamine (Blascko, 1939). Unlike its metabolic product, L-DOPA can pass the blood brain barrier through a solute carrier family 7 member 5 (SLC7A5) transporter (Kageyama et al., 2000) to supply the dopaminergic system. Dopamine, and thus L-DOPA, is, however, also a metabolic precursor of adrenaline (van der Schoot et al., 1965; Pendleton et al., 1976) an important hormone. Rash administration of L-DOPA thus would also cause accelerated adrenaline production possibly provoking cardiac arrest. To prevent this, the aromatic L-amino acid decarboxylase (AADC) inhibitors carbidopa (Marsden et al., 1973) or benserazide (van Wieringen, 1974), which may not pass the blood brain barrier, are administered together with L-DOPA. This causes the increased dopamine production to be restricted to the brain.

Other treatment options include catechol-O-methyltransferase (COMT) (Reches et al., 1984) and

MAO-B inhibitors (Ruggieri et al., 1986). The former enzyme would otherwise inactivate dopamine (Axelrod, 1957), the latter would even degrade it (Rosengren, 1960). These inhibitors are always combined with L-DOPA administration (Reches et al., 1984), since they themselves cannot compensate the loss of the dopaminergic neurons.

But to go further, to possibly find a cure or at least stop the disease's progress, it is important to find the root of PD's pathology. Imaginable theories are abound (see section 2.2), yet true proof for any of them is still lacking. Since this work, in its experimental design, mostly depended on the MPP ⁺ model of PD as the main model system, discussion will be largely limited to the ROS theory. Lately, scientists have started looking towards epigenetics in the context of PD; a comprehensible development when looking at the major risk factors of PD, aside from its hereditary forms. The two major risk factors of PD are environmental factors and time in form of ageing (Dick et al., 2007; Koller et al., 1987). These two aspects can also influence the epigenome of the cell in many different ways (Bandyopadhyay et al., 2003; Cohet, 1975) and, intriguingly, are in turn influenced by ROS (Harman, 2009).

Two studies which can almost be called the foundation of epigenetic research in the context of PD revolve around changes to the acetylome of histones (Park et al., 2016) and methylome of the DNA (Desplats et al., 2011). The former showed, that many different histone loci are changed in *in vitro* and *in vivo* model systems of PD. To put this data in line with the results of this work, the actually enriched and not enriched acetylation sites have to be looked at in detail. Of course, both data cannot cover all possible known acetylation sites of all histones, so an absolute verdict at this point in time is not achievable. Thus, the histone lysines known to be hyperacetylated *in vivo* in the MPP⁺ model include H2AK5, H2AK15, H3K14 (Fig. 4.3, 4.8 and 4.9) and H4K5, while H3K9 and H4K12 can only exhibit a trending hyperacetylation and H3K18 seems not to be affected at all (Park et al., 2016).

The consequences of those seem to be quite apparent. Through the increased acetylation, the band between histone and DNA is weakened, heterochromatin disassembled and transcription activated (Allfrey et al., 1964; Marushige, 1976). The last point, however, may be challenged by the transcriptomics data of this work (Fig. 4.15, 4.17, 4.18, 4.20, 4.21, 4.22, 4.23 and 4.24), since no bias for transcriptional up-regulation could be observed. The general statement histone acetylation would lead to increased transcription can thus not be considered true. While it is true that, in theory, the DNA becomes more accessible for the transcription machinery, some genes heavily rely on transcription factors (TFs), which require histone methylation to successfully enhance or repress transcription (Sen et al., 2017). In this case, the acetylation would, theoretically, block the methylation, thus the TF and thus transcription. Because the consequences of increased lysine acetylation are very dynamic and can hardly be generalized, the only admissible conclusion from

this data may be that transcriptional changes are occurring, but may assess neither quality nor quantity of those changes.

Since acetylation does not come to pass spontaneously, but is regulated by HAT and HDAC enzymes, that write (Racey et al., 1971) or erase (Kaneta et al., 1974) this specific PTM, the affected lysines may serve as clues to point to the HAT and/or HDAC responsible. See the table below for further information.

Locus	Writer	Eraser
H2AK5	EP300 (Ogryzko et al., 1996), CREBBP (Ogryzko et al., 1996), HAT1 (Verreault et al., 1998)	HDAC3 (Johnson et al., 2002), HDAC1 (Johnson et al., 2002)
H2AK15	KAT5 (Jacquet et al., 2016)	?
Н3К9	CLOCK (Doi et al., 2006), KAT2A (Grant et al., 1999), KAT6A (Voss et al., 2009)	HDAC11 (Byun et al., 2017), SIRT1 (Imai et al., 2000), SIRT6 (Michishita et al., 2008), HDAC1 (Vermeulen, et al., 2004), HDAC2 (Vermeulen et al., 2004), SIRT3 (Scher et al., 2007)
H3K14	EP300 (Ogryzko et al., 1996), CREBBP (Ogryzko et al., 1996), ELP3 (Winkler et al., 2002), KAT2A (Grant et al., 1999), KAT2B (Vicent et al., 2009) KAT7 (Kueh et al., 2011), CLOCK (Doi et al., 2006), KAT6A (Qiu et al., 2012), KAT6B (Klein et al., 2017)	SIRT1 (Imai et al., 2000), HDAC1 (Vermeulen et al., 2004), HDAC2 (Vermeulen et al, 2004)
H3K18	EP300 (Ogryzko et al., 1996), CREBBP (Ogryzko et al., 1996), KAT2A (Grant et al., 1999)	SIRT7 (Barber et al., 2002), SIRT2 (Eskandarian et al., 2013), HDAC1 (Kelly et al., 2018), HDAC2 (Kelly et al., 2018)
H4K5	EP300 (Ogryzko et al., 1996), CREBBP (Ogryzko et al., 1996), HAT1 (Verreault et al., 1998)	HDAC3 (Johnson et al., 2002), HDAC1 (Vermeulen et al., 2004), HDAC2 (Vermeulen et al., 2004)
H4K12	HAT1 (Verreault et al., 1998), ATF2 (Kawasaki et al., 2000)	HDAC3 (Johnson et al., 2002), HDAC1 (Vermeulen et al., 2004), HDAC2 (Vermeulen et al., 2004), SIRT1 (Imai et al., 2000)

Table 5.1: Histone acetylation sites. Overview of histone acetylation loci investigated in PD and their governing enzymes.

Some of the existing HATs and HDACs are not listed in the table. Of course, this short list only references those loci relevant to this or the previously mentioned work and not all enzymes are as well understood, as it would be necessary to correlate their activity to one specific locus. However, the table does yield a representative picture as to how dynamic the system is and how many

enzymes play a part.

Another very important antecedent work described that DNA showed reduced levels of metyhlation and a dislocalization of DNMT1 from the nucleus to the cytosol in humans afflicted with PD (Desplats et al., 2011). Both these described effects could not only be verified during the course of this work, but it also became evident that the dynamics behind these pathologies are more dynamic than thought at first (Fig. 4.10, 4.11, 4.12, 4.13 and 4.14). The reduced levels of DNA methylation could also be related to the observed transcriptional changes. DNA methylation mainly affects transcription in two different ways. First, the methylation itself may hamper the transcription machinery (Tippin et al., 1997), but more importantly the methyl group allows proteins with a MBD to bind to the DNA and recruit other proteins that condense the chromatin (Chandler et al., 1999). This may lead to the simplified conclusion reduced DNA methylation levels could only lead to increased transcription, yet similar to the data regarding histone acetylation the transcriptomics data expose this idea as sophism. Indeed, DNA methylation may, depending on its position, also increase transcription.

Taken together the epigentic changes can maybe not yet be considered pathologies of PD, but at least of the MPP⁺ model of PD. Studies with human specimen are necessary to further validate and solidify these results, but they might already be considered to become tools for earlier diagnoses that would allow treatment before motoric symptoms would occur. However, changes to the transcription that are too strong and massiv may have detrimental effects on cellular health and might be a key process in cellular demise.

5.2 ROS dependant loss of SIRT1 activity ultimately causes lysine hyperacetylation and histone H1 hypertranscription

Finding the enzyme responsible for the observed hyperacetylation may yield a fitting target for future treatment options. To find that enzyme more experiments were conducted during the course of this work. A first clue could be found in the general acetylation status of lysines of the entire proteome. Lysine as an amino acid is, of course, found in more proteins than just histones and it can also be acetylated in that position. This can affect the thusly-acetylated protein in many different ways. It can activate the enzymatic function, it can decrease the function, it can increase or reduce the binding to cofactors and it can target or protect the protein from degradation. In this work, a general increase of lysine acetylation could be observed (Fig. 4.3, 4.3, 4.8 and 4.9).

This proved helpful to discern the HDAC involved in the hyperacetylation events, since the HDAC has to be present in the nucleus and the cytosol, which is not true for every HDAC. SIRT6 (Liszt et al., 2005) and SIRT7 (Kiran et al., 2013) could thus be excluded since they are exclusively nuclear,

while SIRT4 (Ahuja et al., 2007) and SIRT5 (Nakagawa et al., 2009) are exclusively mitochondrial and cannot affect histones. SIRT1 (Sun et al., 2016), SIRT2 (North et al., 2003) and SIRT3 (Onyango et al., 2002; Iwahara et al., 2012), on the other hand, are present in both, the nucleus and the cytoplasm or the mitochondria in SIRT3's case. Of the HDACs, HDAC11 (Gao et al., 2002) is more or less restricted to the nucleus, while HDAC1-10 (Viatour et al., 2003; Gu et al., 2005; Miska et al., 2001; Chawla et al., 2003; Verdel et al., 2000; Fischle et al., 2001; van den Wyngaert et al., 2000; Sugo et al., 2010; Kao et al., 2002) can occur in and outside of it.

Comparison of cells treated with the Zn²⁺ dependant HDAC inhibitor TSA (Yoshida et al., 1990) and the SIRT1 specific inhibitor EX-527 (Napper et al., 2005), can only lead to one conclusion. MPP⁺ could only induce hyperacetylation in neurons treated with TSA, but not with EX-527. This means, that the enzyme responsive to the MPP⁺ is not affected by TSA, but by EX-527, which would only leave SIRT1 as the responsible agent. Intriguingly, in table 5.1 SIRT1 only appears as eraser of H3K14 and H3K9 acetylation, but not of the other loci.

SIRT1 is a protein well studied and well romanticised. It first occurred in yeast in form of its homologue silent mating type information regulation 2 (sir2) (Rine et al., 1987) as a major player of epigenetic programming (Pillus et al., 1989) and became popular when it was shown that increased sir2 activity correlated with decelerated ageing in yeast (Kaeberlein et al., 1999). Through these high levels of attention many studies have delivered vital insights in different pathways and regulations affected and mediated by SIRT1 including mitochondrial biogenesis through peroxisome proliferator-activated receptor gamma coactivator 1-alpha (PPARGC1A) (Rodgers et al., 2005), energy homoeostasis through 5' adenosine monophosphate-activated protein kinase (AMPK) (Hou et al., 2008) and cell survival through TP53 (Vaziri et al., 2001) to name three examples. Many compounds to modulate SIRT1 activity, like resveratrol have thus also been described (Howitz et al., 2003). SIRT1 protein levels indeed do decrease in cells of aged organisms rendering SIRT1 a hallmark protein of ageing (Longo et al., 2006). In context of PD, SIRT1 has been shown to protect SY5Y neuroblastoma cells from ROS mediated cell death. Furthermore, the same study revealed SIRT1 to be down-regulated in post mortem brain tissue of PD patients (Singh et al., 2017).

As already mentioned, MPP⁺ treated LUHMES cells and MPTP treated mice showed high levels of acetylation at the H3K14 locus, a known target site of SIRT1 (Imai et al., 2000). Other SIRT1 target histone constituting lysines include H3K9 (Imai et al., 2000), H4K16 and H1K26 (Vaquero et al., 2004). Especially the last one deserves higher levels of attention. So far, no other HDAC has been described to deacetylate it. H1 is not only deacetylated by SIRT1 at H1K26, but also recruited to the nucleosome to constitute facultative heterochromatin (Vaquero et al., 2004). A lack of SIRT1 activity would thus cause great portions of the H1 protein pool to be kept outside of the chromatin

and left for degradation through proteolysis, which is acetylation dependent (Qian et al., 2016). Interestingly, H4K16 acetylation has also been linked to histone displacement and subsequent degradation (Lu et al., 2010), yet SIRT2 (Vaquero et al., 2006) and SIRT3 (Scher et al., 2007) may compensate a loss of SIRT1 in this case. This likely explains the very specific and highly significant induction of all in LUHMES cells expressed H1 genes (Fig. 4.24), because the degraded proteins need to be replenished. H1 may affect transcription in a very filigree yet simple way. Through its presence or its PTMs the position of nucleosomal beads changes, moving them closer together or further apart or changing their angles (Bednar et al., 2017; Öztürk et al., 2018). This likely causes rearrangement of silencer or enhancer motifs as well as affect transcription factor accessibility and thus may be a key factor for the observed transcriptional changes. Interestingly, disturbances of H1 homoeostasis have also been reported in PD through accumulation of displaced H1 in Lewy-bodies (Duce, et al. 2006).

The activity assay from cell lysates showed a decline in SIRT1 activity, that is caused by MPP ⁺ (Fig. 4.5). Dependant on the mechanism behind the toxin, four explanations are possible. When MPP ⁺ decouples the electron chain in complex I, three disturbances that might affect SIRT1, may occur. First, the ROS created by electron leakage in the complex may damage SIRT1. Second, due to breakdown of the mitochondrial membrane potential, the ATP levels are decreased and the lack of energy may affect SIRT1 activity directly or indirectly. Third, SIRT1 requires NAD ⁺ as a cofactor, but the damaged complex I may no longer be able to sustain the required levels of NAD ⁺. A fourth possibility would be independent of MPP⁺'s main toxic effect and it could directly inhibit SIRT1. At least the third possible explanation can be discarded due to the paradigm of the activity assay. Since NAD⁺ is supplied in sufficient amounts in the assay reaction, a depletive effect on activity can be excluded.

Thus, the data gathered from the assay were supported by Western blots of SIRT1, which showed that SIRT1 protein levels were decreased in MPP⁺ treated cells (Fig. 4.5). Of course, a reduced amount of protein could account for the observed decreased activity, but transcriptomics revealed no regulation by MPP⁺ on SIRT1 transcription (Fig. 4.20), which in turn means the reduced protein levels cannot be explained through transcriptional effects. In another study fellow scientists revealed that cysteine residues in the SIRT1 protein are prone for oxidation through ROS. They created mutant forms of the protein that would replace the oxidation prone cysteines with serines. These mutant forms showed no functional impairment, while also no vulnerability towards ROS mediated damage (Shao et al., 2014). Since damaged proteins need to be degraded (Mizushima et al., 2011), the autophagic system was challenged in a set of cells through BafA1. Using this approach, damaged proteins would not be able to be degraded via autophagy, which would cause them to accumulate in the cells. Indeed, the SIRT1 ratio between BafA1 treated and untreated cells

- further called flux - increased in MPP⁺ treated cells, which translates to accelerated SIRT1 degradation (Fig. 4.5).

However, this data so far only reveal SIRT1 to be degraded at a faster pace, which results in decreased activity levels. When the cells treated with MPP ⁺ were also treated with PHT, a potent antioxidant, the SIRT1 activity was similar to that of the control group (Fig. 4.5). The same is true for the H3K14 and lysine acetylation levels (Fig. 4.3, 4.8 and 4.9), the protein levels (Fig. 4.5) and the flux (Fig. 4.5). PHT treatment always killed the MPP ⁺ effects, but never the hyperacetylations caused by other agents like TSA or EX-527 (Fig. 4.4). Since transcription levels of SIRT1 were also unaffected by PHT treatment (Fig. 4.20), the only permissible explanation must lead to a regulation of SIRT1 activity through ROS. This is further validated, by the inability of MPHT, an inactive form of PHT, to mimic PHT's influence, while APHT, a weaker antioxidant, still protects at least the H3K14 acetylation levels, albeit not as strong as PHT (Fig. 4.3).

The implemented *in vivo* mouse model complements most of these points. Acetylation levels of H3K14 and total lysine were increased in the MPTP treated group and similar to control levels in the PHT/MPTP treated group (Fig. 4.8 and 4.9). However, SIRT1 levels in MPTP and PHT/MPTP treated mice were increased, not decreased (Fig. 4.9). This can be caused by a lot of different factors. First, SIRT1 may be regulated differently in mice than it is in humans. Second, the brain lysates, unlike the LUHMES cell culture, are not exclusively constituted by dopaminergic neurons. Third, LUHMES cells are incapable of removing MPP⁺, while an entire organism can detoxify. Fourth, rodents are generally less susceptible to toxins. Fifth, SIRT1 degradation is slower. All these are good arguments to further investigate the *in vivo* model, but the final and verified read out of SIRT1 function, the deacetylation of H3K14, confirms that SIRT1 activity is also thwarted by MPP⁺ and protected by PHT *in vivo*.

5.3 MPP⁺ disrupts the SIRT homoeostasis

While SIRT1 is predominantly nuclear and only a smaller fraction of the protein is located to the cytosol, SIRT2 is the exact opposite. Both SIRTs overlap in deacetylation H4K16 (Vaquero et al., 2004; Vaquero et al., 2006), thus observed increase in SIRT2 transcription (Fig. 4.20) might be a compensatory process for SIRT1 loss. SIRT1 deacetylates and deactivates the transcription factors TP53 (Vaziri et al., 2001), EP300 (Bouras et al., 2005) and V-rel avian reticuloendotheliosis viral oncogene homolog A (RELA) (Yeung et al., 2004). These transcription factors may also be negatively regulated by SIRT2 (Jin et al., 2008; Black et al., 2008; Rothgiesser et al., 2010). SIRT1, however, may also affect energy homoeostasis by interacting with AMPK (Hou et al., 2008), the major energy sensor, that evaluates the cellular AMP/ATP ratio (Moore et al., 1991) and activates

glycolytic (Marsin et al., 2000) or beta oxidation related proteins (Hardie et al., 2002), if said ratio is too high. SIRT2 cannot affect AMPK, but rather regulates the acetylation status of the cytoskeleton and thus has an important role for vesicle trafficking (Budayeva et al., 2016). Possibly, a regulatory axis between SIRT1 and SIRT2 exists that enhances one if the other is not present in sufficient number. Since SIRT1 and SIRT2 have a high overlap in many but not all functions, this may allow for a regulation of these specific tasks, while keeping the general tasks running. In PD models, SIRT2 over-expression is a common phenomenon (Liu et al., 2014), that could be caused by reduced SIRT1 activity.

In mitochondria, SIRT3 regulates respiratory activity (Ahn et al., 2008), mtDNA transcription (Liu et al., 2014) and the TCA cycle (Ozden et al., 2014) among others. In the nucleus, SIRT3 regulates stress response genes (Iwahara et al., 2012). Depending on its whereabouts, SIRT3 appears at different sizes. The full-length protein is only encountered in the nucleus (Scher et al., 2007), while the smaller form is exclusively mitochondrial since it is a result of cleavage by the MPP (Schwer et al., 2002). This allows to discern the localization by size of the protein. Like SIRT1, SIRT3 has also been linked to ageing (Brown et al., 2013). A mutation inside an enhancer sequence of SIRT3 has often been observed in long-lived individuals (Bellizzi et al., 2005) and SIRT3 knock-out mice have demonstrated neuronal degeneration in their SN *pars compacta* (Shi et al., 2017). Interestingly, regarding histones, SIRT3 has been shown to deacetylate H3K9 and H4K16 (Scher et al., 2007), a locus also deacetylated by SIRT1 and SIRT2 (Vaquero et al., 2004; Vaquero et al., 2006). Meanwhile, in MPP⁺ stressed cells, the nuclear form of SIRT3 is heavily induced and persists in cells treated with PHT/MPP⁺ (Fig. 4.6). This is likely a result of redistribution, since total SIRT3 protein levels remain unchanged.

Since exclusive PHT treatment showed no effect on SIRT3 in any way, the SIRT3 re-localization is not a response to the increased ROS, but rather to the respiratory breakdown. This would also partly exclude a compensatory effect of SIRT3, although SIRT1 and SIRT3 share two target histone lysines. Like the protein levels of the mitochondrial form, decreased SIRT3 transcription, however, is averted by PHT and thus ROS dependant (Fig. 4.20). This transcriptional rescue may account for the observed increase in total SIRT3 protein observed in only the PHT/MPP⁺ treated cells. Intriguingly, the decrease of mitochondrial SIRT3 in MPP⁺ treated cells is accompanied by a higher turnover that is also averted by PHT, while nuclear SIRT3 does not accumulate in autophagosomes under any treatment. This may be a hint towards a higher turnover of mitochondria altogether. The *in vivo* data complement this to some degree (Fig. 4.9). Here presumably only the nuclear form of SIRT3 was observable, yet murine SIRT3 seems to lack the recognition site for MPP cleavage. Thus, SIRT3 is most likely regulated differently than in human cells. The observed decrease may likely be caused by a general decrease in mitochondria like the human mitochondrial SIRT3.

Unlike SIRT3's, SIRT4's target spectrum is much smaller. It is exclusively mitochondrial and for example inhibits the pyruvate dehydrogenase complex (Mathias, et al. 2014) that catalyses the reaction of pyruvate to acetyl-CoA (Coxon et al., 1949). SIRT4 transcription is unaffected by MPP ⁺ treatment, yet PHT causes its transcription to increase (Fig. 4.20). Interestingly, SIRT4 transcription is negatively coupled to mammalian target of rapamycin complex 1 (mTORC1) activity (Csibi et al., 2013), which in turn is inhibited by AMPK (Inoki et al., 2003), which is activated by ATP depletion (Moore et al., 1991). Thus, energy depletion drives SIRT4 transcription and is likely responsible for the observed transcriptional increase in PHT/MPP ⁺ treated cells. In general, SIRT4 inhibits mitochondrial acetyl-CoA production outside of beta-oxidation. Interestingly, an artificial depletion of acetyl-CoA would also indirectly inhibit HATs. *In vivo* results suggest a decline in SIRT4 protein levels in MPTP treated animals (Fig. 4.9). This may be related to an overall decrease of mitochondria similar to SIRT3.

SIRT5 activates carbamoyl phosphate synthase 1 (CPS1) (Tan et al., 2014) of the urea cycle, which metabolises ammonium to urea (Krebs et al., 1932). This process initially consumes ATP and feeds the TCA cycle through fumarate to form NADH+H⁺ (Shambaugh, 1977), which could be used to generate more ATP than previously expended through the respiratory chain. Since the latter does not work properly in MPP⁺ treated cells, the urea cycle would turn into an ATP and NAD⁺ sink. Thus, SIRT5 transcription is repressed in cells treated with MPP⁺ or PHT/MPP⁺ (Fig. 4.20).

SIRT6 is nuclear and exhibits deacetylase activity towards H3K9 (Michishita et al., 2008) and H3K56 (Michishita et al., 2009) and mediates DNA double strand break repair (Mao et al., 2011). SIRT6 transcription is not regulated in any treatment group (Fig. 4.20). This may point towards no increase in nuclear DNA damage.

SIRT7 is nucleolar and has so far only been shown to deacetylate H3K18 (Barber et al., 2002) in context of rRNA transcription through stimulation of RNA polymerase I dependant transcription (Ford et al., 2006). Increased rRNA transcription through SIRT7 up-regulation could point towards a higher requirement of ribosomes due to higher protein biosynthesis demand (Fig. 4.20).

5.4 MPP⁺ disrupts the HDAC/HAT homoeostasis

The impact of most SIRTs extends far beyond just transcriptional regulation. This is not necessarily true for the other HDACs. HDAC1 and HDAC2, for example, mainly govern histone acetylation levels. They may also deacetylate some transcription factors but have not been shown to immediately interfere with cellular metabolism. In previous studies, HDAC1 and HDAC2 have been implicated as the main source of accumulation of lysine acetylations in PD models, because of their accumulation inside of autophagosomes resulting in a decrease of protein level (Park et al.,

2016). However, it has to be considered, that HDAC1 and HDAC2 require functional SIRT1. Once they have deacetylated a lysine, the acetyl group sticks to the HDAC (Qiu et al., 2006) and needs to be removed by SIRT1 (Dobbin et al., 2013). If SIRT1 is not present, every HDAC1 and HDAC2 enzyme could catalyse exactly one reaction and would then need to be replaced. An increased degradation and the in this work observed increased transcription of HDAC1 and HDAC2 would lead to an increased turnover caused by SIRT1 deficiency (Fig. 4.21). This would also explain the protective properties of PHT.

HDAC3 also affects only proteins directly affecting transcription. It has been, however, shown to be a co-activator of the nuclear factor, erythroid 2 like 2 (NFE2L2) transcription factor to promote cell survival in oxidative conditions (Martin et al., 2014). These circumstances reflect the regulations observed in this work. ROS cause increased transcription levels, which are abolished by PHT (Fig. 4.21).

The regulatory properties of HDAC4 are mostly similar to those of the others. However, one of its targets appears oddly specific. It may deacetylate the chaperones heat-shock 70 kDa protein 1A (HSPA1A) and HSPA1B. Depending on their acetylation status, these chaperones either target misfolded proteins for refolding if acetylated or proteasomal degradation if not acetylated (Seo et al., 2016). The observed down-regulation of HDAC4 under MPP⁺ and PHT/MPP⁺ would thus cause the proteins to be rather targeted for refolding (Fig. 4.21).

HDAC5 is another major regulator of histone acetylation. So far, its targets have only been related to transcription factors and histones. It has, however, been implicated in memory consolidation (Agis-Balboa et al., 2013) and addiction (Taniguchi et al., 2017). The observed up-regulations could thus be related to neuronal reformation or protection (Fig. 4.21).

Unlike the other so far described HDACs, HDAC6 has a major task outside of the nucleus away from the histones and transcription factor it may also affect. If the proteasomal degradation system and the chaperone system overburdened by an amount of misfolded or damaged proteins, HDAC6 mediates their storage in aggresomes by linking them to a dynein motor protein. The aggresomes are subsequently targeted for autophagic degradation (Kawaguchi et al., 2003). Increased ROS lead to an increase in misfolded proteins that need to be degraded (Haynes et al., 2004). The observed regulations of HDAC6 are likely related to this rather specific task of HDAC6 (Fig. 4.21).

The HDACs HDAC7, HDAC8 and HDAC9 are more or less not regulated in any treatment group (Fig. 4.21). So far, they also have not been implicated in anything bar histone or transcription factor deacetylation. The absence of regulations can thus not be interpreted in any way.

HDAC10, on the other hand, has been implicated in lysosomal exocytosis in neuroblastoma cells (Ridinger et al., 2018). It is thus relevant for the clearance of damaged proteins out of the cellular body. In light of this, the observed up-regulations of HDAC10 can be explained with an increased

amount of damaged proteins (Fig. 4.21).

Finally, HDAC11, of course, also regulates histone and transcription factor acetylation. A unique regulation of HDAC11 has been shown in knock out mice that showed a lesser tendency to become obese while under a high fat diet (Sun et al., 2018). This might implicate an involvement of HDAC11 in energy homoeostasis either direct or indirect. This may explain the elevated transcription levels observed in cells treated with MPP⁺ and PHT/MPP⁺ (Fig. 4.21).

On the other side of lysine acetylation, some HATs are also regulated when treated with MPP ⁺ or PHT/MPP⁺. To be precise, 9 out of 14 HATs are significantly down-regulated by MPP ⁺ (Fig. 4.22). This is likely a regulation to compensate the so far described loss of HDAC activity. Interestingly, 6 (KAT6A (Qiu et al., 2012), KAT6B (Klein et al., 2017), EP300 (Ogryzko et al., 1996), CREBBP (Ogryzko et al., 1996), NCOA1 (Spencer et al., 1997) and KAT2B (Vicent et al., 2009) of the 9 down-regulated enzymes exhibit acetyltransferase activity towards H3, while two (NCOA2, NCOA3) of the other three show no transferase activity towards histones. This is likely a cellular response to the high H3 acetylation levels since SIRT2 and SIRT3 exhibit almost no deacetylase activity towards H3 and thus cannot compensate loss of SIRT1 function.

5.5 ROS dependant loss of DNMT3B causes DNA hypomethylation

The mechanisms governing DNA methylation and demethylation rely on two protein families. DNMTs transfer a methyl-group from S-adenosyl methionine (SAM) to the 5' position of the DNA base cytosine (Pradhan et al., 1999). This usually causes the chromatin to condense because DNMTs often work in concert with other enzymes like HDACs (Fuks et al., 2000). TETs hydrolyse the methyl group, which ultimately results in replacement of the base with an unmodified cytosine by means of base excision repair (BER) (Weber et al., 2016). Together these enzymes work dynamically in concert. Disturbances in this tandem may cause severe alterations to the DNA metyhlome due to the mechanics behind DNMT dynamics.

The DNMT protein family merely consists of three proteins. The so-called maintenance DNMT, DNMT1 (Pradhan et al., 1999), and the *de novo* DNMTs, DNMT3A and DNMT3B (Okano et al., 1999). During a cells development or adaptive processes, DNMT3A and DNMT3B place fresh methylations that are conserved by DNMT1 or removed by a TET. To place the right methylation at the right time, DNMT3A and DNMT3B rely on other factors to guide them. However, some of these factors may no longer be present in differentiated cells and a loss of associated methylations cannot be restored. On the other hand, TET1 and TET3 feature a CXXC domain that allows them to bind DNA (Iyer et al., 2009), while TET2 relies on cofactors since it lacks said domain.

First and foremost in regard to DNA methylation previous studies were able to confidentially reveal

that PD models as well as affected humans show decreased levels of DNA methylation (Desplats et al., 2011). In this work, these findings were confirmed (Fig. 4.10). MPP ⁺ treated LUHMES cells showed reduced levels of 5-methylcytosine. Since co-treatment with PHT blocked the MPP ⁺ mediated reduction, the depletion of 5-methylcytosine is likely related to ROS. The underlying mechanism is most probably related to DNMTs, since SIRT1 has been shown to be a common interactor of those (Kashiwagi et al., 2011).

DNMT1 was mainly localized to the nucleus, where most of the cellular DNA is located. Upon MPP⁺ treatment this changed. Most of the protein moved to the cytosol, leaving the nucleus almost empty (Fig. 4.11). Since the antioxidant PHT was unable to avert this, the re-localization of DNMT1 cannot be held responsible for the observed DNA hypometyhlation. It is nonetheless an interesting development. Considering the decrease in transcription of DNMT1 which also is ROS independent (Fig. 4.23), the cells appear to actively lock the protein out of the nucleus. Interestingly, DNMT1 has been shown to localize to mitochondria to methylate mitochondrial DNA (Shock et al., 2011). Since MPP⁺ is first and foremost a mitochondrial poison, DNMT1 may be needed to protect mtDNA. This could be supported by the behaviour of DNMT1 in 6-thioguanine treated cells. This guanine analogue is implemented in newly synthesized DNA (Lepage, 1963), which is in post mitotic cells only occurring in mitochondria, and interferes with the replication and methylation process (Hogarth et al., 2008). Thus, DNMT1 would be required to maintain DNA methylation in newly synthesized mtDNA.

DNMT3A was localized to the nucleus and the cytosol with a conspicuous perinuclear focus per cell (Fig. 4.12). Since DNMT3A has also been implicated in mtDNA methylation, the cytosolic fraction is likely associated to mitochondria (Chestnut et al., 2011). MPP ⁺ treatment did not affect transcription levels of DNMT3A, but protein levels were decreased, which PHT could also not prevent (Fig. 4.12 and 4.23). Interestingly, 6-thioguanine, again, mimicked the MPP ⁺ effect. This could mean that rather the observed stress on DNA methylation could cause the regulations regarding DNMT1 and DNMT3A than the increased oxidative stress.

DNMT3B is the only DNMT that was not described in mitochondria and was only located to the nucleus (Fig. 4.13). In case of this protein, the MPP⁺ associated decline in protein level was blocked by PHT (Fig. 4.13 and 4.14). Thus, DNMT3B must be responsible for the observed DNA hypomethylation, since it is the only DNMT responsive to PHT treatment. Indeed, the protein levels are even increased compared to the control. This likely means that DNMT3B compensates the translocated DNMT1 and adopts its nuclear tasks, while DNMT1 takes care of mtDNA methylation. Western blots of DNMT3B, however, revealed a different picture. Human DNMT3B actually has different isoforms, a lot of which are between 95-100 kDa and one at 70 kDa. Since the larger isoforms are this similar in size, they rather appear as a smear on the blot than a precise band (Fig.

4.14). This allows to compare the protein levels of some isoforms separately. These Western blots revealed both isoforms to be regulated in the same way. DNMT3B protein levels, however, were also elevated in cells treated only with PHT. Thus, the elevated DNMT3B levels in the MPP ⁺/PHT treated group could also be considered a side effect of PHT. Unfortunately, the results from cells treated with MPP⁺/MPHT were inconclusive due to high variances, but at least in two out of three samples DNMT3B levels were elevated. This would support the hypothesis that DNMT3B is affected by PHT independent of its antioxidative properties. Interestingly, the DNMT3B transcription is down-regulated in samples treated with MPP⁺ (Fig. 4.23), somewhat contradicting the elevated protein levels observed after PHT treatment.

Taken together the *in vitro* results regarding DNMT3B paint a very diverse picture, which gives rise to different questions that need to be addressed. It is definitely responsible for the MPP ⁺ mediated DNA hypomethylation. Although PHTs seem to have a side effect on DNMT3B levels, the underlying mechanism, however, is also likely to be related to ROS. The protein is constituted by many cysteines and methionines, the most ROS vulnerable amino acids. The reduced amount of DNMT3B protein is likely a result of increased degradation and decreased gene transcription. The transcriptional effect observed in PHT/MPP⁺ treated cells may also be independent from the MPP⁺ regulation and rather be caused by a negative feedback loop resulting in the same regulation.

Due to difficulties of the experimental procedures, the *in vivo* samples could not be investigated in regard to DNA methylation, but the lysates allowed to investigate the responsible protein DNMT3B. Of the different isoforms only one at ~100 kDa gave a reliable signal and thus evaluation was restricted to this isoform (Fig. 4.14). The midbrain lysates confirmed the *in vitro* data concerning protein levels, emphasizing that these are not cell culture artefacts but relevant to the dopaminergic cell loss.

Intriguingly, mutations in the DNMT3B gene have been implicated in a rare form of familial PD (Chen et al., 2017). Furthermore, DNMT3B is an interactor of SIRT1 that work in tandem to establish and maintain heterochromatin. A complex dependant on DNMT3B, SIRT1 and H1 has even been described to be of major importance catalysing epigenetic rearrangements (Kashiwagi et al., 2011). Thus, an impairment of this specific complex is very likely. Interestingly, H1 is recruited by SIRT1 (Vaquero et al., 2004) and then H1 recruits DNMT3B (Yang et al., 2013) (Fig. 5.1). Whether the DNMT3B is indeed damaged by the ROS, or whether it can no longer access the DNA due to H1 displacement, becomes idle and is thus degraded, cannot be assessed at this point. Future DNMT3B over-expression studies might deliver much needed answers.



Figure 5.1: ROS dependant loss of heterochromatin. SIRT1, H1 and DNMT3B work in tandem to generate heterochromatin. Since SIRT1 is damaged by ROS, it can no longer recruit neither H1 nor DNMT3B to the chromatin, resulting in a loss of heterochromatin. If PHT is present, heterochromatin can be assembled since ROS mediated SIRT1 damage is quenched.

Robust knowledge of TET function is primarily gathered in embryonic studies due to their exceedingly high activity during developmental processes (Cimmino et al., 2011). They have, however, also been described in highly active levels in neuronal cells of the hippocampus (Chen et al., 2012). Knock-out studies have revealed, that TET2 and TET3 govern different time points and aspects of differentiation processes (Li et al., 2015), while TET3 expression levels were also increased after neuronal injury (Weng et al., 2017). MPP⁺ treated cells exhibit higher levels of TET1 transcription, but lower levels of TET3 transcription and no effect on TET2 transcription (Fig. 4.23), while PHT swaps the effects of TET2 and TET3. Unfortunately, since the underlying dynamics of TETs is not well understood and target genes seem to depend also on interacting transcription factors, the implications of these regulations cannot be fully grasped at this stage. It can only be stated, that a shift in TET transcription likely causes different sets of genes to become activated and may play a role in the observed DNA hypomethylation under MPP⁺.

5.6 Increased supply of energy generators in the MPP⁺ model of PD

As mentioned, MPP⁺ complex I inhibition takes away the cell's main energy source, the oxidative phosphorlyation through the respiratory chain (Nicklas et al., 1985). Without a functional complex I, the membrane potential of the inner mitochondrial membrane weakens (Ghelli et al., 1997). If the cellular energy system collapses, it appears logical, that the cell would try to produce more tools to generate energy. Indeed, transcription of complex V related nuclear genes is up-regulated in MPP ⁺ treated cells, with the exception of ATP synthase subunit S (ATP5S) which is down-regulated (Fig.

4.15). This could theoretically be compensated through ATP5SL. Although this protein has so far only been described in one study as a complex I assembly factor (Stroud et al., 2016), it could also surrogate ATP5S due to its structural similarities. To solidify this claim, further studies have to be considered.

Overall complexes IV, III and I also show strong up-regulations in MPP⁺ treated cells, while complex II also trends towards an overall up-regulation. Interestingly the regulations regarding complex II are the weakest, although it is the only alternative to complex I to generate ATP through the respiratory chain. Unlike complex V, the complexes III and IV have no component that is down-regulated.

Complex I, on the other hand, has a few more genes down-regulated, but remains generally upregulated nonetheless. Together these data points suggest a higher requirement for generation of mitochondrial complexes under MPP⁺ stress conditions. Interestingly, most of these up-regulations disappear in PHT/MPP⁺ treated cells. This could be interpreted in two ways. First, due to the presence of PHT, the mitochondria are more healthy and thus the stimulus to generate more complexes is weakened. Second, the cells try to compensate the energetic loss through anaeorbic means. If the cells overall abdicate respiration they do not require high levels of nuclear encoded complex subunits. Future studies need to address these points by looking at the mitochondrial respiration rate and the LDH activity to compare aerobic vs. anaerobic respiration. Looking at the transcription rate of other players of the energy metabolism may also yield first insights into the possible implications.

Transcription of glycolytic enzymes is heavily up-regulated in MPP ⁺ treated cells and remains that way in PHT/MPP⁺ treated cells, although transcription of most is reduced through PHT (Fig. 4.19). Out of those not significantly genes regulated through PHT, three stick out. Hexokinases 1 and 2 (HK1, HK2) phosphorylate glucose to glucose-6-phosphate (Lowry et al., 1964, Tsai et al., 1996) and thus catalyse the first step of glycolysis. Unlike glucose, glucose-6-phosphate can no longer leave the cell (Berg et al., 2002) and the final commitment to glycolysis is catalysed by the rate limiting enzyme phosphofructokinase (PFK), which turns fructose-6-phosphate into fructose-1,6-bisphosphate (Yi et al., 2012). LUHMES cells express all three types of PFKs PKF platelet (PFKP), PFK muscle (PFKM) and PFK liver (PFKL) (Fig. 4.19). The regulatory effects are strongest on PFKP and it remains up-regulated under PHT, while PFKM up-regulation is averted by PHT. The observed up-regulations must thus rather be caused by the energetic dysbalance than ROS, since PHT does not down-regulate these adaptations in a significant manner, especially not the rate-limiting enzymes' transcription. Furthermore, this also likely leads to increased glycolytic activity, which would require increased amount of cellular glucose to successfully uphold the energy supply. Neurons transport glucose using GLUT3 (Maher et al., 1991). Cells treated with MPP ⁺ and

PHT/MPP⁺, but not with PHT, feature higher levels of GLUT3, supporting the cell with enough glucose to compensate for respiratory decline (Fig. 4.20).

The TCA cycle requires acetyl-CoA as a substrate to generate citrate from oxalacetate. The citrate is then subsequently degraded to oxalacetate. During one step of the cycle, succinyl-CoA is transformed to succinate by succinate-CoA ligase (SUCL) that also generates one molecule of GTP which can be transformed to ATP by nucleoside-diphosphate kinases (NDPKs) (Krebs et al., 1937). The SUCL is constituted by two subunits succinyl-CoA synthetase subunit alpha (SUCLG1) and GTP-specific succinyl-CoA synthetase subunit beta (SUCLG2) (Nishimura, 1968). However, SUCLG2 can also be replaced by ATP-specific succinyl-CoA synthetase subunit beta (SUCLA2), that has been shown to rather generate ATP than GTP (Johnson et al., 1998). Interestingly, this ATP specific subunit's MPP⁺ dependant up-regulation is not averted by PHT, unlike SUCLG1 and SUCLG2 (Fig. 4.19). As the glycolysis, the TCA cycle components are significantly up-regulated in MPP⁺ treated cells in a way that is unresponsive to PHT. This also concludes, that cells try to use the TCA cycle is used to generate more ATP.

The mitochondrial complex I is not only responsible for successful respiration, it also regenerates NAD⁺, a co-factor of many enzymes like SIRT1 (Vaziri et al., 2001), for example. The complex I disruption by MPP⁺ must thus also lead to a ROS independent decline in NAD⁺ levels. On top of that, high glycolytic activity and acceleration of the TCA cycle to compensate for the ATP depletion will also require high amounts of NAD⁺. These can also theoretically be supplied by turning pyruvate into lactate through lactate dehydrogenases (LDHs). Indeed, transcription of two LDHs, LDHA and LDHB, is up-regulated in cells treated with MPP + (Fig. 4.19). Pyruvate is the final product of glycolysis and may also be turned into acetyl-CoA by the pyruvate dehydrogenase complex to fuel the TCA cycle (Coxon et al., 1949). Most of this complexes components (dihydrolipoamide dehydrogenase (DLD), dihydrolipoamide S-acetyltransferase (DLAT), pyruvate dehydrogenase complex component X (PDHX) and pyruvate dehydrogenase E1 beta subunit (PDHB) however, are down-regulated in MPP+ treated cells and remain so in PHT/MPP+ treated cells. Only the pyruvate dehydrogenase E1 alpha 1 subunit (PDHA1) is up-regulated. Without the other components, however, activity is very unlikely. The high expression of SIRT4 in PHT/MPP + treated cells may inhibit this complex through DLAT hydrolysis (Mathias et al., 2014) even further to favour the formation of lactate through LDH.

Furthermore, pyruvate may be supplied by the decarboxylation of malate through malic enzymes (MEs) (Chang et al., 2003). Both expressed MEs, ME1 and ME2, are up-regulated in cells treated with MPP⁺. The cytsolic ME1, remains up-regulated in PHT/MPP⁺ treated cells, while the mitochondrial, ME2, does not. This would further decrease the pyruvate availability for the pyruvate dehydrogenase complex. Together, these developments point towards a compensatory

NAD⁺ production via LDHs. These observations regarding the expression of LDHs and glycolytic enzymes may solidify the idea of a switch between aerobic and anaerobic metabolism. However, this still needs to be addressed and verified in future studies, to give chapter and verse for this hypothesis.

Finally, the cell may uphold its energy supply through the beta-oxidation of fatty acids (Knoop, 1904). This process occurs not in the cytosol, but in mitochondria (Lehninger, 1945). Genes related to mitochondrial beta oxidation are up-regulated in cells treated with MPP ⁺ and remain that way in cells treated with PHT/MPP⁺ (Fig. 4.19). The beta-oxidation may yield FADH₂, which is fed into the complex II to uphold membrane potential. Its high activity may thus help to compensate for the loss of complex I. It becomes even more important, since the NAD ⁺ production compensation through LDHs and the reduction of the pyruvate dehydrogenase complex blocks the main source of acetyl-CoA for the TCA cycle, which is required to uphold the energy balance.

Taken together, these regulations paint a complex picture of the way the cell tries to restore its energetic deficit, when the complex I is inactive (Fig. 5.2). Further studies are required to solidify this, although these adaptations appear logical. Energy production through the TCA cycle or beta-oxidation, however, is also part of the respiratory chain through complex II, yet overall, these data may also suggest a switch to anaerobic respiration.



Figure 5.2: Restoration of energy dysbalance. Glucose is degraded through glycolysis to pyruvate, which in turn is transformed into lactate by LHD to regenerate lost NAD⁺. SIRT4 inhibits PDH and glutamate dehydrogenase 1 (GLUD1), thus causing acetyl-CoA to be limited and pyruvate to be rather pushed towards LDH. Fatty acid degradation through beta-oxidation still yields acetyl-CoA, which is utilized in the TCA cycle to gain energy and form malate, which is transformed into pyruvate by ME.

5.7 Mitochondria in MPP⁺ treated cells favour replication over transcription

Genes encoding proteins for the respiratory chain are not exclusively nuclear. Central proteins of the complexes I, III, IV and V, but not complex II, are encoded on the mtDNA. In contrast to the nuclear encoded genes, the mitochondrial encoded complex subunits are severely down-regulated in MPP⁺ treated cells (Fig. 4.16). Since all subunits are required for successful complex assembly, reduced levels of mitochondrial transcripts would leave the complex proteins dormant and unassembled inside the matrix. These effects persisted through PHT co-treatment. The implications of this are not so easily grasped.

It is important to note that replication and transcription of mtDNA are highly exclusive. If the DNA replicates, transcription cannot occur and vice versa. This is regulated by an enzymatic switch catalysed through TEFM (Agaronyan et al., 2015). It is thus not surprising that TEFM transcription as well as protein levels are decreased in MPP⁺ and PHT/MPP⁺ treated cells (Fig. 4.17).

The canonical set-up for mitochondrial replication consists of TOP1MT, TWNK, SSBP1, POLRMT, POLG and POLG2. Interestingly, an up-regulation in MPP⁺ treated cells was restricted only to TOP1MT and POLG2 (Fig. 4.17). The other replication components were either not regulated, POLRMT and POLG, or down-regulated, TWNK and SSBP1. These observations would not immediately point towards higher replicative activity in those cells. Co-treatment with PHT, however, had a positive effect on POLRMT and POLG transcription. It also showed a protective effect on TWNK transcription levels, although the transcription remained down-regulated still, while the same was true for TOP1MT transcription in a mirrored matter. This left four of six components up-regulated, while TWNK and SSBP1 remained down-regulated.

Interestingly, SSBP1 is not the only SSBP1 observed in mitochondria. SSBP2 has also been found in mitochondria *in vivo* (Kato et al., 2009) and turned out to be up-regulated in both treatment groups (Fig. 4.17). On the other hand, TWNK is not the only helicase described in mitochondria. DNA2 (Zheng et al., 2008), PIF1 (Futami et al., 2007) and SUPV3L1 (Minczuk et al., 2002) have also been detected in mitochondria. Of those three DNA2 was the only helicase up-regulated in PHT/MPP⁺ treated cells, while the other two were down-regulated. Overall, the required proteins are up-regulated in their transcription in at least in PHT/MPP⁺ treated cells. Together with the observed TEFM effect, this gives a strong argument for increased replicative activity.

The fact that DNA2 is up-regulated, while all other mitochondrial helicases are down-regulated, sticks out. Interestingly, DNA2 is reportedly quite competent at resolving G4-quadruplexes (Lin et al., 2013), secondary DNA structures that result from a palindromic convergence of four guanines (Gellert et al., 1962). These quadruplexes may also lead to decreased transcription (Rhodes et al., 2015). They are stabilized by a cation in the midst of the four guanines bound through hydrogen

bonds (Fig. 5.3) and they have been shown to be extremely stable when the cation is replaced by a cobalt bound porphyrin (Sabater et al., 2015). Especially the ability of cobalt porphyrin to trap superoxide (Collman et al., 2002) could point towards a ROS protection system that wraps up the mitochondrial DNA in G4-quadruplexes. This becomes even more likely when considering the fact that the DNA base with the highest mutation rate is cytosine (Duncan et al., 1980). Yet through the G4 structures, the DNA repair system would be able to identify correct guanines and successfully repair damaged cytosines.

All these data regarding mitochondrial DNA replication could point to the same adaptation process already mentioned earlier. Since only a fraction of mitochondria in PHT/MPP ⁺ treated cells respire, only that specific fraction requires transcription of mtDNA encoded genes. The cells treated with only MPP⁺, however, showed only a partial increase of the mitochondrial replication system, while the transcription is down-regulated in the same manner as the other treatment group. The defence system of the mitochondria may already take action here, but the signal cannot be transferred correctly to the nucleus to adapt in the optimal way because the ROS interfere with the signal or cause a stronger response.



Figure 5.3: G4-quadruplex. Structural overview of a G4-quadruplex with its hydrogen bonds and a cation in form of potassium.

5.8 PHT the future PD drug?

Since the mechanism behind PHTs protection regarding ROS sensitive epigenetic systems in the MPP⁺/MPTP became clearer during the course of this work, future studies have to move the investigations slowly towards human studies and clinical trials, but a few other things have to be considered:

First, the actual mechanism behind the protection. *In vivo* the dopaminergic cells of the SN survived the MPTP mediated stress and were healthy if the mice were also treated with PHT (Fig. 4.7). This was accompanied by improved motoric behaviour. However, this model relies on acute toxicity of

MPP⁺, which is flushed out of the organism over time (Nishi et al., 1989). Thus, the cells only experience a strong wave of stress that declines again, while in PD the stress does not disappear in the same manner. This would create a necessitiy for a longterm PHT treatment regimen for patients. But this is where the *in vitro* model trumps the *in vivo* model. The LUHMES cells are unable to get rid of the MPP⁺ because they lack the appropriate proteins cytochrome P450 2D6 and 3A (Mann et al., 2010), which forces them to adapt to a chronic situation. However, these cells, despite being able to survive (Fig. 4.1), seem to be unable to fully adapt. When compared to the cells treated with PHT/MPP⁺, it appears as if they are caught between two states and only PHT can push them over the edge to adjust to their new environment.

This is even further solidified by the observed epigenetic changes. The MPP ⁺ treated cells start to remodel their chromatin, they open the chromatin around new genes to enhance their transcription, but they are unable to close the chromatin around others, due to the absence of SIRT1, H1 and DNMT3B. This leads to genomic instability and can over long times become very dangerous for the cell or the organism since open chromatin is much more prone for induction of mutations. The same epigenetic changes can also be observed *in vivo*. It can thus be stated, that SIRT1 and DNMT3B are of utmost importance for the final epigenetic adaptation that is required for solving the energy problem posed by a degenerated complex I. These two proteins would thus also be suitable targets for future more direct treatment options.

Second, PHT is merely an antioxidant, albeit a very potent one (Fig. 4.2). Not every case of PD is the same. In some cases increased ROS may cause the disease, but not in all. It is thus to be expected, that PHT would only show benefits in those cases. Positive effects in familial forms of PD, for example, are likely much smaller, if present at all. Nevertheless, considering the momentary regular treatment options, PHT treatment may be very beneficial. Administration of L-DOPA or MAO-B inhibitors cause dopamine to be present in higher levels, which leads to a regeneration of dopaminergic activity in the SN. Dopamine itself, however, is prone to become a radical propagator. Thus, high dopamine levels may increase free cellular radicals that can be removed by PHT.

Third, the physiological availability of nutrients is not represented by *in vitro* cell culture media. The metabolic adaptation of PHT/MPP⁺ treated cells requires high levels of glucose, pyruvate and lipids to survive. Physiological concentrations of these nutrients may be too low to support these cells. Thus, a dietary change for the patients seems to be appropriate as well. PHT may help the cells to survive the ROS and adapt, but it cannot provide the energy for survival directly.

After careful consideration of these major points, PHT may very well become a possible treatment option for PD patients in the future. However, for successful treatments it is also necessary to find earlier biomerkers of the disease since PHT can only protect the remaining cells, not regenerate the already dead.

6 Literature

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